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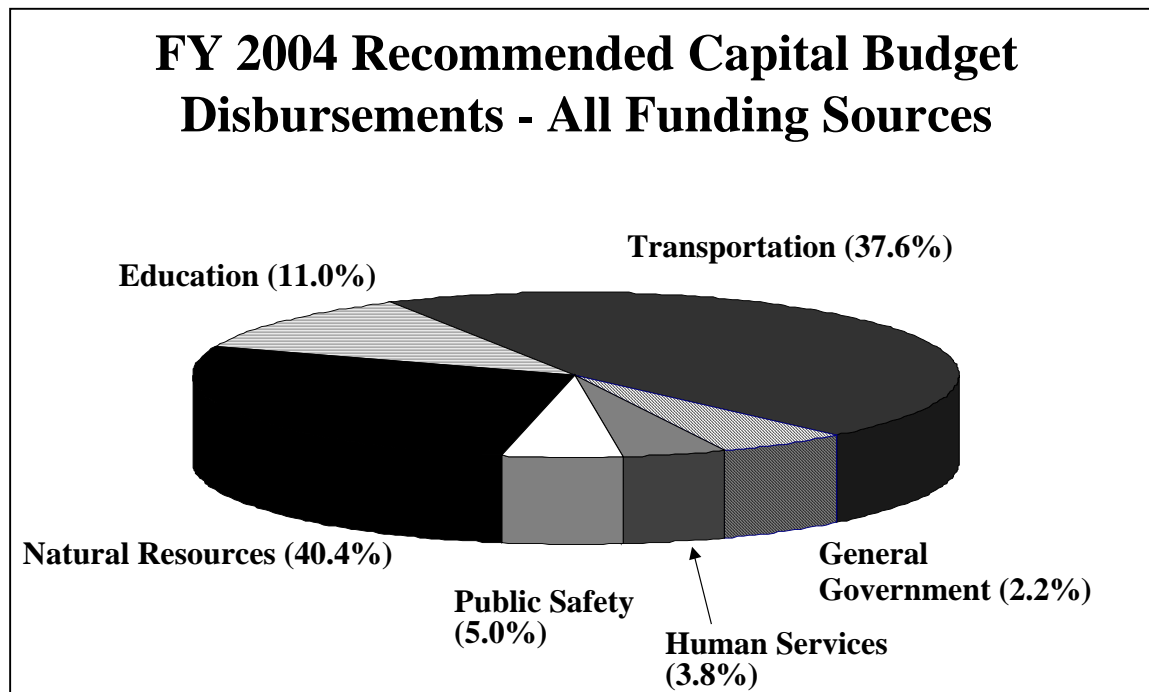
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Introduction

The Capital Budget document contains information relating to Governor Carcieri's recommended capital budget for FY 2004 and capital improvement plan for the planning horizon encompassing FY 2004 through FY 2008. In FY 1993, Rhode Island prepared the FY 1993 - FY 1997 Capital Improvement Plan, the first step towards strengthening the careful balance of capital needs with affordability. Rhode Island found itself with relatively high debt levels in uncertain economic conditions. Development of an overall debt policy was an important ingredient in formulation of the FY 1993 capital recommendations. Continued pursuit of these debt management goals is the basis of the Governor's FY 2004 capital plan.

This Capital Budget reflects the seventh year in a plan that programs over \$244.6 million of current revenues over the next five years towards preserving Rhode Island's buildings and other assets.

The recommended capital improvement plan reflects state expenditures from all sources of funds. Approximately 14.9 percent of the FY 2004 recommended expenditures will be funded from state general obligation bond proceeds; the remaining 85.1 percent will be funded from federal grants, restricted revenues, and other sources. Approximately 37.6 percent of total disbursements in FY 2004 will be for Transportation purposes and 40.4 percent will be for Natural Resource purposes.



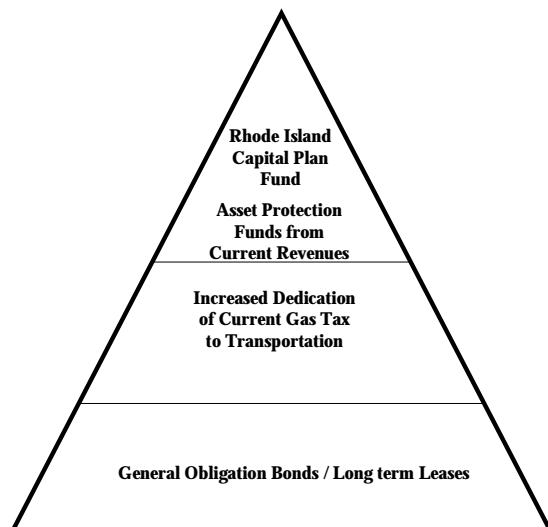
Introduction

Recognizing that there continues to be concern over Rhode Island's relatively high debt level, the Governor recommends, in his FY 2004 – 2008 plan for capital improvements, that the state maintain its efforts to reduce reliance on tax supported bonds for financing. The Capital Improvement Plan projects that Rhode Island's debt policies will have a long-term positive impact on net tax supported debt. Net tax supported debt is projected to decline to \$1.57 billion by FY 2008, a reduction of \$33 million from the 1994 high of \$1.90 billion. The Governor's proposed capital plan would reduce net tax supported debt as a percent of personal income from the high of 8.5 percent in FY 1994 to 3.89 percent by FY 2008.

This capital plan addresses the Governor's desire to "Rebuild Rhode Island" by targeting resources to the infrastructure needs in the state. During the initial phases of this plan, the cornerstone of this rebuilding has continued to be general obligation bonds and long-term leases. The Governor recommends this structure continue to be strengthened by the dedication of current gas tax to transportation purposes, and a "pay-as-you-go" system of funding capital needs.

Rebuilding Rhode Island

The FY 2004 – FY 2008 capital improvement plan provides for significant capital investment



in Rhode Island through prudent debt management and significant "pay-as-you-go" funding. The Governor's budget includes \$35.9 million in FY 2003 and \$42.2 million in FY 2004 from current revenues. These projects will not only ensure that the Rhode Island's infrastructure is maintained, but will result in construction activity during the economic downturn. The Governor's plan incorporates the issuance of all remaining bonds approved by the voters in November 1996, 1998 and 2000, and includes issuance of bonds approved by the voters in November 2002..

The Governor proposes the construction of a \$50.0 million for Center for Biotechnology and Life Sciences at the University of Rhode Island to serve as a complement to the established medical research cluster that already exists in Providence. The Governor believes that the development of a symbiotic relationship between the center at URI and the medical institutions in Providence will provide the state with a competitive advantage in this area and allow for future expansion of the industry in the state. To jump start this process, the Governor's FY 2004 budget invests an additional \$300,000 of RICAP monies, for a total of \$500,000, for the architectural and engineering studies needed before the Center can be built. The bulk of the financing for this project will be put to the voters in a bond referendum in November 2004.

The Governor's recommendations also continue the significant planned investment in Higher Education by including the expenditure of \$21.0 million of bonds approved in 1998 to upgrade academic and administrative facilities. The plan also includes the final expenditures of \$40.6 million of bonds approved in 1996 for infrastructure and upgrading of the three institutions' data and telecommunications system. In November 2000, the voters of the state approved a new referenda authorizing the expenditure of \$36.95 million for dormitory renovations at the University of Rhode Island and Rhode Island College and the construction

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of a new Community College of Rhode Island campus in Newport. These projects are fully programmed in the Governor's five-year plan.

Open space continues to be a high priority of the Governor. The capital improvement plan includes the issuance of the \$34.0 million of Environmental Management bonds authorized in November 2000. These funds will be used over a multi-year period to preserve open space, protect ground water supplies, and develop and improve public recreational facilities. The \$15.0 million referendum for open space preservation and bike path development approved in 1998 is also programmed to be fully issued over the planning period.

The capital plan also includes \$72.0 million of expenditures from bonds for investment at the Quonset Point/Davisville Industrial Park and for the Freight Rail Improvement Project to create an economic growth site for intermodal transportation and industrial development.

Transportation continues to comprise a significant part of Rhode Island's debt issuance requirements, reflecting \$180.5 million over the five-year period. The Governor recommends that the state continue to shift its gas tax resources to transportation in FY2004. The Governor recommends that .25 cents be transferred to DOT as required by law, and further that .6 cent be allocated to RIPTA for operational purposes. The Governor recommends that increased resources be provided to the Rhode Island Public Transit Authority and the Department of Transportation by allowing those departments to keep the benefit from the defeasance of State debt using tobacco proceeds. By doing this, the Department of Transportation and the Rhode Island Public Transit Authority will begin to substantially address Rhode Island's public transit needs and neglected infrastructure needs, fund more road and bridge rehabilitation and construction projects on a "pay-as-you-go" basis, lessen its reliance on bond issuance in order to match

available federal funds, and ultimately reduce its rising debt service costs. The Department of Transportation will increasingly utilize gas tax proceeds for capital projects, such as demolition of the Jamestown Bridge, and to match increased federal funds. Using current resources to match federal funds rather than increase the size of bond issues will avoid further increases in debt service.

General obligation debt issuances are estimated to be approximately \$101.0 million in FY 2003 for FY 2004 project disbursements, \$82.8 million in FY 2004, and for planning purposes \$100.0 million in FY 2005 and thereafter. The state will be financing the construction of a new Kent County Courthouse (\$51.8) million, and a Juvenile Training School (\$57.8 million), both of which will be financed by the issuance of previously authorized certificates of participation in FY 2003. The Governor recommends providing general revenue funds for the Neighborhood Opportunities Program. Debt financing in FY 2002 provided \$5.0 million in FY 2002, and \$5.0 million in FY 2003 for this program. The Governor recommends \$5.0 million in FY 2004 through FY 2006 from general revenues, providing a total of \$25 million over the five-year period. The state also provided \$2.5 million in FY 2002 to the Travelers Aid Relocation Project through a debt issuance

Confronted with the need to maintain state owned property – both to ensure the safety of those who use these buildings and to preserve the value of the properties - the Governor recommends \$35.9 million in FY 2003, \$42.2 million in FY 2004 from current revenues for asset protection of these properties. This reflects the seventh year in this multi-year plan, which will dedicate over \$244.6 million over the next five years. This funding will be derived from the Rhode Island Capital Plan Fund. Resources in the Rhode Island Capital Plan Fund can also be used to pay debt service. Due to fiscal constraints in recent years, more resources

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form the Rhode Island Capital Fund had been used for debt service to provide budgetary relief to the general fund. The Governor is recommending that the state gets back on track by shifting debt service costs back to the general fund and use this resource again primarily for pay-as-you-go capital projects. The capital funds are recommended to finance critical projects that have arisen due to the lack of available funding in the operating budgets over the last decade, and to address an appropriate level of preservation.

Capital Policy

The Governor's Capital Improvement Plan reflects a policy of controlling Rhode Island's capital debt by limiting the new issuance of debt, reallocation of current resources to preserving and improving infrastructure, and controlling capital expenditures to a level that is affordable. Effective execution of this policy, as well as other sound financial management practices, resulted in upgrades from two major credit rating agencies during FY 1999.

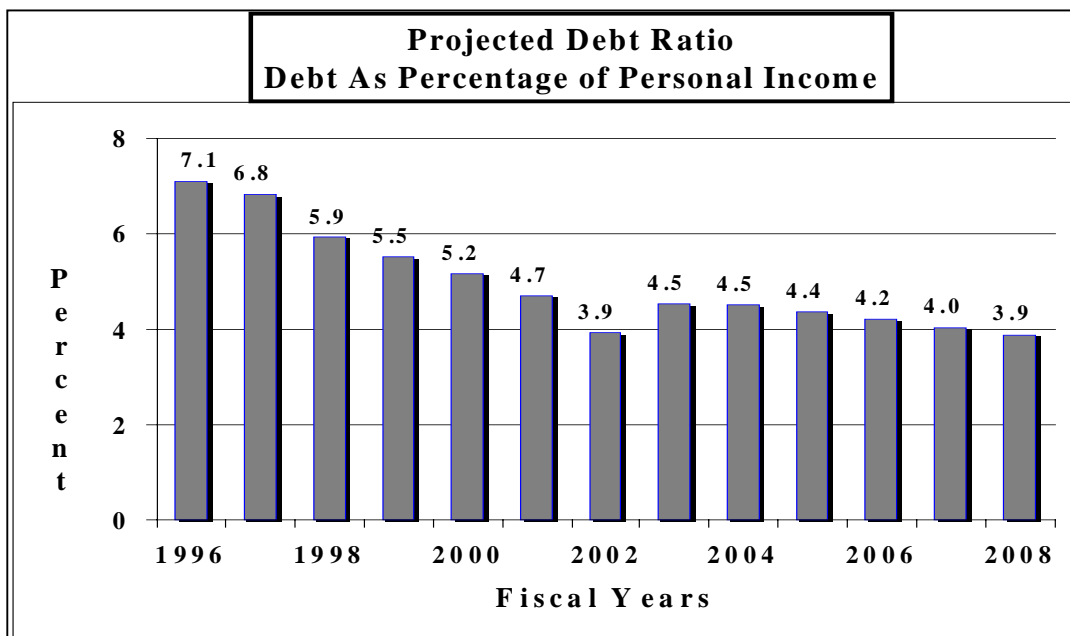
The Governor is recommending that this capital policy be continued during FY 2003 and FY 2004 by increasing the level of pay-as-you-go capital expenditures from the Rhode Island Capital Plan Fund totaling \$35.9 million and \$42.2 million respectively. Capital projects were deferred during FY 2002 and FY 2003 to help relieve stress in the operating budget. The Governor recommends that the state restore these projects to ensure that taxpayers previous investments are preserved and maintained appropriately.

The Governor is recommending that debt service be funded from the Rhode Island Capital Plan Fund in the amount of \$27.1 million in FY 2003

(a decrease of \$1.2 million from the enacted level) and \$12.6 million in FY 2004. The Governor also recommends that additional funds be provided from current revenues for transportation capital projects. This is accomplished by reallocating gas tax funds provided by debt service expenditure relief in FY 2004 through FY 2012 resulting from the June 2002 defeasance of debt using proceeds from the securitization of Tobacco Master Settlement revenues.

Executive Order 95-4 relating to state debt requires all issuances of state debt requiring the approval of the Governor to be reviewed by the State Budget Office. The role of the Budget Office is to advise the Governor of the direct and potential impact of such debt issuance on the state's tax supported debt and its credit rating.

An examination of Rhode Island's projection of net tax supported debt shows evidence that the businesslike approach to capital planning and management has reaped results. Debt as a percentage of personal income is projected to decline from a high of 8.5 percent in FY 1994 to 4.51 percent by FY 2004, and 3.9 percent in FY 2008.



Rhode Island Capital Plan Fund Initiative

The Governor's proposed Capital Improvement Plan for FY 2004 - FY 2008 reflects the seventh year in a comprehensive, yet affordable asset protection program that will result in the dedication of over \$240.0 million of current revenues towards preserving Rhode Island's buildings and other assets over the next five years. The FY 2004 recommended budget reinstates the multi-year plan of dedicating increased resources towards pay-as-you-go capital projects. This plan was modified during FY 2002 and FY 2003 to address operating budget deficits, and resulted in numerous planned capital projects being deferred. The Governor's FY 2004 recommended budget funds these deferred projects and dedicates future resources towards maintaining and improving the state's infrastructure.

After the November 1996 election, when the voters rejected an \$18.5 million bond referendum that would have provided funds for long neglected asset protection projects, the state adopted a multi-year asset protection program funded from current resources. Current law provides for up to two percent of annual revenues to be used for capital expenditures or debt service once the Budget Reserve Fund has reached three percent of resources. Since the Budget Reserve and Cash Stabilization Account has reached three percent of total resources, there are only marginal contributions to this "Rainy Day Fund" and most of the funds flow into the Rhode Island Capital Plan Fund. In FY 2002, the transfer to the Rhode Island Capital Plan Fund amounted to \$52.3 million; in FY 2003 and FY 2004, it is expected to total \$54.4 million and \$54.6 million, respectively. The state had used over 95 percent of the resources in the Rhode Island Capital Plan Fund for debt service. While this practice may have met the legal requirements of the constitutional amendment that provides for the Rhode Island Capital Plan Fund, it did not meet the spirit of the voter-approved amendment.

The Governor recommends that the General Assembly appropriate \$42.2 million from the Rhode Island Capital Plan Fund in FY 2004 for

capital projects. The Governor further proposes that funding from the RI Capital Plan Fund continue annually in order to provide an annual appropriation from current revenues for capital purposes. Because Rhode Island Capital Plan Fund resources were used primarily for debt service, this recommendation has required a shift of debt service costs to general revenue funds as shown below. In FY 2004, seventy-seven percent of resources in the RI Capital Plan Fund are being used for capital asset protection projects.

	Debt Service	Project Expenditures	Percent for Projects
FY 1994	.0	.2	.0%
FY 1995	32.5	.9	2.7%
FY 1996	29.3	.7	2.2%
FY 1997	33.3	.5	1.5%
FY 1998	26.8	2.9	9.8%
FY 1999	24.1	11.7	32.7%
FY 2000	14.0	19.8	58.8%
FY 2001	7.4	22.7	75.4%
FY 2002	31.5	44.8*	58.8%
FY 2003	27.1	35.9	57.0%
FY 2004	12.6	42.2	77.0%

* includes funding reappropriated from FY 2002

Although this shift has imposed additional requirements on Rhode Island's operating budget, the "pay-as-you-go" method is the desired funding alternative for these types of projects. This plan should have a stabilizing affect on agency budgets, as fewer expensive emergency repairs are required from operating funds. Adoption of a responsible asset protection program will help reduce Rhode Island's debt burden in the future when allocated funds are available to fund not only asset protection projects, but also new construction. Schedule 4, *Projects by Funding Source*, includes the Governor's recommended use of Rhode Island Capital Plan Funds, as well as all other resources available for capital disbursements. Schedule 5, *Rhode Island Capital Plan Fund Projects*, reflects only those projects funded by RI Capital Plan Fund resources.

Previous Capital Budgeting Practices

The State of Rhode Island's first capital development plan was submitted in 1972. The plan was presented on a twelve-year horizon and proposed a pay-as-you-go system of capital financing.

The concept was hailed as a tremendous step forward for Rhode Island due to its eventual goal of reducing reliance on debt to finance capital projects. Since 1972, both pressures to improve Rhode Island's infrastructure and utilize current resource funds for operating expenses led to the abandonment of the 1972 plan. No other plan was developed to fill its place.

Rhode Island made a concerted effort to refocus its attention to development of capital improvement plans in 1991. The goal was to strengthen the capital planning and budgeting process in order to provide a coordinated manner for both project selection and project financing.

Analysis of Past Practice

Rhode Island suffered from a number of problems that deal with the capital budget development process: (1) difficulty in delineating between maintenance and other types of capital improvements, (2) difficulty in measuring capital needs, (3) lack of clear philosophy concerning the method of financing capital projects. However, the situation in Rhode Island was further complicated by problems inherent to the process that existed. Specific flaws relating to the Rhode Island capital budgeting process included:

1. There was no formal decision making process for key decision makers to determine the overall size of the capital program or debt issuance and lack of control over the amount to be spent from the state's balance of previously authorized general obligation debt. Since Rhode Island does not issue all bonds immediately upon voter approval, there needs

to be a process of prioritization and cash flow planning in order to ensure affordability. Current practice was for voter approved bond authorizations to be allotted on the basis of work programs developed by the agencies. Each entire authorization was allotted into individual project accounts, regardless of the authorization's financing status. The level of estimated disbursements determined the aggregate level of bonds to be issued.

There were many flaws in this process in that it did not identify a long-range plan of expenditure for all authorized but unissued debt. Also, the process did not attempt to limit expenditures to only those projects for which bonds had been issued. Projects for which bonds had not yet been issued were allowed to proceed in anticipation of the future issuance of bonds or notes.

Bond issues were done on an ad hoc basis. There was neither a systematic process to determine when bond issues would be done nor a process to assure that gubernatorial priorities were reflected.

2. Decisions concerning construction of facilities were not tied to long-term operating budget prospects. While the capital development process made an attempt to identify ongoing operating costs, execution of the capital and operating budgets was disjointed.
3. There had been a history of significant capital referenda presented at special elections. This caused concern because it was being passed outside the statutory "biennial" process and often included projects that had not appeared in proposed capital plans. This concern resulted in the enactment of Section 35-3-7(c) of the Rhode Island General Laws, which provides some restrictions on this happening in the future unless there is a "compelling need."

Previous Capital Budgeting Practices

Section 35-3-7.1. ...

...(c) All capital referenda will be presented to the voters at a general election, except, upon a finding of compelling need for the public health, safety, or welfare, the general assembly may present the capital program at a time other than the general election. ...

4. The process lacked a systematic set of capital budgeting instructions whereby departments would submit their projects along with requests for funding over a long-term period that would allow for systematic development of individual projects. This resulted in a lack of an overall plan for all ongoing projects, a plan of financing, an inventory or assessment of needs, or an overall program goal.
5. There was no central document reflecting the priorities between different types of projects, including repair and rehabilitation, renovation, remodeling, razing of structures, new construction, and so forth. The biennial capital development plan did not specifically address the status of projects underway or those to be undertaken over the capital planning horizon. While the majority of projects were to be funded from previously approved bond authorizations, such projects were not included in the plan.

This lack of an overall plan for on-going projects resulted in a lack of accountability concerning departments' requests for new funds.

6. Capital funds were increasingly being used for non-capital or marginally capital expenditures, including personnel, short lived equipment and maintenance.

FY 2004 – FY 2008 Capital Process

The State of Rhode Island has made significant progress since late 1991 towards improving the capital budgeting process. In the summer of 2002, agencies were asked to provide information relating to the projects contained in the FY 2003 - FY 2007 capital budget and any new projects to be proposed. This assisted the administration in achieving the first three steps in a capital budgeting process: (1) initial identification of projects to be considered for inclusion in the capital budget; (2) timing of required expenditures for projects selected for inclusion in the capital budget; and (3) determination of the impact on total government finances of the various financing alternatives. The status of projects funded in earlier capital improvement plans was analyzed for each agency. An update on the timing of expenditures was provided for previously authorized projects, as well as those to be considered at future referenda.

The capital improvement plan includes what are generally considered major capital projects, such as new construction, major reconstruction, remodeling, renovation, and so forth. These are projects that create new facilities or rebuild existing facilities. They would add or create value rather than protect the existing value. The plan also reflects planning funds provided to agencies to analyze the need and cost of projects proposed in later years. Agencies were asked to identify for each project: source of financing, the estimated disbursement schedule, and the categorical expenditures (i.e., design, land acquisition, and construction).

The capital improvement plan meets several of the criteria noted for sound practice and meets the goals of the administration concerning capital budgeting.

Capital Budgeting Goals Attained

Several key goals were set in 1991 when Rhode Island embarked upon improving the capital budgeting process and as progress has been made, new goals have been set. Rhode Island may not fully attain these goals until several years into the capital-planning horizon, but has made significant progress towards these goals.

1. ***Ensure that Rhode Island's annual capital budget and capital improvement plan is affordable and finances only necessary capital projects.***

This goal also relates to the determination of the size and timing of debt issuance. It is the goal of the capital planning process that the levels of project commitment, annual cash disbursements, and annual bond financing required would be determined by the adopted capital plan.

The state has not issued more general obligation bonds than were in the plan. Generally, since the implementation of the FY 1993 - FY 1997 Capital Budget, variance from the plan reflected delays in certain projects underway. Controls are in place to ensure that expenditures are limited to those funded in the budget.

2. ***Enhance the linkage between the capital and operating budgets. No new projects will be included in the capital plan unless resources are expected to be available to finance the operating costs upon completion.***

A much greater focus has been made on the impact of capital projects on the annual operating budget. Agencies are requested to identify the source of operating funds required for new projects. In the FY 2004 - 2008 Capital Improvement Plan and the Five-Year Forecast included within the Executive Summary, there is an attempt to identify the outyear impacts of capital projects.

3. ***Institute a practice of annual program budgeting that will result in planned biennial referenda (as needed) consistent with the capital budgeting process.***

Development and adoption of a solid capital plan will serve to prevent the need for off-year referenda.

The Administration's capital budgets have contained the referenda recommended for the biennial period; the Governor is not recommending an off year referenda.

4. ***Increase accountability by publishing a document that identifies the individual projects to be funded during the capital planning horizon.***

This would include all projects, regardless of funding source, including projects financed by previously authorized bonds. This would provide a much greater level of accountability with respect to completion of projects and the need for new ones.

The published capital budget is the basis for the control of and review of project expenditures. Agencies are more accountable with respect to capital disbursements that are now limited to those contained in the capital plan.

5. ***Ensure active gubernatorial policy participation in the process, similar to the process for the operating budget.***

The Capital Development Planning and Oversight Commission which was recomposed in 1995, reflects appointments of planning, policy, and agency representation, as well budget officials to develop a comprehensive capital development program that was consistent with the principles and practices of good financial management.

In addition, staff from both the House and Senate fiscal staffs were invited to all agency

Capital Budgeting Goals Attained

hearings to allow the legislative branch early involvement in the capital development process.

6. *Begin a process of limiting debt use to long-term capital improvements and to decrease reliance on debt in order to increase flexibility in difficult economic times.*

Rhode Island has stayed within the limits that have been set in the Capital Budgets since 1993. The state has successfully implemented a major asset protection initiative that will dedicate over \$42.2 million of current revenues in FY 2004, and over \$250.0 million over the next five years. This reflects a return to the original plan to increase resources dedicated to pay-as-you-go capital projects. There had been a retrenchment in the funding level goals due to the projected operating budget deficits projected in FY 2002 and FY 2003. Many projects had been deferred during this time frame in order to free up resources from the Rhode Island Capital Plan Fund, which can be used for debt service. This recommendation to defer pay as you go capital spending, while not preferred, provides the type of flexibility described in the goal set. This flexibility is best utilized as a “quick fix” and it is important to return to desired funding levels as quickly as possible.

The state has increased the dedication of gas tax revenues to the Department of Transportation that will increase the amount available for road repairs and maintenance, and will also provide the state match for increased federal funds in the out years. The Governor recommends that much needed projects be funded from gas tax savings accruing to the Department of Transportation from the defeasance of DOT debt from the proceeds of the securitization of tobacco revenues.

7. *Implement a debt reduction program in order to reduce Rhode Island’s net tax supported debt.*

Rhode Island adopted a three part debt reduction program that included (1) using excess sales tax receipts dedicated to repay the DEPCO debt resulting in full repayment in August 2000, twenty two years earlier than required, (2) using an amount of current general fund resources to redeem other state debt each year, and (3) utilization of tobacco master settlement securitization proceeds to defease general obligation debt and certificates of participation in the amount of \$247.6 million. Since these were defeased by proceeds from a bond issue that are not considered state debt, this reduces the state’s tax supported debt.

8. *Improve accountability during the implementation phase of the capital budget and the accuracy of total project funding displayed in the capital improvement plan.*

The Budget Office has developed a database that can integrate expenditure data from the State Controller’s accounting records with capital budget recommendations by source of funds by project. When fully implemented, this internal data management system will allow Budget Analysts to track projects more effectively and work with departments and agencies to improve expenditure planning.

9. *Develop and maintain a statewide inventory of state buildings and other assets to be used in prioritizing required repairs and capital improvements.*

The State Controller’s Office, the State Budget Office and the Division of Central Services are in the planning stages of this multi-year project. The key goal of the this project is to develop a system that will meet the needs of 1) the State Controller in complying with GASB Statement 34, 2) the Division of Central Services in property management, and 3) the Capital Development Planning and Oversight Commission in the capital planning process.

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Classification of State Debt

The State of Rhode Island has traditionally classified its general obligation debt in the following four categories: direct debt, guaranteed debt, contingent debt and other obligations subject to appropriation. These fall into the broader category of tax supported debt used by investment rating agencies. Within the category of obligations subject to annual appropriations, there are certain performance-based agreements associated with debt issued to promote economic development. Generally speaking, the state's requirement to make appropriations on these obligations is based upon achievement of certain predetermined benchmarks that would increase state tax revenues. Debt service and payments on long-term obligations budgeted in the Department of Administration, including the portion of the gas tax and Rhode Island Capital Plan Fund dedicated for debt service, is estimated to total \$137.1 million in FY 2003 and \$150.2 million in FY 2004. The \$13.2 million all funds increase in FY 2004 reflects an increase of \$8.3 million for general obligation bonds, including a \$2.9 million increase in debt service due to the schedule of outstanding term bonds (declining tobacco defeasance savings), \$2.8 million increased variable rate debt service (one time deferral for DOT maintenance facility), and \$2.6 million for projected debt service on \$101.0 million of new issuance by June 2003; a net increase of \$3.7 million in non-general obligation bonds, reflecting primarily the first interest payment on the certificates of participation to be issued by June 2003 for the Kent County Courthouse and the Juvenile Training School; and an increase of \$1.3 million for the Convention Center Authority reflecting a decline in the up-front savings from a 2001 interest rate SWAP.

Direct debt, herein defined as debt supported by Rhode Island's general fund for which the state has pledged its full faith and credit, consists of general obligation bonds and notes issued in anticipation thereof. Serial bonds require the state to make annual payments of principal and semi-annual payments of interest on bonds

outstanding, while capital appreciation bonds require the annual payment of principal and payment of interest at maturity. As of June 30, 2002, the State of Rhode Island had a total of \$683.0 million of direct debt outstanding, including \$654.9 million of fixed rate bonds outstanding, \$28.2 million of variable rate debt.

This reflects the defeasance in June 2002 of \$241.6 million of general obligation bonds considered direct debt from the proceeds of securitization of revenues from the Tobacco Master Settlement revenues. In November 2002, the state issued an additional \$77.1 million of fixed rate debt. As of January 1, 2003, there is \$238.5 million of authorized but unissued direct debt. The Governor recommends that \$101 million of general obligations bonds be issued to finance capital projects in FY 2004. (*See Appendix C for debt service payments*).

Guaranteed debt includes bonds and notes issued by or on behalf of certain agencies, commissions and authorities created by the General Assembly and charged with enterprise undertakings, for the payment of which debt the full faith and credit of the state are pledged in the event that the revenues of such entities may at any time be insufficient. Such debt includes the debt of Narragansett Bay Commission. As of June 30, 2002, there were outstanding bonds of \$15.1 million and no authorized but unissued debt. This reflects defeasance of \$1.37 of debt in June 2002 from the proceeds of the tobacco securitization. (*See Appendix C for debt service payments*)

Contingent debts are those debts or obligations of certain Rhode Island agencies for which the state has pledged its full faith and credit. Such debt includes mortgages insured by the Rhode Island Industrial-Recreational Building Authority.

Other Obligations Subject to Appropriation

Rhode Island has entered into certain contractual agreements, which although of a long-term nature, are subject to annual appropriation by

Classification of State Debt

the General Assembly. A brief description of such commitments for which the state has or may appropriate funds for debt payments is provided below.

Intake Center Certificates

Rhode Island entered into a lease agreement with a financial institution that issued \$33,000,000 in certificates of participation to finance construction of an Intake Center for the Department of Corrections. As of June 30, 2002, \$19,500,000 of these certificates was outstanding. (See Appendix C for debt service payments)

Attorney General Administrative Office Certificates

In December 1995, Rhode Island entered into a lease agreement with a financial institution that issued \$4,500,000 in certificates of participation to finance the purchase of the Old Stone Bank Administration Building for the Attorney General's Office. As of June 30, 2002, \$2,795,000 was outstanding. This reflects the defeasance of \$775,000 of certificates of participation in June 2002 from the proceeds of the securitization of revenues from the State's Tobacco Master Settlement. (See Appendix C for debt service payments)

Shepard's Building Certificates

In 1995, Rhode Island entered into a lease agreement with the Rhode Island Economic Development Corporation, which issued \$34,070,000 to renovate the Shepard's Building in downtown Providence. In August 1997, the state refunded the EDC debt through the issuance of certificates of participation in the amount of \$34,805,000. As of June 30, 2002, \$28,820,000 was outstanding. (See Appendix C for debt service payments)

Department of Labor and Training Center General Renovations

In January 1997, Rhode Island entered into a lease agreement with a financial institution that issued \$24.0 million in certificates of participation to finance renovations of the former Center General Hospital at the Pastore Center for use as an office complex for the Department of Labor and Training. It is anticipated that the federal government will finance a significant portion of the lease payments. As of June 30, 2002, \$21,335,000 was outstanding. (See Appendix C for debt service payments)

Board of Governor's Information System

In 1999, the Board of Governor's of Higher Education entered into a lease for software and equipment with PeopleSoft. The principal outstanding as of June 30, 2002 was \$4,265,119. (See Appendix C for lease payments.)

Master Lease Telecommunications Equipment/Rolling Stock

On June 29, 2000, Rhode Island entered into a lease agreement with a financial institution that issued \$9,525,000 of certificates of participation for the purchase and installation of telecommunications equipment, furnishings and vehicles and rolling stock. The state also placed \$318,000 of taxable certificates at that time. In June 2001, the state financed an additional \$3,150,000 of vehicles and trucks. In June 2002, an additional \$3,150,000 was financed in this manner. As of June 30, 2002, \$7,995,000 was outstanding. In December 2002, the state financed \$3.8 million of vehicles and trucks.

Rhode Island Refunding Bond Authority

Rhode Island has entered into a loan and trust agreement with the Rhode Island Refunding Bond Authority, a public corporation which issued \$20,640,000 of bonds to provide for the advance refunding of \$18,640,000 of the state's general obligation bonds. The authority's bonds, outstanding as June 30, 2002 in the amount of \$665,000, are payable solely by the

Classification of State Debt

authority's revenues derived from payments by the state (\$650,000) and the Narragansett Bay Commission (\$15,000). *(See Appendix C for debt service payments)*

Rhode Island Refunding Bond Authority (Rhode Island Public Buildings Authority)

Rhode Island has several lease arrangements with the Rhode Island Refunding Bond Authority relating to capital projects financed by the former Public Buildings Authority, at the request of the state. In FY 1998, Rhode Island merged the responsibilities of the Rhode Island Public Buildings Authority into the Rhode Island Refunding Bond Authority. As of June 30, 2002, the Rhode Island Refunding Bond Authority had \$132,440,000 of bonds outstanding, the proceeds of which were to finance such projects. *(See Appendix C for debt service payments)*

Rhode Island Convention Center Authority

Rhode Island has entered into a lease agreement with the Rhode Island Convention Center Authority, a public corporation that was created for the purpose of constructing, managing and operating a convention center.

Pursuant to the lease agreement, the state will be obligated to pay lease payments to the authority sufficient to pay for the net operating expenditures and debt service on the authority's bonds relating to the project being financed. The authority issued bonds for project expenditures totaling \$323,000,000. Including refunding bonds that were issued to save interest costs, outstanding debt totaled \$319,435,000 million as of June 30, 2002. In FY 2004, the Governor recommends a general revenue appropriation of \$18.75 million. *(See Appendix C for debt service payments)*

Rhode Island Depositors Economic Protection Corporation

Rhode Island entered into a payment agreement with the Rhode Island Depositors Economic Protection Corporation ("DEPCO"), a public corporation created to issue bonds for the

purpose of protecting depositors of certain financial institutions and credit unions in Rhode Island forced to close in January, 1991 owing to the entry into conservatorship of the Rhode Island Share and Deposit Indemnity Corporation, a private deposit insurance fund. DEPCO'S bonds were payable from a special revenue fund of DEPCO to which payments are to be made by the state, subject to annual appropriation, from certain proceeds of state sales and use tax receipts or from other funds appropriated by the General Assembly for such purposes. The payment agreement was effective simultaneously with the issuance in June 1991 of \$150.0 million of special obligation bonds. In June 1992, an additional \$306.0 million of special obligation bonds were issued. The Corporation issued refunding bonds in FY 1993. The Corporation utilized these sales tax proceeds and the proceeds from settlements to gradually prepay all of the bonds, such that there are now no special obligations outstanding. *(See Appendix C for debt service payments)*

Rhode Island Economic Development Corporation

In February 1993, the Economic Development Corporation issued \$30,000,000 in taxable revenue bonds on behalf of Alpha Beta Technology, Inc. for acquisition, construction and equipping of a new plant facility for the clinical and commercial manufacture of biopharmaceutical products. In January 1999, this issue was placed in default. These bonds are also secured in part by the corporation's capital reserve fund. The Economic Development Corporation repaid the balance of the debt and receivership costs by utilizing funds on hand in FY 2000, the proceeds from the sale of the Alpha Beta facility, and state appropriations authorized during the 1999 General Assembly. The net state appropriation disbursed was \$5.6 million. As of June 30, 2001, there were no bonds outstanding for the original Alpha Beta debt. The EDC issued a new series of bonds in the amount of \$25.0 million to finance the purchase of the Alpha Beta building by

Classification of State Debt

Collaborative Smithfield Corporation, all of which was outstanding as of June 30, 2002. (See *Appendix C for debt service payments*).

In January of 1998, the Economic Development Corporation issued revenue bonds in the amount of \$11,825,000 to finance improvements at McCoy Stadium. These bonds are supported by lease payments subject to annual appropriations. As of June 30, 2002, \$8,780,000 of McCoy Stadium bonds was outstanding. (See *Appendix C for debt service payments*)

In 1999, the Economic Development Corporation issued revenue bonds in the amount of \$16,395,000 to finance improvements to the University of Rhode Island power plant. These bonds are supported by payments to purchase steam over the life of the bonds. As of June 30, 2002, \$15,304,000 of such bonds was outstanding.

Performance Based Obligations of the Rhode Island Economic Development Corporation

In May 1996, the Economic Development Corporation issued \$25,000,000 of bonds to finance infrastructure for Fidelity Investments. These bonds carry a moral obligation of the state. If at any time, certain reserve funds of the Economic Development Corporation pledged for this bond issue fall below their funding requirements, a request will be made to the General Assembly to appropriate the amount of the deficiency. In addition, pursuant to the lease the Economic Development Corporation entered into with FMR Rhode Island, Inc. to secure the bonds, credits are provided for lease payments if certain targeted new job goals are met for the financed project. Currently, it is projected that these job goals will be met. If the job goals are met, the Economic Development Corporation will credit FMR Rhode Island, Inc.'s lease payments and make annual requests to the General Assembly for appropriations, which will be used to pay the debt service on this bond issue. As of June 30, 2002, \$23,615,000 of

Fidelity bonds were outstanding. As shown on the EDC-Fidelity debt service schedule, job rent credits are expected to result in a state obligation of \$2.5 million per year, when fully achieved. (See *Appendix C for obligations*)

In November 1997, the Economic Development Corporation entered into a similar agreement with Fleet Bank; bonds issued for that transaction totaled \$11.0 million. As of June 30 2002, \$10,500,000 of Fleet bonds were outstanding. Under the agreement, debt service on only \$3.4 million of the debt would be reimbursed through the applications of job rent credits. Job rent credits are estimated to result in a state obligation of approximately \$.3 million per year when achieved, as shown on the EDC-Fleet debt service schedule. (See *Appendix C for obligations*)

Tax Supported Debt Burden

In the determination of the debt burden of the State of Rhode Island, the rating agencies take into account all tax supported debts which are or could be a future liability of the state. Therefore, the definition of "tax supported debt" is more expansive than the strict "voter approved" general obligation category. In Rhode Island's case, the state has indirectly extended its credit to quasi-public agencies and authorities, such as the Rhode Island Convention Center Authority, through lease arrangements.

The amount of debt reflected is that which is defined by *Moody's Investors' Service* in their credit report as the debt outstanding that could potentially be a liability of the state. It, therefore, does not reflect offsets that will reduce the state's payments on these debts, such as debt service reserve funds, interest earnings, and other revenue that could reduce the state's liability. Rhode Island's net tax supported debt totaled \$1.3 billion as of June 30, 2002.

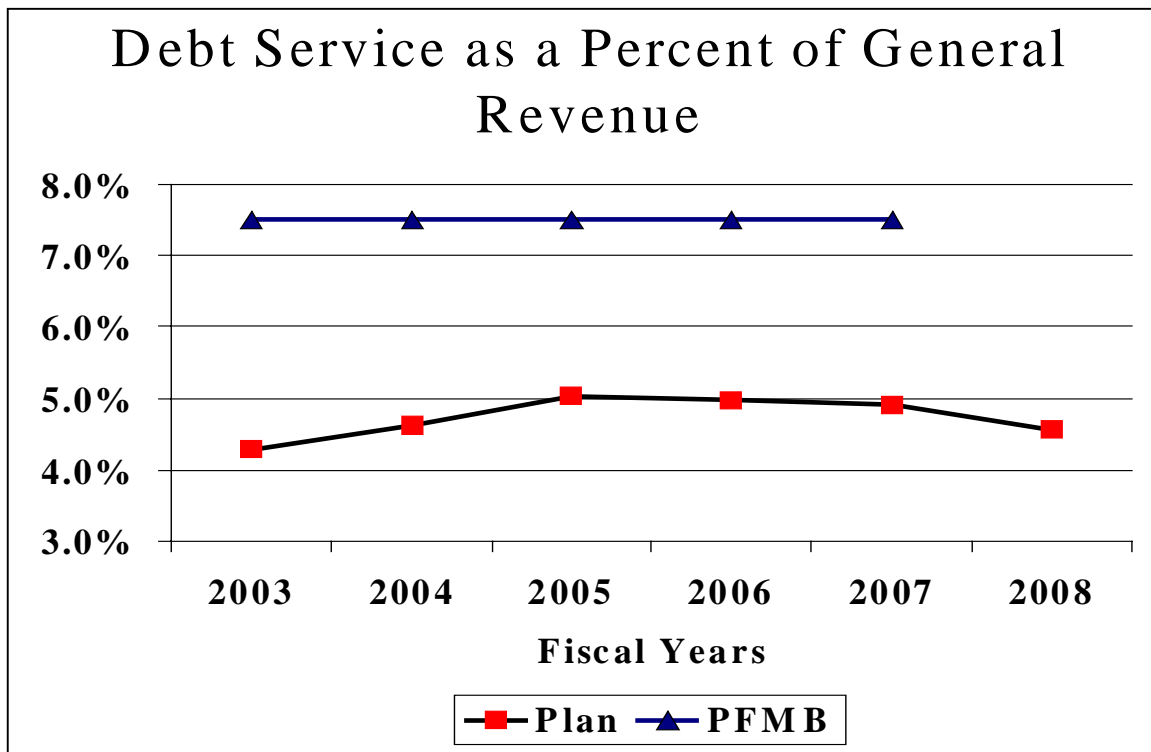
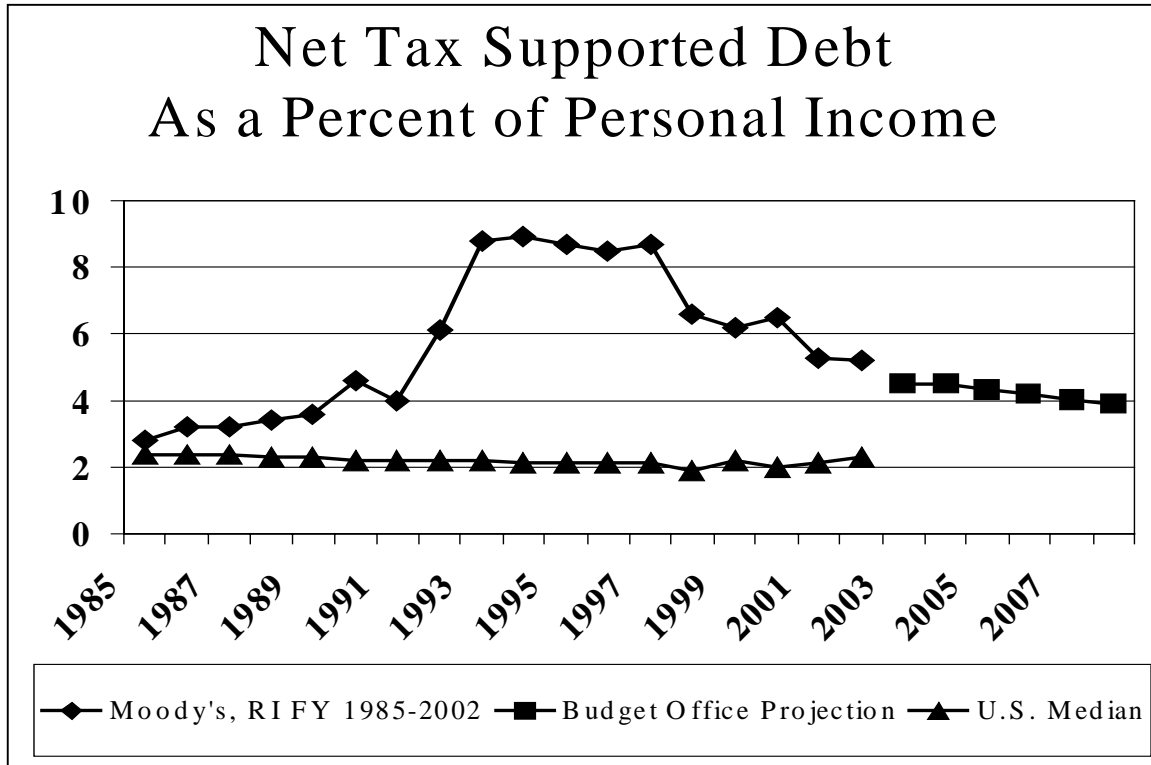
In evaluation of the state's credit, the rating agencies review the state's ability to repay the debt and all factors that could affect the issuer's ability, (i.e., economy, budgetary issues, management team, debt structure etc.).

One tool that can be used to measure a state's debt burden or conversely capacity to take on new debt is a "debt ratio." According to the 2002 "Moody's Medians," Rhode Island's net tax-supported debt as a percent of personal income of 5.2 percent in 2002 ranks seventh in the nation. (Due to slight variations in calculation methods, and timing, the state's number varies from Moody's. Moody's medians for 2002 reflect net tax supported debt as of calendar 2001). The national median is 2.3 percent of net tax supported debt as a percent of personal income. From FY 2001 to FY 2002, the national median increased from 2.1 percent to 2.3 percent, while Rhode Island's decreased from 5.3 percent to 5.1 percent. While this did not result in any

movement from seventh place in Rhode Island's ranking released by Moody's in 2001, the 2002 Moody's medians do not yet reflect the state's defeasance in June 2002. In 1996, Rhode Island ranked third. In 1984, Rhode Island ranked twenty-fifth in the nation with a ratio of 2.4 percent.

The Governor's recommended capital budget will result in improvement in the state's ratio of debt as a percentage of personal income, which is expected to decline from 8.18 percent at the end of FY 1995 to 4.51 percent at the end of FY 2004, to 3.89 percent in FY 2008. The Rhode Island Public Finance Management Board recommended credit guideline indicates that this ratio should not exceed 6.0 percent. (See Public Finance Management Board Section of this document.)

Tax Supported Debt Burden



General Obligation Debt Authorization

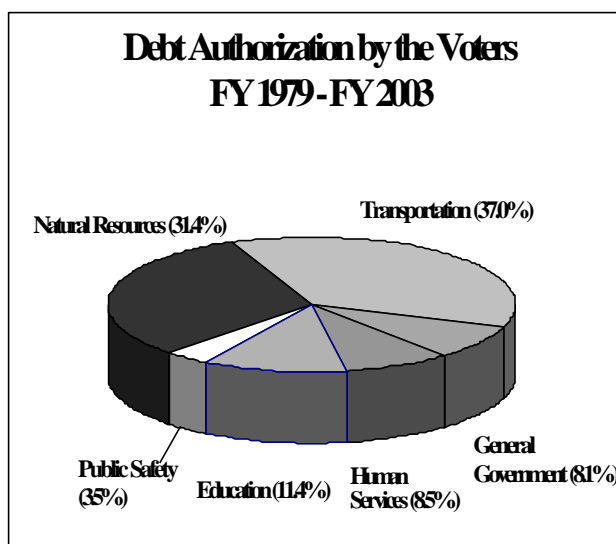
The State of Rhode Island currently has \$238.4 million of authorized but unissued general obligation debt. These authorizations have been approved by the voters at various referenda. Under the Constitution of Rhode Island, the General Assembly has no power to incur debts in excess of \$50,000 without consent of the people, except in the case of war, insurrection or invasion or to pledge the faith of the state to the payment of obligations of others without such consent. By judicial interpretation, the limitation stated above has been judged to include all debts of the state for which its full faith and credit are pledged, including general obligation bonds and notes, bonds and notes guaranteed by the state and debts or loans insured by agencies of the state such as the Industrial-Recreational Building Authority.

Since 1979, the voters have authorized \$1.879 billion of general obligation bonds. Of this authorization, 37.0 percent or \$696.1 million was for Transportation purposes; 31.4 percent or \$590.4 million was for Natural Resource purposes. Education reflected 11.4 percent of the total amount authorized. Of the total \$238.5 million of unissued debt, 28.5 percent or \$67.9 million remains unissued for transportation purposes and 27.7 percent or \$66.1 million remains unissued for natural resources purposes. Authorized but unissued debt for public safety purposes is the next highest, representing \$55.0 million or 23.1 percent of the total remaining unissued debt.

Due to concern about the increasing level of authorized but unissued debt, the General Assembly enacted a sunset provision relating to general obligation bond authorizations. Chapter 438 of the Public Laws of 1988, which took effect on December 31, 1991, provides that any special act of the state that authorizes the issuance of general obligation bonds or notes of the state, which has a balance that remains unissued, and is seven (7) years or older is invalid as to that portion which remains

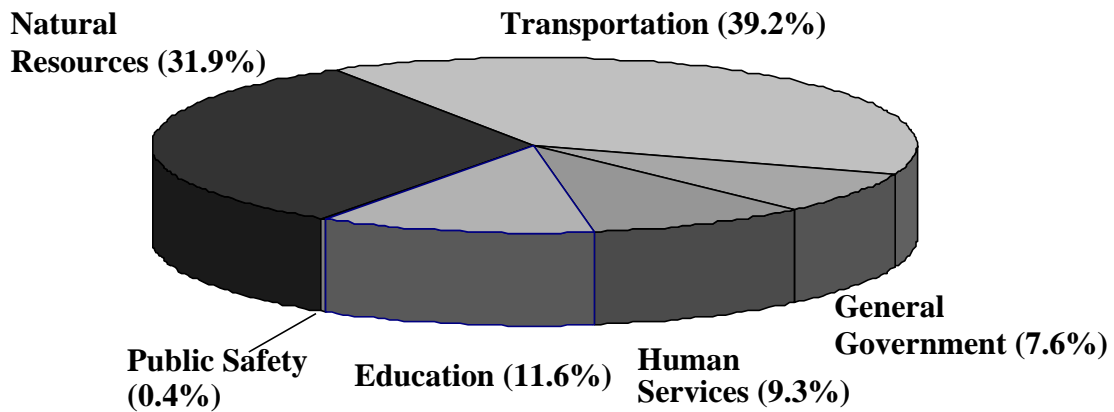
unissued. Notwithstanding, the General Assembly may, by special act, extend any authorization for a period of one (1) to five (5) years upon a petition of the Department of Administration. Such extension may be granted more than one (1) time. Upon a certification of the General Treasurer to the Governor as to debt authorizations described above and no longer extended, the authorization shall not be deemed or counted toward the authorized but unissued debt of the state.

The graphs, which follow, exhibit a historical perspective of Rhode Island's debt by functional category. The graph below exhibits the amount of bond authorizations approved since 1979 for the various functions of state government. The top graph on the following page exhibits the amount of general obligation bonds issued (cash proceeds received) by functional category. Transportation and Natural Resource projects benefited by the highest percentage of bond proceeds, reflecting 39.2 percent of the total amount issued for Transportation and 31.9 percent for Natural Resources. Proceeds received for Transportation projects totaled \$628.2 million while Natural Resource projects received \$511.2 million. The graph on the bottom shows the amounts that remain authorized but unissued as of January 2003.

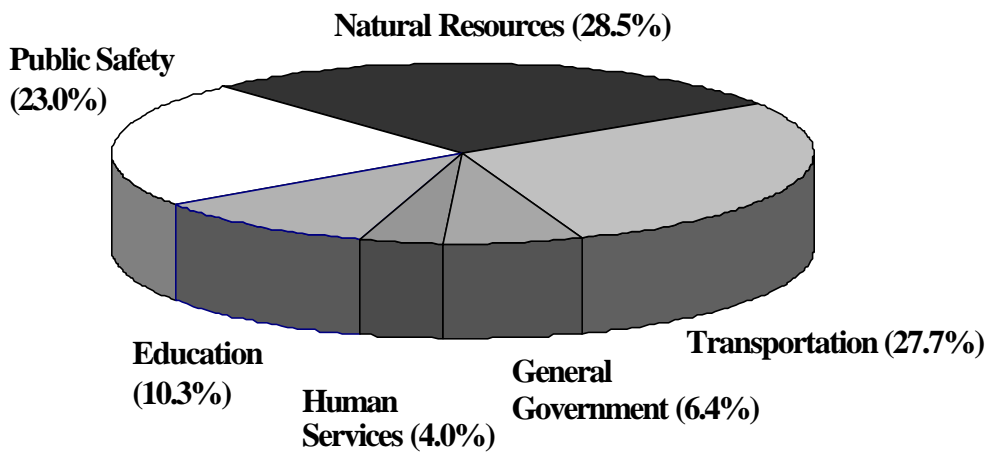


General Obligation Debt Authorization

Bonds Issued FY 1979 - FY 2003



Authorized but Unissued as of January, 2003



Debt Management Accomplishments

The State of Rhode Island has taken a proactive role in the prudent management of the State of Rhode Island's debt. The state has emphasized the need to restrict the extension of Rhode Island's credit to only those economic development projects that yield economic benefits. Examples of this performance-based credit policy are the Fidelity and Fleet transactions, which yielded a significant number of new jobs. The Providence Place Mall transaction, which provides performance-based financial support for a tremendous economic development project is yet another example of the administration's proactive role. The state's obligation to make payments is contingent upon the achievement of specific new job creation targets or on the generation of sales tax revenues.

Credit Rating Upgrade by Two Major Credit Agencies

To address concerns about Rhode Island's debt levels, the Administration has worked closely with the Office of the General Treasurer to pursue actions that may improve the state's debt position. In September 1999, this diligence was rewarded when Moody's Investors' Service and Fitch IBCA each upgraded the State of Rhode Island's credit ratings.

Moody's from A1 to Aa3
Fitch from AA- to AA
Standard & Poor's maintained AA-

The discussion below describes some of the Administration's recent actions that contributed to the upgrade in Rhode Island's bond ratings.

The state traditionally provides financing for its capital program by issuing general obligation bonds in the spring or early summer. This timing allows financing for projects contained in the annual Capital Budget to be available at the beginning of the fiscal year. The state, represented by a team consisting of the state's

financial advisor, the Office of the General Treasurer, the Budget Office, and the state's underwriters, also continually monitors the market for refunding opportunities, which would allow Rhode Island to refinance outstanding debt at lower interest rates. The Administration has adopted a standard practice, which calls for 3.0 percent present value savings to determine if an economic refunding makes sense. Since the number of times tax-exempt debt can be refunded is limited by the Tax Reform Act of 1986, Rhode Island should refund bonds prudently.

The Administration and the Office of the General Treasurer continue to monitor the interest rate environment and are considering execution of a refunding of certain general obligation bonds due to the recent decline interest rates. In December 2002, the state refunded bonds and the savings from this refunding are in the FY2004 budget. Similarly, Rhode Island benefited from low interest rates from the summer of 1997 to the fall of 1998, and later in February 2001, when it seized the opportunity to refund and restructure some of its debt. The state received competitive bids on its current funding ("new money") bond issue on June 12, 1997, priced a general obligation refunding on June 19, 1997, refinanced the Economic Development Corporation's debt on the Shepard's building on August 7, 1997, restructured the bonds issued by the former Public Buildings Authority in April 1998, refinanced general obligation bonds in September 1998, refinanced general obligation bonds in February 2001 and converted certain variable rate debt to fixed rate. Each of these transactions is discussed below, as well as other transactions related to the state's net tax supported debt. Net tax supported debt includes all debts supported by state appropriations.

Debt Management Accomplishments

Blended Yield General Obligation Refunding

In June and July of 1998, Rhode Island issued \$75.775 million of general obligation bonds and \$35.990 million of general obligation refunding bonds. This new money issue provided funds for the capital projects funded in FY 1999, and was issued at a true interest cost of 5.18 percent. By combining the new money and the refunding issues into a single common plan of finance, which is allowable under IRS regulations, the state was able to increase the savings achieved by the refunding. The refunding bonds were issued at a true interest cost of 4.81 percent, while the bonds refunded bore an average rate of 6.2 percent. This resulted in cash flow savings of \$2.5 million, or \$1.0 million in present value terms.

Refunding and Conversion of Variable rate Debt

In September 1998, Rhode Island issued \$82.7 million of refunding bonds, which yielded \$3.1 million of cash flow savings and \$2.4 million of present value savings.

In February 2001, Rhode Island issued \$55.9 million of refunding bonds at a rate of 4.57 percent, which yielded \$2.4 million of cash flow savings. The present value savings over prior issues, which had sold at rates from 5.0 to 5.75 percent, was 3.18 percent of the amount refunded. At that time, it also converted two series of variable rate debt sold in 1998 and 1999 and outstanding in the amount of \$63,005,000 to fixed rate debt achieving a fixed rate of 4.5 percent.

Refunding of EDC Shepard's Building Lease Financing

In August 1997, Rhode Island refunded debt originally issued by the Economic Development Corporation in 1994 to finance the acquisition

of and renovations to the Shepard's Building to provide office space and educational facilities. The debt was originally issued in the amount of \$34.070 million over thirty years. The state refunded this debt through the issuance of certificates of participation, achieving savings due to lower interest rates and shortening the amortization schedule to twenty years. The payments for the issue were structured to offset the cash flow savings generated by the general obligation refunding in years two through five, then graduated payments increasing less than 1 percent per year, thereafter. Since the amortization was shortened, the savings are achieved in the final eight years of the original thirty-year lease revenue bond. The structure of this \$34.805 million refinancing will result in \$10.6 million of cash savings. This translates into present value savings of \$1.6 million.

As can be seen above, the state was able to achieve significant debt service savings by refunding and restructuring its obligations through an integrated plan affecting both general obligation debt and appropriation backed debt.

Quasi Public Agency and Authority Obligations

Although created as independent authorities, certain financial obligations of quasi-public agencies and authorities are ultimately the obligations of the State of Rhode Island. This is often through leases or moral commitments that are subject to annual appropriation. Therefore, the prudent and sound administration of the state's debt and its financial obligations is dependent upon close scrutiny of these quasi-public agencies. During FY 1997, the Administration took a proactive role in decisions made by these agencies that resulted in a positive impact on the state budget and ultimately the taxpayers.

Debt Management Accomplishments

The actions discussed below exemplify the importance of an influential role of the executive branch on the actions of quasi-public agencies.

Convention Center Authority

In the FY 1998 Budget, the Administration proposed that the lease payment to the Convention Center Authority could be reduced by \$9.2 million if the Legislature approved proposed statutory changes. The Administration proposed a change that would allow the authority to restructure its operating and debt service reserve funds by replacing cash and a letter of credit with a surety policy. The Legislature approved this statutory change in the FY 1998 enacted budget, and the authority executed a transaction in the spring of 1998. The transaction actually yielded \$3.2 million more than anticipated.

In November 2001, the Convention Center Authority refinanced the 1993 Series A bonds outstanding in the amount of \$91,885,000 by issuing a synthetic fixed rate obligation. The Authority issued variable rate debt and simultaneously entered into a swap which essentially yield a fixed rate for the Authority. The coupon rate on the swap is 3.924%; the “all-in” rate, which takes into account all ongoing costs, is 4.25%. The coupon on the old debt was 5.71%. The total present value savings are \$8.4 million, over 8% of the bonds refunded.

Rhode Island Public Buildings/ Rhode Island Refunding Bond Authority

In the 1997 legislative session, the Administration worked with the Legislature to transfer all the responsibilities and financial obligations of the Rhode Island Public Buildings Authority to the Rhode Island Refunding Bond Authority. In doing so, over

time the state will save a good portion of the annual appropriation that was made to the inactive Public Buildings Authority, and will benefit by the streamlining of its debt issuing authorities.

In January 1998, the newly consolidated Rhode Island Refunding Bond Authority began investigating the feasibility of refunding certain obligations of the former Public Buildings Authority in order to improve both the state’s outstanding debt position and achieve debt service savings over the life of the bonds. The Capital Budget presentation reflects a transaction executed in April 1998 that resulted in savings of \$1.5 million and shortened the life of the bonds from 2011 to 2010.

Rhode Island Turnpike and Bridge Authority Defeasance

In August 1997, the RI Turnpike and Bridge Authority issued \$42,985,000 of refunding revenue bonds that defeased four series of bonds outstanding in the amount of \$41,355,000, of which \$31.0 million was guaranteed by the state:

1965 Series A	\$6,480,000
1965 Series B	\$3,875,000
Series 1965 (Guaranteed)	\$17,500,000
Series 1967 (Guaranteed)	\$13,500,000
Total	\$41,355,000

By issuing new bonds under a new modernized Revenue Bond Resolution, the Turnpike and Bridge Authority escrowed sufficient funds to satisfy the refunded bonds thereby releasing the state from its guarantee of \$31.0 million of debt. This transaction resulted in a slight present value loss of \$377,592, or .9 percent, but the future benefits include:

- 1) Increased flexibility for the authority with respect to more modern financing techniques;

Debt Management Accomplishments

- 2) Increased flexibility for the authority to finance integral transportation support systems providing access to the bridges; the transaction freed up \$10.0 million in FY 1998, which the authority will use to finance improvements to related transportation infrastructure (feeder roads);
- 3) Increased flexibility for the authority with respect to revenue generation from tolls;
- 4) Releasing the state from its guarantee to pay principal and interest on the bonds in the event toll revenues were insufficient. (While there is some intrinsic value to the state due to the Rhode Island Turnpike and Bridge Authority bonds no longer being guaranteed, the rating agencies had not considered this debt as “tax supported debt” since the authority had proven its ability to service the debt.)

DEPCO Defeasance

Since 1998, the Depositors Economic Protection Corporation (DEPCO) has retained all of the excess dedicated sales tax and received funds from settlements and legal proceedings, which it has used to prepay a significant amount of debt. In September 1997, DEPCO announced that the corporation would receive \$103.0 million from a legal settlement with Ernst & Young, which, after expenses, would result in \$89 million of net proceeds to be used for debt reduction. This settlement, combined with cash on hand at the time, resulted in the defeasance of \$107.8 million of outstanding bonds in December 1997. This resulted in interest cost savings of approximately \$109.0 million over the life of the debt. Additional prepayments have occurred with relative savings. The defeasance, made possible from settlement funds and excess sales taxes allowed DEPCO to repay all bonds in FY 2001 (8/1/2000), twenty two years sooner than the original pay down schedule.

General Obligation Bond Defeasance

In June 2000, the State of Rhode Island defeased \$4,415,000 of the 1992 refunding general obligation bonds which were to mature in 2007. The defeasance was funded by proceeds in the Sinking Fund and savings will total \$1.9 million, or \$275,938 per year. However, only \$83,462 of these savings was general revenue savings and \$192,476 were gas tax savings.

General Obligation Variable Rate Debt Program

During the 1997 Legislative session, the General Assembly enacted legislation, proposed by the General Treasurer, which would allow Rhode Island to issue general obligation bonds in a variable rate mode. In July 1998, the Budget Office participated in interviews and the selection of an underwriter for the state's first issuance of variable rate debt. The ultimate statewide variable rate exposure depends upon the development of a debt policy focused on asset/liability matching, as well as the savings potentially generated by low interest rates, and an internal policy to limit variable rate exposure to no more than ten percent of total debt. To date, \$36.5 million of variable rate debt was issued in June 1998, \$32.390 in September 1999, and \$31.365 million in July 2000. The Administration and the Office of the General Treasurer monitor the interest rate environment and transitioned the 1998 and 1999 series of the variable rate debt into a fixed rate mode in February 2001 due to lower long term fixed rates. This will provide future capacity for the variable rate debt as conditions change. An average interest rate of 2.5 percent is assumed in the budget for variable rate debt.

Debt Management Accomplishments

Transportation Debt Service

In conjunction with the issuance of variable rate debt for the Department of Transportation, the Administration will structure the repayment of this debt such that the spikes in the Department's projected debt service will be leveled off. The recommended structure, including the cost savings variable rate mode and the carefully developed amortization schedule, will serve to provide a greater sense of stability to the Department of Transportation's budget.

State Lease Program

After review of existing practices and processing requirements with respect to vendor leasing, in September 1999 Rhode Island issued a request for proposals to secure a leasing relationship with a financial institution. The purpose of this engagement is to ensure that the State is obtaining the lowest cost of financing on lease purchases and to streamline the process. Financing will be provided at twenty basis points above a prevailing market scale.

Debt Defeasance

Utilizing Proceeds from Securitization of Tobacco Master Settlement Revenues

In June 2002, the Tobacco Settlement Financing Corporation (TSFC) was created for the purpose of securitization of the state's future revenues due under the Master Settlement Agreement entered into by the Attorney General in November 1998 relating to tobacco. The Corporation issued \$685,390,000 of bonds to finance the acquisition of one hundred percent of the "state's tobacco receipts". The net proceeds of the sale, after funding the cost of issuance, capitalized interest, and the debt service reserve account, totaled \$544.2 million. The state utilized \$295.3 million in June 2002 to defease \$247.6 million of outstanding general obligation and

certificate of participation debt (or \$295.5 million reflecting the accreted value of capital appreciation bonds), and the remaining \$248.9 million was made available for operating budget expenditures in FY 2002 – FY 2004.

The debt defeasance resulted in debt service savings of \$51.6 million in FY 2003 and total savings through FY 2012 of \$343.5 million. Because the defeased debt is funded by an escrow established with the cash from the securitization, those bonds are no longer considered a debt of the state. Additionally, since the bonds issued by the Tobacco Settlement Financing Corporation are secured solely by pledged tobacco revenues, the TSFC bonds are not a debt of the state. The impact of this transaction was to reduce the state's outstanding debt, thereby improving its debt ratios. The state has shifted the risk of non-collection of master settlement revenues to the holders of the TSFC bonds. It should be noted that since this June 2002 transaction, there have been many large issues executed by other states, and the market for these bonds is considered saturated. Some states who have not yet issued are considering a state guarantee or moral obligation in order to make the bonds more appealing to bond purchasers. The State of Rhode Island did not provide such a pledge when it securitized its rights to receive the tobacco master settlement revenues. Although municipal rates overall have declined as of February 2003, the recent State of California tobacco securitization bonds sold at rates higher relative to the market than the State of Rhode Island transaction in June.

Debt Reduction

The Governor's recommended FY 2004 Capital and Operating Budgets reflects debt reduction during FY 2002 using resources from the proceeds of Tobacco Securitization Bonds to defease \$247.6 million of debt (\$295.5 million reflecting the accreted value of capital appreciation bonds). This defeasance of outstanding general obligation debt and certificates of participation using the proceeds of bonds which are not considered state debt, resulted in a significant reduction in Rhode Island's net tax supported debt position. The use of these accelerated Tobacco Settlement resources accelerated the trend of reducing net tax supported debt beyond that which had been displayed in previous Capital Budgets. It also resulted in \$51.6 million of debt service savings in FY2003, and additional savings over the next ten years, providing significant budget relief.

The Schedule below displays the enacted estimate of general revenue savings and the actual savings by source of funds:

Budgetary Savings from Defeasance				
	Enacted General Revenue Estimate	Actual General Revenue	Actual Other Funds	Actual Total
FY2003	\$43.9	37.1	14.5	51.6
FY2004	\$39.4	34.3	12.8	47.1
FY2005	\$38.7	28.2	12.1	40.3
FY2006	\$37.8	28.3	11.0	39.3
FY2007	\$33.1	25.6	7.7	33.3
FY2008	\$40.6	26.3	14.4	40.7
FY2009	\$34.8	20.7	14.1	34.8
FY2010	\$29.1	17.1	12.0	29.1
FY2011	\$20.1	8.8	11.3	20.1
FY2012	\$7.0	5.3	1.7	7.0

*See Appendix D for additional information concerning components of other funds (DOT, RIPTA, Narragansett Bay Commission).

The reduction in state debt of \$247.6 million at the end of FY 2002 resulted in significant improvement in our debt position compared to

that which would result without use of proceeds from Tobacco Securitization for defeasance. As shown below, Rhode Island's net tax supported debt position was \$1.287 billion at the end of FY 2002. Without this defeasance, it would have been \$1.531 billion. As expected, this improved the state's projected debt ratios through FY 2012. In 2002, according to Moody's medians Rhode Island ranked seventh in the country in terms of debt as a percent of personal income (5.2%), reflecting an improvement of two positions compared to FY 2000. The 2002 Moody's medians reflect net tax supported debt at the end of calendar 2001, so the defeasance is not yet reflected. The eighth ranked state had a ratio of 4.7 percent in 2002, so it is possible that the defeasance could also improve our ranking nationally when the medians are released for 2003.

Projected Net Tax Supported Debt

	Assuming No Defeasance	After Defeasance
FY 2002	\$1.530	\$1.288
FY2003	\$1.722	\$1.515
FY2004	\$1.721	\$1.545
FY2005	\$1.706	\$1.557
FY2006	\$1.691	\$1.569
FY2007	\$1.667	\$1.569
FY2008	\$1.640	\$1.572

Projected Debt As A Percent of Personal Income

	Assuming No Defeasance	After Defeasance
FY2002	4.68%	3.93%
FY2003	5.14%	4.52%
FY2004	5.02%	4.51%
FY2005	4.76%	4.35%
FY2006	4.53%	4.21%
FY2007	4.29%	4.21%
FY2008	4.06%	3.89%

Debt Reduction

Based upon this very significant dedication of state resources to defease debt, the Governor is recommending that the resources dedicated to the Sinking Fund to debt reduction be directed to the General Fund.

Sinking Fund Proceeds Used to Defeas State Debt

During the 1998 legislative session, the Sinking Fund Commission was reconstituted and given the responsibility of overseeing a program of debt reduction that would be the result of the increased allocation of current revenues to defease or prepay debt. In FY 1999 and FY 2000, \$4.0 million and \$.86 million, respectively, of general revenue appropriations were enacted by the General Assembly for such purpose. Additionally, in FY 2000, \$7.9 million of bond interest earnings, fund earnings and other transfers were deposited in the fund. In FY 2000 the Sinking Fund authorized \$5.8 million in expenditures to cover a portion of the Alpha Beta debt. Sinking funds were used, along with reserve funds and proceeds from the sale of the building, to satisfy the debt. Receivership assets reimbursed a portion of this expenditure, resulting in a net payment of \$5.6 million. In June 2000, the State of Rhode Island defeased \$4,415,000 of the 1992 refunding general obligation bonds which were to mature in 2007. The cost of the defeasance was \$4.5 million. The defeasance was funded by proceeds in the Sinking Fund and savings will total \$1.9 million, or \$275,938 per year until 2007. Of the total annual savings, \$83,462 of these savings were general revenue savings and \$192,476 were gas tax savings.

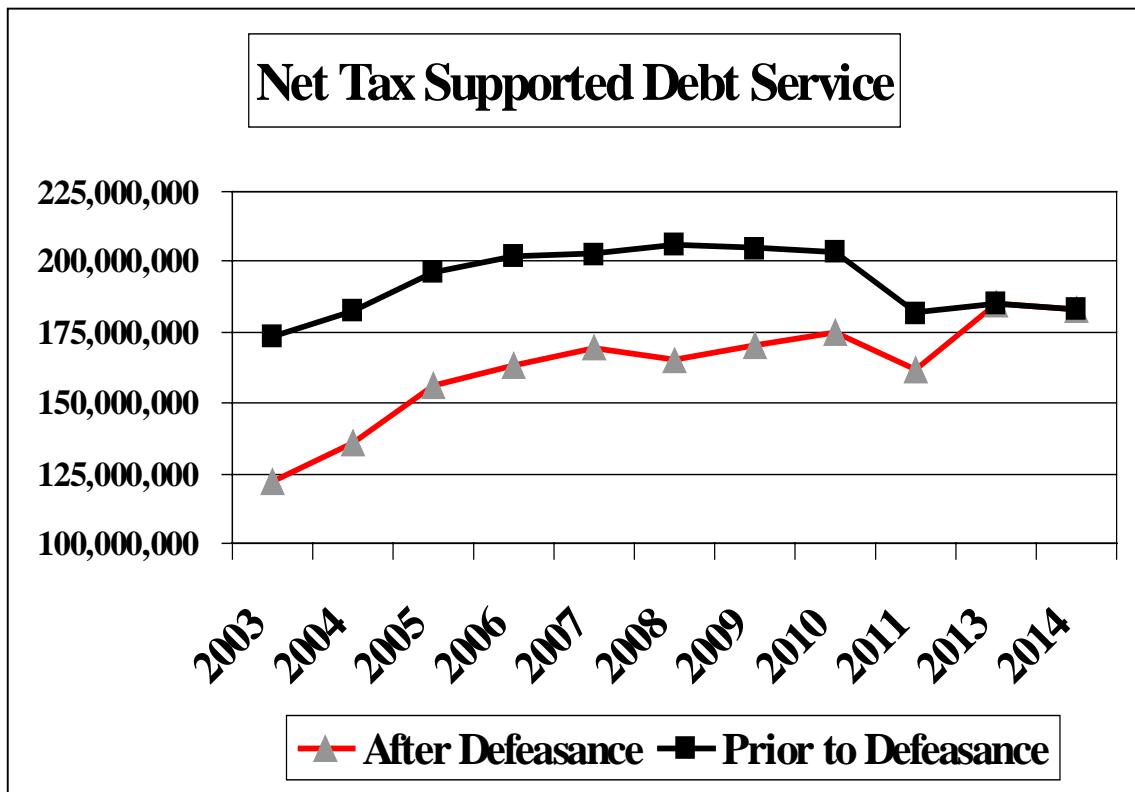
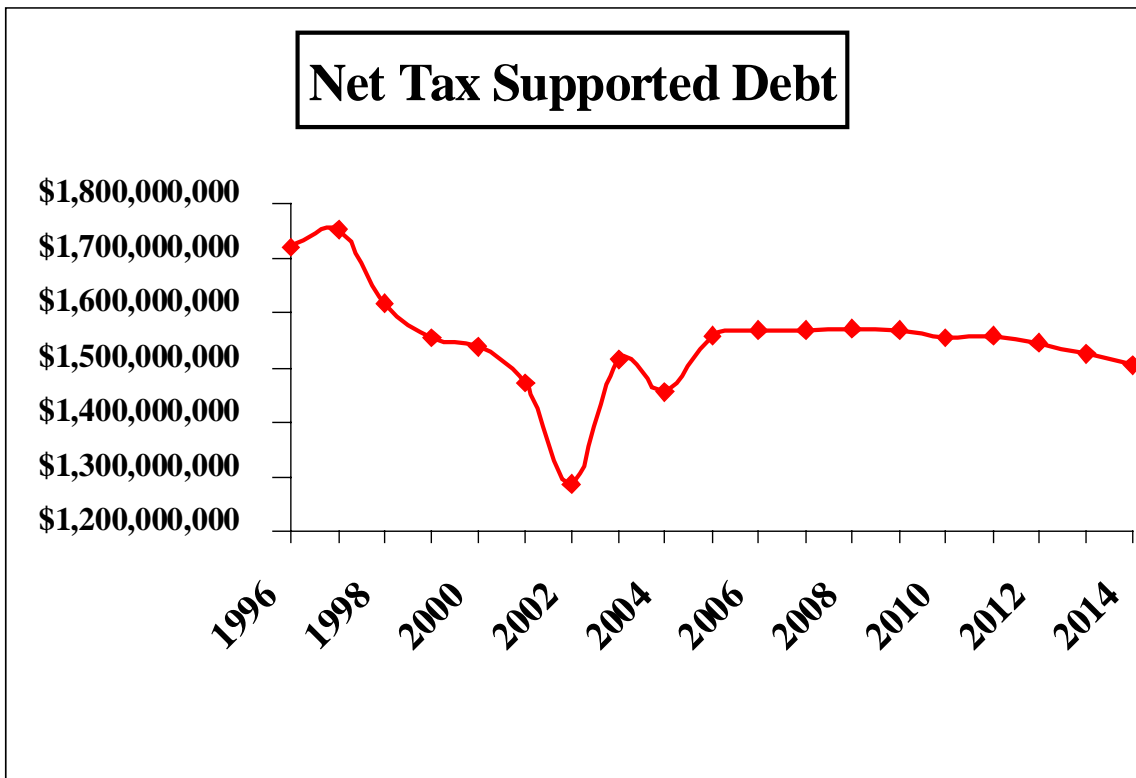
The level of funding for this debt reduction program is delineated in statute and the Governor's budget proposes to amend these requirements:

In fiscal year 2000, and each subsequent fiscal year, there shall be appropriated a sum at least equal to the total of the following: the sinking fund commission's

estimate of the savings generated for that fiscal year from the commission's prior year refinancing of debt; the sinking fund commission's estimate of the total debt service payments, principal and interest, of the debt retired by the commission in prior fiscal year; the sinking fund commission's estimate of the total debt service payments principal and interest, of the general obligation debt not issued in accordance with 35-8-6.2 in the prior fiscal year; and the total interest generated by the proceeds of general obligation bonds, net of arbitrage rebate for that year, as estimated by the revenue estimating conference. Payments into the sinking fund shall also include those received pursuant to section 42-116-25 which shall not be subject to appropriation. (R.I.G.L. 35-8-11)

The modifications recommended by the Governor increase General Fund resources and reduce Sinking Fund resources by (1) bond earnings of \$7.0 million in FY 2004, (2) proceeds from the annual lease of the former DEPCO property on Jefferson Blvd. in Warwick, which is occupied by the Public Utilities Commission, (\$0.2 million) and (3) proceeds from DEPCO in excess of \$46.7 million defined in statute (change from the Revenue Estimating Conference estimate of \$.7 million in FY 2004), and (4) savings from previous refundings, and savings from previous defeasance.

Debt Reduction



Public Finance Management Board Debt Study

As required by law, the Public Finance Management Board released its annual report on Rhode Island debt in December 1998. This comprehensive report was prepared by the staff of the General Treasurer's Office. The study provided a historical perspective of the State of Rhode Island's tax supported and other debt, and recommended certain credit guidelines to monitor state debt. The board adopted several guidelines, which were later amended in March 2000 to the following:

Credit Guideline 1:

Tax Supported Debt should not exceed 5.0 to 6.0 percent of personal income, and annual debt service for Tax Supported Debt should not exceed 7.5 percent of state general revenues.

Explanation: Tax Supported Debt is a state's core debt. General revenues and taxes secure this debt. The credit rating of the largest component of Tax Supported Debt, general obligation bonds, is the benchmark for the credit rating for several other state debt issuers. The recommended limits on the total amount and the burden on the state's budget are based on a combination of factors including historical trends in Rhode Island and ratios of peer states.

Credit Guideline 2:

The Board should monitor the total amount of Tax Supported Debt, State Supported Revenue Debt, and Agency Revenue Debt in relation to Rhode Island's personal income levels.

Explanation: These three types of debt are directly payable from or represent a contingent obligation/relationship on the state's financial resources. Overall levels should be monitored so that trends in the total amount and the relative levels of each are understood.

Credit Guideline 3:

If a Guideline is exceeded due to economic or financial circumstances or if the Governor and the Legislature determine that extraordinary infrastructure needs exist, the Board should request that the Governor and the Legislature recommend a plan to return debt levels to the Guideline within 5 years.

Explanation: The Credit Guidelines may be exceeded temporarily under certain extraordinary conditions. If exceeded, the state should take steps to return debt levels to recommended levels within a manageable period of time.

The Capital Budget presents these guidelines when presenting the projected debt ratios resulting from the Governor's recommended Capital Budget. As can be seen in the section entitled "Tax Supported Debt Burden", the recommended Capital Budget projects a ratio of debt to personal income which is well within the recommended 5.0 to 6.0 percent guideline, decreasing from 6.83 percent in FY 1997 to 3.89 percent in FY 2008. The projected ratio of debt service to general revenues is also within the recommended guideline of 7.5 percent. While the projected ratio increases from 4.29 percent in FY 2003 to 4.6 percent in FY 2008. This increase is attributable to the significant debt service savings from the tobacco defeasance which declines over time. It should be noted that the debt service projections contained in this document are based upon the currently outstanding variable rate and fixed rate debt and only fixed rate issuance in FY 2003 and thereafter. The Administration will continue to take such actions that will reduce debt service obligations, such as refundings and the implementation of a prudent variable rate program, and will closely monitor the growth of debt service expenditures.

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General Government

Department of Administration
Legislature
Rhode Island Economic Development Corporation
Public Utilities Commission

Department of Administration

Agency Responsibilities

Facilities Maintenance - The capital improvement program for the Department of Administration is primarily directed towards the repair and rehabilitation of those buildings under the direct jurisdiction of the department. These facilities include the State House, the William Powers Building (Department of Administration), the Cannon Building (Department of Health), the State Office Building (Department of Transportation), and various other structures. In total, nineteen buildings, plus the HVAC systems in seven court facilities, fall under the purview of the Department of Administration.

Land Use Planning - The Comprehensive Planning and Land Use Act of 1988 requires all Rhode Island cities and towns to submit comprehensive land use plans to the state for their communities. This act also provided for a program of technical and financial assistance for municipalities to encourage and facilitate the adoption and implementation of comprehensive planning throughout Rhode Island. The financial assistance portion of this program was financed with \$2.5 million in bond proceeds authorized by Chapter 552 of Public Law 1989. The Department of Administration is responsible for disbursing this funding to cities and towns in order to compensate them for their participation in the compilation of the Comprehensive State Land Use Plan.

Governor's Recommendations

Facilities Maintenance

State House Renovations - The Governor recommends a total of \$28.6 million through FY 2008 for renovations to and rehabilitation of the Rhode Island State House. Approximately \$15.8 million was expended on the ongoing segments of this project prior to FY 2003 and was financed with general obligation bond proceeds and the Rhode Island Capital Plan Fund. The Rhode Island Capital Plan Fund (RICAP) is the

primary funding source for FY 2003 and beyond.

This major multi-year renovation project has been divided into various smaller, distinct projects, some of which are expected to be completed in the current fiscal year. These projects included State House Renovations - Phase I, which involved the installation of fire safety and security systems, installation of handicapped accessible elevators, and the Skylights and Roof Repairs project, which made significant repairs to the roof deck, drains and skylights. Projects that will continue into FY 2003 and beyond are described below.

State House Terrace Walls and South Stairs - The State House Terrace and South Stairs project includes the dismantling and reconstruction of the exterior marble terrace walls and south stairs, using new marble where necessary. Restoration will include the installation of an impermeable membrane, repairs to drains, and replacement of broken pavers. Various studies conducted in recent years have highlighted the significant deterioration of these structures and have stated that, left unchecked, they are in imminent danger of collapse. This project commenced with a complete dismantling of the terrace walls in several locations to determine the full extent of the required repairs. This examination revealed greater damage than originally predicted and require greater structural repairs and the use of more new marble. As a result, the total cost of this project is projected to be \$9.1 million.

State House Security - The Governor recommends \$540,500 in FY 2004 for the installation of additional security equipment in the State House. Work will include the installation of a control center and renovations to the Freight Entrance; installation of duress buttons in offices and hallways; and wireless intercom systems.

State House Renovations (Phase II) - The Governor recommends \$1.7 million through FY 2007 for Phase II of the State House Renovations

Department of Administration

project. The phase will include a complete upgrade to interior office lighting for safety purposes as well as to meet acceptable uniform lighting standards. Additionally, a building-wide generator will be installed to operate the entire State House during power outages. The current generators were originally sized for emergency management operations only, resulting in the loss of most lighting, office machines, heat, computers, telephones, and elevators during power outages. This project would also involve painting of exterior sash and doors and other restoration to preserve and prevent further deterioration.

State House Renovations (Phase III) - The Governor recommends \$9.0 million beginning in FY 2004 for Phase III of the State House Renovations project. The major undertakings of this phase will be the installation of a central heating, ventilation, and air conditioning system that is modern and efficient. The Division of Central Services is considering a geothermal or an ice storage air conditioning system. An ice storage system, if feasible, would be done in conjunction with another project requested as part of this phase, expansion of the employee and legislator parking lot. Parking lot improvements are necessary to provide additional space, as well as to provide a suitable, practical freight entrance at the east end of the building. The shell and roof of the boiler house, located east of the State House, which is also deteriorating and in need of restoration or replacement, would also be repaired.

Other Repairs and Rehabilitation - The Governor also recommends funding for the repair and rehabilitation of various other state-owned buildings under the jurisdiction of the Department of Administration. Funding of approximately \$22.9 million will be made available over the program period for these projects. The following is a brief description of the planned projects:

Chapin Laboratory Building - This building houses the Health Laboratories and the Office of

the State Medical Examiner. Components of this project will include the replacement of the building's two chillers and cooling tower, renovation and upgrade of the HVAC and air handling system, replacement of the roof, and various other internal renovations. Due to the uses of this building, proper air conditioning and ventilation systems are essential to prevent pathogens and odors from being spread throughout the building. Funding of \$2.3 million is recommended for this project.

Cannon Building (Department of Health) - This building, built in 1967, falls into the same category as the Chapin Laboratory with little routine maintenance and only emergency repairs performed for many years. Normal wear and tear, increased electrical demand with the advent of computers and other office equipment, and improvements in HVAC and controls technology call for overall renovations to this facility. The current capital plan includes \$2.9 million to rectify the electrical code violations and hazards, to upgrade and renovate the HVAC system and chillers, and to upgrade the elevators.

Cranston Street Armory - The Military Staff vacated this early 1900s era building during the fall of 1996 and transferred ownership to the Department of Administration. The state, in cooperation with the City of Providence, the Providence Preservation Society and the Elmwood Neighborhood Association, sought proposals for the future development of this property. Of the many proposals submitted, one is currently under serious consideration. The proposal calls for the State Archives and the URI Special Records Collection to be housed within the armory. An architectural consultant was hired to prepare a report and specifications for necessary renovations. The emphasis of that report is on the exterior of the building, security, and code compliance measures. Initial work has concentrated on the roof, exterior masonry, and windows. The Governor recommends approximately \$8.1 million from the Rhode Island Capital Plan fund for renovations to the facility.

Department of Administration

Old Colony House (Newport) - This structure, which served as one of the first statehouses in Rhode Island and is home to a Gilbert Stuart painting of George Washington, requires significant repairs and renovations to preserve the historic nature of the building. Included in this project are various renovations to meet fire code requirements, upgrades to the electrical systems to safely support increasing electrical loads, air conditioning of the Council Chamber to help preserve the Gilbert Stuart painting, and interior/exterior painting. Funding of \$298,000 is recommended from the Rhode Island Capital Plan Fund for this project.

State Office Building - This building currently houses the Department of Transportation and is in need of extensive rehabilitation and repairs to include fire code renovations, window replacement, restroom renovation, exterior envelope repair, and installation of a new elevator to meet OSHA and ADA requirements. Funding earmarked for this project totals \$1.4 million from the Rhode Island Capital Plan Fund.

Court Buildings (HVAC Systems) - Although jurisdiction over the general maintenance and upkeep of various court facilities was transferred to the Judicial Department effective July 1, 1995, the Department of Administration retained responsibility for the operation and maintenance of the heating, ventilation and air conditioning systems. This is because most of the HVAC systems are operated via a central computer control system located at One Capitol Hill. Funding of \$1.9 million is to be made available from the Rhode Island Capital Plan Fund for the upgrade and renovation of the HVAC systems at the Newport, Licht, Garrahy and McGrath Court Houses.

Veterans Memorial Auditorium - The Veterans Auditorium, although operated by the Veterans Auditorium Foundation, a private non-profit organization, is a state-owned facility and the responsibility of the Division of Central Services. The goal of this project is to create an Arts and

Cultural Center as part of the comprehensive redevelopment of the area west of the State House. The project development would coincide with the private development of the Masonic Temple.

The scope of the project has increased dramatically from previous capital plans. The approved CIP included funding for handicapped access, required fire code renovations, renovations of the downstairs facility to accommodate small events, renovation of the antiquated HVAC systems, construction of a loading dock, and re-upholstering of auditorium seats. The project, as now recommended, includes funding for significant interior and exterior aesthetic improvements including the stage area, lobby, vestibule, and marquis. The Governor recommends \$6.5 million from the Rhode Island Capital Plan Fund for the project, for the period FY 2007 through post-FY 2008..

Veterans Auditorium Office Building - This facility, which is located directly behind the Veterans Memorial Auditorium, is in need of various general renovations including the installation of a new handicapped accessible elevator, replacement of deteriorated flooring, interior improvements, and replacement of the existing air conditioning system. This building currently houses the Veterans Auditorium Foundation, the Rhode Island State Council on the Arts and the Veterans of Foreign Wars organization. Funding of \$3.0 million is recommended from the Rhode Island Capital Plan Fund as part of the capital improvement program.

William Powers Building (Department of Administration) - Although this building is relatively new, it is anticipated that some HVAC upgrades and other interior repairs will be necessary by FY 2004. In order to avoid the fate that has fallen on many other state-owned facilities, the Division of Central Services is requesting funds to maintain this structure in good repair and comfortable working conditions for years to come.

Department of Administration

The project, as proposed, includes HVAC renovations to upgrade the existing mechanical system and control system to the latest digital system to maintain comfort, air quality and energy efficiency. The other major project will be the replacement of carpets worn out from heavy traffic. The Governor recommends \$647,000 from the Rhode Island Capital Plan Fund for this project.

Masonic Temple Rehabilitation – The state has entered into an agreement with a hotel developer to renovate the Masonic Temple building into an upscale hotel. As part of this agreement, the state has committed to providing \$500,000 towards the initial repairs to the state-owned structure to be undertaken by the developer. The FY 2004 – FY 2008 Capital Improvement Plan includes this funding in FY 2004 from the Rhode Island Capital Plan Fund.

State Information Operations Center – Prior capital improvement plans included approximately \$70,000 for a feasibility study to determine the most appropriate location for the State Information Operations Center, and what such a center should contain. This feasibility study was completed in FY 2001 and determined that the present location in Johnston is an appropriate location, but that the existing facility needs major renovations to properly meet the technology needs of the state. The Governor recommends \$200,000 in FY 2004 to begin design work for the renovations to this structure and to ascertain the full project costs for funding in a future capital improvement program.

Powers Building Technology Infrastructure – The Governor recommends \$500,000 in FY 2004 to upgrade the IT facilities in the Department of Administration building. The project will focus on upgrades to the local area network capacity, upgrading wiring, and security facilities of the statewide network's point of contact with the Internet. Because the Powers Building is the nerve center of data communications throughout state government,

these upgrades are vital for the continued expansion of information technology utilization in the state.

Bio-Technology Training Laboratory - The Governor recommends \$300,000 in FY 2004 as seed funding to partner with the private sector to establish a state of the art training facility to prepare Rhode Island's workforce for future employment in the Biotechnology sector.

Washington County Government Center - This project will provide \$885,000 for HVAC renovations, flooring and carpeting replacement, installation of an emergency generator, roof replacement and various exterior renovations to this Wakefield facility.

Old State House – This project would begin with repair and reconstruction of the brownstone windows and sills. Further work would include replacement of the boiler and heating systems within the Old State House located on Benefit Street in Providence. These systems most likely date to the early 1900s, and are in a state of disrepair, inefficiency, and inadequacy. The project also includes the installation of a new interior sprinkler system, for fire protection. This facility currently houses the RI Historical Preservation and Heritage Commission. The Governor recommends \$2.0 from the Rhode Island Capital Plan Fund for this project.

Board of Elections Building – The Governor recommends funding of \$550,000 from the RI Capital Plan Fund through FY 2005 for HVAC and electrical work at the Board of Elections Building.

Land Use Planning

Comprehensive Land Use Planning – The Governor recommends \$211,186 be made available over FY 2003 and FY 2004 for the Land Use Planning project. This money is used to reimburse cities and towns for their participation in compiling the overall state Land Use Plan. Funds are provided from previously

Department of Administration

issued bond proceeds from the 1989 authorization. Cities and towns are reimbursed upon completion and submission of their Land Use Plan. As such, it is difficult to predict exactly when funds will be expended. In total, \$2.5 has been issued from the 1989 authorization for this project, comprised of \$320,000 for preparation and development of a natural resource and planning database, \$80,000 for administrative expenses and \$2,087,500 for reimbursement to cities and towns. (An additional \$12,500 was expended for bond issuance costs.)

Other Projects

Environmental Compliance – In order to promote compliance by state agencies with state and federal environmental laws and regulations, the Department of Administration established a new Environmental Compliance Unit in FY 1999. Over FY 1999 and FY 2000, this unit primarily focused on the removal of approximately 125 underground fuel storage tanks and associated ground remediation. The Governor is recommending funding of \$5.3 million through FY 2008 for continuing environmental compliance activities. This funding will address environmental issues beyond the scope and requirements of the underground storage tank replacements, including funds to address the pre-existing environmental compliance issues at the airports. These funds are required pursuant to the lease between the state and the Airport Corporation.

Asset Inventory – The FY 2000 Appropriations Act revised RIGL 35-3-7.2 relating to the responsibilities of the Capital Development Planning and Oversight Commission. This Commission is now responsible for maintaining an up-to-date inventory of state-owned buildings and for establishing a priority listing of required repairs and capital improvements. The Governor is recommending \$600,000 from the Rhode Island Capital Plan Fund for use in carrying out this requirement. The Capital Commission will work with the State Controller and the Division

of Central Services to develop this inventory with the goal of also meeting the requirements of the new Governmental Accounting Standards Board rule #34.

Fox Point Hurricane Barrier – The Fox Point Hurricane Barrier was built in the early 1960s to protect downtown Providence from flooding resulting from tide surges that often occur during hurricanes. The voters of Rhode Island approved a bond referendum in November 1960 authorizing \$1.7 million in debt to help finance the barrier's construction. Upkeep of the hurricane barrier, however, has largely fallen to the City of Providence.

The Army Corps of Engineers was requested to perform a review of the Fox Point Hurricane Barrier to determine its short-term and long-term capital needs. The Army Corps has estimated that short-term repairs and catch-up maintenance for the pumps and tainter gates will cost approximately \$1.7 million. In addition, the Army Corps recommended an annual maintenance budget, exclusive of personnel and utilities, of \$100,000 for structure and equipment.

The Governor recommends that the state provide one-half of the \$100,000 annual maintenance budget requirements annually.

Fuel Depots – The Division of Central Services and the State Energy Office propose to construct several new Compressed Natural Gas fuel depots at various sites in Rhode Island. The project is a multi-purposed plan for an Alternative Fueled Vehicles (AFV) Program. The total cost of the project is \$6.1 million, which is primarily financed with federal Congestion Mitigation and Air Quality (CMAQ) funds.

The project as proposed would satisfy mandates of the Federal Energy Policy Act. This federal legislation requires states to purchase fifty percent of model year 2000 vehicles and seventy-five percent of model year 2001 and after vehicles as AFVs. Under the federal guidelines,

Department of Administration

law enforcement and emergency vehicles are exempt. Rhode Island has chosen Compressed Natural Gas (CNG) as the alternative fuel of choice to meet this requirement. With the increased use of these alternative fuel vehicles, fueling stations providing this type of fuel will be required throughout Rhode Island.

Affordable Housing Initiative – The Governor recommends \$10.0 million from debt issued by the Rhode Island Housing and Mortgage Finance Corporation and an additional \$15.0 million from general revenues over three years for an affordable housing program. This program, to be operated by the Housing Resources Commission, will provide grants or low interest loans for the rehabilitation of dilapidated housing units or for new construction, along with operating subsidies to reduce rents for a minimum of ten years. Units would be available to those working at or near the minimum wage. The debt service on the debt issuance is the responsibility of the state.

Traveler's Aid Relocation –The Rhode Island Housing and Mortgage Finance Corporation issued \$2.5 million in debt to finance a portion of the relocation costs for the Traveler's Aid organization in downtown Providence. The debt service on this debt issuance is the responsibility of the state.

Legislature

Agency Responsibilities

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is currently composed of 38 members. The House of Representatives is currently composed of 75 members. The General Assembly meets annually and is responsible for enactment of laws, the formation of state policy, and the evaluation of programs through the appropriation process. The Joint Committee on Legislative Affairs, Legislative Council, the Fiscal Advisory Staff, the Office of Auditor General, and Special Legislative Commissions assist the General Assembly in executing its constitutional role. The Legislature convenes in the State House, and its staff is housed in offices in the State House. Since the Department of Administration has responsibility for the State House and its capital needs, the Legislature has not previously had a formal capital improvement plan.

Governor's Recommendations

Legislative Office Building – In FY 2001, the General Assembly enacted funding of \$15.0 million over a four-year period to develop a Legislative Office Building. This plan consisted of \$4.0 million of general revenues in FY 2001 through the use of prior year reappropriated funds for the first phases of the project and \$11.0 million from the Rhode Island Capital Plan Fund for the remaining phases. This plan was subsequently revised by shifting financing for the project entirely to the RICAP fund. The FY 2004 – FY 2008 capital improvement plan continues to reflect funding for this project at a total of \$15.0 million. The distribution is \$4.0 million in FY 2004, FY 2005 and FY 2006 and \$3.0 million in FY 2007.

Rhode Island Economic Development Corporation

Agency Responsibilities

The mission of the Economic Development Corporation is to encourage and promote the sound development of business, commerce, and tourism in the State of Rhode Island. The Corporation coordinates the economic development activities of several agencies under its purview.

In November 1996, the voters approved a bond referendum that authorized the state to issue general obligation bonds for the further development of the Quonset Point/Davisville Industrial Park. Included in this referendum were two separate provisions. The first authorized the issuance of up to \$50.0 million to provide for the construction of a third railroad track on the Northeast Corridor for freight and passenger service and freight rail improvements. The second provision authorized the issuance of up to \$22.0 million to provide funds for road and utility infrastructure, building demolition, site preparation and port infrastructure projects at the Industrial Park.

Although the Freight Rail Improvement Project is an economic development initiative, and had been displayed under this section of the capital budget in previous years, the Department of Transportation is the lead agency on this project and as such, the project is being displayed under the DOT section of this document this year.

Governor's Recommendations

Quonset Point/Davisville Industrial Park Improvements - The Governor recommends the final expenditure of \$22.4 million of issued general obligation bonds in FY 2003 for various improvements at the Quonset Point/Davisville Industrial Park in North Kingstown. Some of the major components of this project have included: the demolition of 81 unusable buildings, removal of hazardous materials, and site preparation; improvements to the Davisville Pier I; and the

installation of 500 linear feet of new bulkhead between Piers I and II. These projects have been funded with general obligation bonds as authorized by the voters in the November 1996 election and the balance of funding from the 1979 authorization.

In addition, the Governor recommends a new bond referenda totaling \$22.0 million to be placed on the November 2006 ballot to continue the development of this valuable economic resource.

Ladd Center - Management of the Ladd Center property in Exeter, Rhode Island was transferred to the Economic Development Corporation in early 1998. The Corporation has developed a multi-phase plan to maintain and ultimately develop this property. Included in this plan are various improvements to the infrastructure of the park, including roads and utilities, as well as the demolition of various unusable buildings.

The Corporation has been working closely with the federal Department of Labor, which plans on constructing a Job Corps facility at the Ladd Center. This facility will form the core of the new Center and use a number of existing buildings as part of its development.

The Governor recommends \$2.8 million from the Rhode Island Capital Plan Fund for various infrastructure improvements and building demolition at the Ladd Center. Specific work to be performed with this funding includes the upgrading of utility systems including electrical, natural gas, water, storm drainage, telephone and fiber optic services. These funds will also be used to construct new roads as necessary.

Public Utilities Commission

Agency Responsibilities

Comprised of two distinct regulatory bodies, the Division of Public Utilities and Carriers and the Public Utilities Commission, the agency serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct for all public utilities and common carriers of property and persons. Both divisions also hold hearings and investigations involving rates, tariffs, tolls, and charges.

Additionally, while the Commission exercises jurisdiction over the siting of potential energy facilities, the Division of Public Utilities and Carriers supervises and regulates Community Antenna Television Systems (CATV), including transactions between public utilities and affiliates as well as public utility debt and equity issuances.

Governor's Recommendations

Asset Protection – This project seeks to bring the facility housing the Public Utilities Commission into compliance with state fire code regulations and federal Americans with Disabilities Act requirements.

Although the agency has undertaken various improvements and renovations to its occupied office building at 89 Jefferson Boulevard since its relocation in FY 2001, FY 2004 marks the first fiscal year that this capital project would be included in the state's capital plan.

The Governor recommends a total project cost of \$1.5 million in restricted receipts, consisting of \$300,000 in FY 2003 and \$1.2 million over the FY 2004 – FY 2008 capital improvement plan period. These funds will be used for various asset protection projects including, but not limited to, interior and exterior improvements, equipment and other hardware acquisition, and resurfacing and re-striping of the parking lot.

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Human Services

Department of Children, Youth and Families
Department of Human Services
Department of Mental Health, Retardation and Hospitals
Governor's Commission on Disabilities

Department of Children, Youth and Families

Agency Responsibilities

The Department of Children, Youth and Families provides services to children and youth, both directly and in partnership with private sector agencies. A number of state and privately owned facilities are used to provide these services. The department is responsible for facilities at Friendship Street in Providence, the Rhode Island Training School for Youth at the Pastore Center, the Groden School on Cowesett and Mt. Hope Avenues, three of the five properties occupied by the Spurwink School, and the building occupied by the North American Family Institute.

The department's capital improvement plan has primarily focused on the construction and repair needs of the Rhode Island Training School for Youth. Projects at the Training School, many of which were mandated by court order, have included the renovation of the Youth Correctional Center, the construction of a new school building, and the installation of an air conditioning system and additional fencing.

Governor's Recommendations

Rhode Island Training School

New Facility – The Governor recommends the issuance of \$57.8 million in certificates of participation in FY 2003 for the construction of a new 214-bed training school at the Pastore Center. This funding will be expended from FY 2003 to FY 2006. Other funding available for the project includes \$1.9 million from a letter of credit held by the Rhode Island Economic Development Corporation from the sale of property to a private developer in Cranston. The project is designed to replace the existing training school, address overcrowding issues, and to comply with a Federal Court consent decree. The new facility will house detained and adjudicated male and female residents and provide equitable opportunities for residents to participate in programming. Architectural and

Planning and engineering services commenced in FY 2002. The project is due for completion in FY 2006.

Bathroom Renovations – The Governor recommends \$100,000 from the Rhode Island Capital Plan Fund for shower and bathroom renovations at the R.I. Training School.

Private Providers

Providence County Mental Health – The Governor recommends \$173,641 in FY 2003 for the completion of a facility for the short-term treatment of emotionally disturbed children and youth. This facility will be financed with from previously issued general obligation bond proceeds.

Spurwink/RI – The Governor recommends \$113,939 in FY 2003 to renovate and repair state-owned properties in Lincoln, Johnston, and Cumberland operated by Spurwink/RI. Spurwink/RI houses children who have been placed with the department for their custodial care. The repairs will be funded from the Rhode Island Capital Plan Fund.

Groden Center Mt. Hope – The Governor recommends \$79,660 of Rhode Island Capital Plan funds in FY 2003 for roof repairs at the state-owned property approved by the Groden Center on Mt. Hope Avenue. In FY 2003, \$46,913 of G.O. bond proceeds will be utilized for this project.

NAFI Center – The Governor recommends \$300,000 of Rhode Island Capital Plan funds in FY 2004-2005 for renovations and repairs to the state owned facility which houses the Ace program. This program, operated by the North American Family Institute, is a contracted residential treatment program for up to twenty-two youths that are adjudicated to the program.

Department of Human Services

Agency Responsibilities

The Department of Human Services has utilized general revenue, Rhode Island Capital Plan Fund and agency funds to continually rehabilitate those fixed assets that are essential to providing basic and specialized services to needy individuals and families. This includes the social, medical, and rehabilitative services to eligible Rhode Island veterans at the Veterans' Home in Bristol, and all arrangements for veterans and their dependents at the Veterans' Memorial Cemetery in Exeter.

Since 1995, the Department of Human Services has provided facility management for the Aime J. Forand Building at the Pastore Center. In FY 1997, the Department of Human Services entered into a lease arrangement for space at the Benjamin Rush and Louis Pasteur Buildings at the Pastore Center. Beginning in FY 2000, the capital improvement plan has included funds from the Rhode Island Capital Plan Fund to accomplish asset protection activities at all three of these facilities.

Governor's Recommendations

Veterans' Cemetery Master Plan - The Governor recommends \$512,000 from federal funds in FY 2003 for an assessment and development plan for the Veterans' Cemetery, to include redesign of the front entrance and highway approach, security fencing, and additional rest rooms. The Governor recommends \$500,000 in FY 2004 from federal funds and \$1.0 million in FY 2004 from dedicated revenue within the Veterans' Home restricted receipt account for facility improvements identified in the plan. The restricted expenditures should be reimbursable in FY 2005 from federal grant funds.

Veterans' Home HVAC System Upgrade - The Governor recommends expenditures of \$879,406 in FY 2003 to complete a \$2.7 million project that began in FY 1998. The project will upgrade

the current heating system and add air conditioning at the RI Veterans' Home. The project will provide a central air and heating system that will provide a healthier environment for the residents at the home. The project will be funded by dedicated revenue within the Veterans' Home restricted receipt account and will be completed in FY 2003.

Veterans' Cemetery Committal Shelter - The Governor recommends expenditures of \$409,420 in FY 2003 to complete construction of a new chapel/committal building at the Veterans' Cemetery. The \$1.3 million project will be completed with \$9,654 of dedicated revenue within the Veterans' Home restricted receipt account, and with \$399,766 in federal grant funds.

Veterans' Home -Roof Replacement - The Governor recommends expenditures of \$1,126,823 in FY 2003 from the Veterans' Home restricted receipt account, and \$140,000 in FY 2003 from the Rhode Island Capital Plan Fund for the Veterans' Home Roof project. The project consists of replacing the roof at the North Building at the Veterans' Home, and will total \$1.3 million, and will be completed in FY 2003. The roof was last replaced in 1987 and had developed leaks. This project will prevent health and safety risks for patients, staff and visitors at the Veterans' Home.

Veterans' Home - Renovations to N-3 Building - The Governor recommends \$116,812 in FY 2003 for reconfiguring the nurse's station and the dayroom in the N-3 building at the Veterans' Home. This \$122,611 project will enhance resident health and improve the visitor environment in the facility. The project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home Resurface Roadways - The Governor recommends \$200,000 in FY 2003 for resurfacing roadways and parking lots at the

Department of Human Services

Veterans' Home. This asset protection activity is necessary to avoid accelerated deterioration of the pavement surfaces. The project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Renovate Pump House/Water Tower - The Governor recommends \$15,000 in FY 2003, and \$195,000 in FY 2004 for renovation of the pump house and water tower at the Veterans' Home. This groundwater source is necessary to provide fire fighting water flows at the Home and to avoid failure of the system. The \$210,000 project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Demolition of Commandant Structures - The Governor recommends \$100,000 in FY 2004 to demolish two residential structures at the Veterans' Home. Both structures have been vacant for several years, are deteriorated, and pose fire hazards. The project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Activity Area - The Governor recommends \$20,000 in FY 2004, and \$221,000 in FY 2005 for design and construction of an activity area on the ground floor of the Veterans' Home. The \$241,000 project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Windows Replacement - The Governor recommends \$20,000 in FY 2005, and \$242,000 in FY 2006 to replace ninety-eight windows in two buildings at the Veterans' Home. The replacement windows are expected to improve the energy efficiency and building integrity at the Home. The \$262,000 project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Entrance Improvements - The Governor recommends \$20,000 in FY 2004, and \$250,000 in FY 2005 to remodel the entrance area at the Veterans' Home. The project would enhance safety, accessibility and shelter qualities of the entrance areas. The \$270,000 project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Bathroom and Shower Improvements - The Governor recommends \$20,000 in FY 2006, and \$600,000 in FY 2007 to expand and remodel showers and bathrooms for the Veterans' Home domiciliary unit. The \$620,000 project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Replace Garage Roof - The Governor recommends \$50,000 in FY 2007 to reshingle the roof of the main garage at the Veterans' Home. The project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Veterans' Home - Renovations to N-5 Building - The Governor recommends \$200,000 in FY 2008 to renovate the N-3 nursing unit at the Veterans' Home. The project will enhance resident health and improve the visitor environment in the facility, and include repairs to bedrooms, bathrooms, corridors and auxiliary rooms. The project will be financed from dedicated revenues within the Veterans' Home restricted receipt account.

Forand Building Improvements - The Governor recommends expenditures of \$153,723 in FY 2003, and \$800,000 in FY 2004 from the Rhode Island Capital Plan Fund to complete various improvements to the Forand Building envelope. The \$970,000 project includes replacements of window spandrel panels, exterior door and windows, and roof soffits. Window panels have rusted through to the interior surface of the

Department of Human Services

structure, allowing weather and insects to invade the building. Replacement of exterior doors and fenestration will assist in providing a controlled atmospheric climate. The soffitt element will remove and replace the exposed ceiling that has become disengaged from the support structure by water damage.

Forand Paving and Exterior Stairways – The Governor recommends expenditures of \$385,000 in FY 2005 from the Rhode Island Capital Plan Fund. The project includes the replacement of the existing bituminous paving including the resetting of the manholes, and the repair of the exterior stairways. The replacement of the pavement will prevent employee injury. The stairs must also be rebuilt with handrails installed on both sides to conform to building codes.

Establishment and Refurbishment of Blind Vending Facilities – The Governor recommends expenditures of \$140,000 in FY 2005, \$140,000 in FY 2006, \$140,000 in FY 2007, and \$140,000 in FY 2008 from the Rhode Island Capital Plan Fund for Blind Vending Facilities. The project provides for the construction and/or refurbishment of vending facilities on a statewide basis within buildings leased, rented, or owned by the State of Rhode Island in accordance with RIGL 40-9-11.0 through 11.6. This project will maintain statewide employment opportunities for persons who are legally blind in Rhode Island.

Department of Mental Health, Retardation and Hospitals

Agency Responsibilities

The Department of Mental Health, Retardation and Hospitals has had an extensive capital improvement program in recent years. This program primarily reflects the increased fixed asset demands resulting from the deinstitutionalization of patients into residential facilities. The department is responsible for both administrative and patient care facilities at the Pastore Center, Zambarano Hospital Campus, and over 200 facilities statewide through its residential programs. The department provides grants, through its capital program, to regional centers that serve mentally ill and developmentally disabled clients. With the transfer of the Division of Substance Abuse from the Department of Health, effective August 30th 1998, the department took responsibility for all Substance Abuse related capital programs. The department provides and/or manages appropriate levels of substance abuse prevention and treatment through a community based network of residential and outpatient treatment facilities owned by both the state and private providers. These facilities are located in various communities throughout Rhode Island and on the grounds of the Pastore Center in Cranston. The department has responsibility for the development and repair of those facilities owned by the state.

The department's capital improvement program is composed of the following major categories, which include both infrastructure improvement to state facilities and support to non-state agencies.

Institutional Facilities - The institutional facilities plan provides for the physical plant requirements of the Pastore Center and the Zambarano campus of the Eleanor Slater Hospital. These requirements include major interior and exterior repairs to protect buildings, the replacement of worn out equipment, and the improvement of heating, ventilation and air conditioning systems. Renovations and repairs to

heating, power, and related utility systems, as well as the implementation of energy conservation initiatives, have been ongoing requirements for the department.

Community Services - The department has the responsibility of making certain capital improvements to over 200 facilities statewide through its residential programs. This has included the acquisition, renovation or repair of community residences, and the purchase of home modifications and specialized equipment to allow disabled family members to stay at home.

Community Mental Health Centers - The department has the responsibility of making certain capital improvements to eight regional community centers. These improvements continue in conjunction with program initiatives.

Regional Centers Developmentally Disabled - Prior voter authorization exists for the acquisition, construction, renovation, and equipment and furnishing costs associated with nine regional centers for the developmentally disabled. The department continues usage of this authorization for programs at existing centers.

Day Programs - Day Treatment Facilities for the chronically mentally ill were constructed or renovated with bond funding. Remaining funds are utilized for renovations and equipment.

Sheltered Workshops - Mental Health sheltered workshops provide an opportunity for mental health clients to work in the community in environments conducive to the therapeutic process. These programs provide both economic and therapeutic benefit to the client. Bond funds provide for the acquisition of equipment associated with business operations.

Governor's Recommendations

Utility Upgrades - The Governor recommends \$2.8 million in funding from FY 2003 through FY 2008 for the upgrading of the utility system

Department of Mental Health, Retardation and Hospitals

throughout the entire Pastore Center complex. The majority of the funds to be spent will be dedicated to replacing steam lines and improving the electrical distribution system of the campus. This project is financed from the Rhode Island Capital Plan Fund. The loss of steam, through leaks, has had a significant negative impact on the cost of operation of the Central Power Plant in terms of fuel consumption and additional maintenance. The steam leaks also represent a threat to employees, clients and members of the general public who visit or use the facilities at the Pastore Center.

RI Medical Center Rehabilitation - The Governor recommends \$2.8 million from FY 2003 through FY 2008 for the rehabilitation of the institutional facilities at the Pastore Center. This will be accomplished through the use of \$58,667 of general obligation bond proceeds and \$2.7 million from the Rhode Island Capital Plan Fund. The scope of this plan includes the ongoing repair, renovation, upgrade and rejuvenation of the MHRH owned facilities at the Pastore Center complex. During FY 2003 and FY 2004, some of the projects to be undertaken will include: the continued upgrade of the HVAC system in the Adolph Mayer Building, the installation of a sprinkler system in the Mathias Building and the installation of new fire control response systems in twelve elevators.

Central Power Plant Rehabilitation - The Governor recommends \$22.4 million for the rehabilitation and upgrade of the Central Power Plant that serves the entire Pastore Center complex for the FY 2003 to FY 2008 period. Approximately \$8.8 million was spent prior to FY 2003 relating to this project. The project is currently in the construction phase with full operation anticipated no later than January 2004. Certificates of Participation were issued in December of 2000 to fund this project. In addition to COPS funding, Insurance proceeds, in the amount of \$246,328, are available for the repair of Turbine 4, which will remain as part of

the new Power Plant configuration.

Environmental Mandates - The Governor recommends a total of \$930,000 in RICAP funds to ensure that all environmental mandates for which the department has responsibility are met. This will be financed from the RI Capital Plan Fund with \$200,000 programmed in FY 2004, \$380,000 in FY 2005 and \$350,000 in FY 2006.

Water Storage Tanks and Pipes - The Governor recommends \$1.6 million to update the water supply and storage requirements at the Pastore Center complex. This will be financed from the Rhode Island Capital Plan Fund with \$145,255 financed in FY 2003, \$450,000 budgeted in FY 2004 and \$250,000 in each year from FY 2005 through FY 2008. The funding for FY 2003 will be utilized to repair and replace water lines. The water lines need to be replaced in order to deliver adequate pressure to all of the fire hydrants on the campus.

Zambarano Building and Utilities - The Governor recommends \$1.3 million through FY 2008 for renovations at the Eleanor Slater Hospital on the Zambarano campus. The funding dedicated for FY 2003 totals \$97,300. This funding will be utilized for repair of the elevated water tank and to the kitchen and dining area. The funding for fiscal years 2004 through 2007 will be utilized for major renovations to the water system and renovations to the Hospital's infrastructure.

Warehouse - The Governor recommends \$300,000 in FY 2004 and \$300,000 in FY 2005 from the Rhode Island Capital Plan Fund to repair the second half of a building at the Pastore Center to be utilized as a warehouse for the Eleanor Slater Hospital. The majority of these funds will be utilized for repairs to the roof. The remaining funds will be utilized for interior repairs necessary due to water damage.

Sewer Improvements - The Governor recommends \$500,000, in FY 2004, for the

Department of Mental Health, Retardation and Hospitals

installation of a sewage filtering process for the Pastore Center Campus. This process/facility will remove debris from the sewage system to prevent overflows and downstream processing problems.

Mental Health Services

Mental Health Residences - The Governor recommends \$6.7 million for fiscal years 2003 through 2008 that would be principally provided to RIHMFC (Rhode Island Housing & Mortgage Finance Corporation) to provide low-cost housing for people with mental illness. These projects will be financed with \$1.2 million of previously issued bond proceeds and \$3.8 million of authorized, but unissued long-term debt and \$1.7 million of Rhode Island Capital Plan funds. It is recommended that \$1.1 million be expended in each of the fiscal years 2004 through 2008.

Mental Health Residences - Furniture - The Governor recommends \$1.2 million from FY 2003 through FY 2008 for furniture for residences. This will be funded with authorized and issued long-term debt of \$200,000 in FY 2003, and with authorized but unissued debt in FY 2004 through FY 2008.

Community Mental Health Facilities - Capital Repairs - The Governor recommends \$1.2 million for repairs and rehabilitation of the Community Mental Health Centers and group homes from FY 2003 through FY 2008. These funds would secure assets from deterioration. This project will be funded with \$208,152 in previously issued bond proceeds and \$1.0 million of authorized but unissued long-term debt. It is recommended that \$208,152 be made available for FY 2003 and that \$200,000 be made available for each year from FY 2004 to FY 2008.

Developmental Disabilities

MR/DD Residential Development - The

Governor recommends \$11.6 million from FY 2003 through FY 2008 for the continuation of the development of housing for individuals with developmental disabilities. The program is designed to provide grants for individuals and agencies for down payments and contributions toward ownership, enabling individuals to reside in the community rather than in group-homes. Projects may also include the acquisition of furniture, furnishings and modifications or renovations to provide accessibility. These projects will be financed with \$2.8 million of previously issued bond proceeds, \$1.8 million of authorized, but unissued long-term debt, and \$7.0 million of Rhode Island Capital Plan funds.

MR Community Facilities - Capital Repairs - The Governor recommends \$9.9 million for capital repairs for community facilities. Anticipated repairs include septic systems, roofs, electrical upgrades, heating, air conditioning, and major plumbing repairs. These projects will be financed with \$1.9 million of previously issued bond proceeds, \$0.9 million of authorized, but unissued long-term debt, and \$7.2 million of Rhode Island Capital Plan funds. It is recommended that \$1.6 million be expended in each fiscal year from FY 2004 through FY 2008.

Management Information - The Governor recommends \$399,708 for a management information system for the Developmentally Disabled program. This project will be funded from previously issued bond proceeds. Federal reimbursement for this project is anticipated on a 90/10 matching basis.

Regional Center Repairs/Rehabilitation - The Governor recommends \$3.5 million from FY 2003 through FY 2008 for repairs to the Developmental Disability Regional Centers. A total of \$1.0 million is available for expenditure in FY 2003 from previously issued general obligation bond proceeds. A total of \$500,000 of authorized but unissued debt is recommended for fiscal years 2004 and 2005, and \$200,000

Department of Mental Health, Retardation and Hospitals

for FY 2006. For FY 2006, the balance of the \$500,000 recommendation will be supported with Rhode Island Capital Plan funds, while the entire recommendation for FY 2007 and FY 2008 will be supported from the Rhode Island Capital Plan Fund. Repair projects may include HVAC systems, roofs, septic systems and interior and exterior modifications. The general obligation bond funding is center specific in accordance with the provisions of the authorizing bond language.

compliance, replacement of roofs, HVAC systems and for emergency repairs. Rhode Island Capital Plan funds in the amount of \$100,000 will be made available in each of the fiscal years 2004 through 2008.

Substance Abuse Services

King House - The Governor recommends \$206,000 in FY 2003 for this project. A total of \$800,000 was issued from previously authorized general obligation bonds in FY 1995 to acquire and renovate a facility to replace the existing residential facility for female alcoholics. The current facility does not meet program requirements and cannot be renovated due to zoning restrictions. The recommended funding will be utilized to acquire a facility adjacent to King House, which will be used for a transitional housing program. The balance of the funding will be combined with that of Eastman House to build a new facility to house both residential programs.

Eastman House - The Governor recommends \$1.8 million for the construction of a new facility to house the Eastman House and King House residential programs. All of the funding for this project is derived from previously authorized and issued bond funds.

Asset Protection/ADA Renovations - The Governor recommends \$701,611 from FY 2003 through FY 2008 for Substance Abuse asset protection projects. A total of \$101,611 of previously authorized and issued general obligation bonds are available for FY 2003 in addition to \$100,000 from the Rhode Island Capital Plan Fund. The project includes general renovations to state-owned Substance Abuse facilities to include remodeling for A.D.A.

Governor's Commission on Disabilities

Agency Responsibilities

The Governor's Commission on Disabilities is responsible for coordinating compliance by state agencies with both federal and state disability rights laws. It also approves or rejects requests for waiver of the State of Rhode Island building code's accessibility for people with disabilities provisions at facilities intended to be leased by state agencies.

In an effort to centralize all handicapped accessibility projects, legislation enacted by the 2002 General Assembly transferred the duties, responsibilities, and functions of the State Building Code Commission relating to handicapped accessibility to the Commission on Disabilities. The commission now has responsibility for all projects and associated funding previously managed by the Building Code Commission within the Department of Administration.

Governor's Recommendations

Handicapped Accessibility – Auxiliary Aids – This project seeks to eliminate structural and communication barriers in state-operated programs so that persons with disabilities can fully participate in the services, activities, and benefits of state agencies, including employment by those same agencies.

The Governor recommends total project funding from bond funds of \$935,032 for the acquisition of specialized (auxiliary aid) equipment. Having already expended \$819,280 prior to FY 2003, the Governor recommends the balance of \$115,752 be programmed in FY 2003.

Handicapped Accessibility – Facility Renovations (FR1) – The commission oversees the expenditure of capital funds for handicapped accessibility projects that have been determined to be necessary by the Rhode Island Coordinating Committee on the ADA. This

committee was established, under the direction of the Commission on Disabilities, to evaluate current services, policies, and practices of state agencies with regard to the requirements of the Americans with Disabilities Act and other pertinent federal regulations. The Coordinating Committee developed the *Self Evaluation and Compliance Plan*, which identifies those areas where the state needs to make improvements and describes a plan of action, including descriptions of specific projects needed to bring about compliance. These projects primarily consist of repair and rehabilitation of existing state-owned structures to bring them into compliance with federal accessibility regulations. In addition, funding will be available for use by the commission for rehabilitation of educational facilities and for accessibility improvements to outdoor recreation areas.

The Governor recommends total project funding of \$10.5 million over the planning period, consisting of bond funds of \$1.4 million and funding from the Rhode Island Capital Plan Fund of \$9.7 million. Bond fund expenditures include \$1.3 million in FY 2003 and \$129,814 over FY 2004 and FY 2005. The Rhode Island Capital Plan Fund provides \$250,000 in FY 2003, \$500,000 in FY 2004 and FY 2005, and \$4.5 million in FY 2006 through FY 2008.

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Education

Department of Elementary and Secondary Education
Public Higher Education
Historical Preservation and Heritage Commission
Public Telecommunications Authority
Atomic Energy Commission

Department of Elementary and Secondary Education

Agency Responsibilities

The Department of Elementary and Secondary Education is responsible for the repair, renovation and improvement of fixed assets utilized by the vocational education system and by the School for the Deaf. This system currently consists of eight regional vocational schools operated by the local school districts, and three state-operated facilities including the School for the Deaf, the Davies Career and Technical School, and the Metropolitan Career and Technical School. Plans for future improvement of the vocational education system are based upon recommendations contained in a report prepared by the Vocational Education Review Committee in November 1990 entitled "Restructuring Vocational Education in Rhode Island". Among the recommendations contained in this plan are the establishment of more broadly based career and technical centers that fully integrate academic and vocational programs and the consolidation of policy setting and operations within the vocational system. This has been affirmed by the Governor's Taskforce findings in "Restructuring Career and Technical Education in Rhode Island" published in March, 2000.

Governor's Recommendations

Davies Career and Technical School Roof Repair - The Governor recommends \$470,000 for roof repairs at the Davies Career and Technical School. Of this total, \$20,000 is financed with General Obligation bond proceeds and \$450,000 is financed with Rhode Island Capital Plan funds. Sections of the roof on the original structure and on the modular building are leaking. This project will remedy this and avoid the additional problems that continued leaking would create. Prior to FY 2003, \$17,000 in bond proceeds were expended on this project. The Governor recommends spending \$225,000 in Rhode Island Capital Plan funds and \$3,000

in bond proceeds in FY 2003 and \$225,000 in Rhode Island Capital Plan funds in FY 2004.

Metropolitan Career and Technical School - In November 1994, the voters authorized the state to issue \$29.0 million in General Obligation bonds for the development and construction of a career and technical school in Providence, named the Metropolitan Career and Technical School. Of this total, \$27.7 million has been issued to date and \$14.8 million has been spent on the project prior to FY 2003. The initial planning phase, the small school site, the land acquisition for the larger campus project, and construction at the larger site have all been completed or nearly so. The Governor recommends spending the remaining issued proceeds of \$12.8 million in FY 2003. This will provide funds for the completion of the project.

School for the Deaf - Physical Education Facility - The Governor recommends \$513,469 in Rhode Island Capital Plan funds to renovate the gymnasium area at this forty-year old facility. The gymnasium floor is buckled from water damage and shifting off the foundation. The bleachers are broken, damaged, and antiquated. Lockers, showers, and restrooms require updating, replacement, and modernization for handicapped accessibility. Of the total expenditures recommended, \$29,478 was spent prior to FY 2003. The Governor recommends \$283,991 in expenditures in FY 2003, and \$200,000 in FY 2004 for the project.

Vocational School Maintenance - The Governor recommends spending \$1.3 million for capital projects to repair and rehabilitate several Rhode Island vocational education and technical schools through FY 2008. These funds will be derived solely from the Rhode Island Capital Plan Fund, and will complete the following projects:

Department of Elementary and Secondary Education

Chariho Well Water Remediation – The Governor recommends spending \$60,000 in Rhode Island Capital Plan funds to provide well water at the Chariho Career and Technical School. This activity will occur in FY 2004 and is necessary due to high nitrate levels found in the school's well water supply.

that \$50,000 be included in the plan for this purpose in FY 2004 and \$60,000 in FY 2005.

East Providence Career and Technical School – The Governor recommends \$411,875 from the Rhode Island Capital Plan Fund for an overhaul of the heating, ventilation, and air conditioning system at this facility. Prior to FY 2003 the state spent \$56,495 on this project. The Governor recommends spending \$54,800 in FY 2003, and \$300,580 in FY 2004 on this HVAC project.

State-owned Facilities - Fire Alarm Systems – The Governor recommends \$345,992 from the Rhode Island Capital Plan Fund to replace the fire alarm systems throughout the Vocational School system and the School for the Deaf. Expenditures for this purpose prior to FY 2003 totaled \$52,442. The Governor recommends Rhode Island Capital Plan funds of \$93,480 in FY 2003, and \$100,000 in both FY 2004 and FY 2005.

Hanley Career and Technical School/HVAC – The Governor recommends \$330,000 from the Rhode Island Capital Plan Fund for the repair of the Hanley Career and Technical School heating, ventilation and air conditioning system. The system is nearly 30 years old and in need of major repair. The state spent \$265,761 in Rhode Island Capital Plan funds prior to FY 2003 on this HVAC project. The Governor recommends spending the balance, \$64,239, in FY 2003 to complete the project.

State-owned Facilities - Security Systems – The Governor recommends \$110,000 in expenditures be made from Rhode Island Capital Plan funds to install security systems in some of the state's career and technical schools. It is recommended

Public Higher Education

Agency Responsibilities

The Board of Governors for Higher Education is responsible for the repair, renovation and improvement of fixed assets associated with the three institutions of public higher education in the State of Rhode Island. These institutions are the University of Rhode Island, Rhode Island College and the Community College of Rhode Island. More specifically, the board is responsible for upwards of three hundred fifty structures, comprising over one-third of all state-owned facilities. Buildings are located on nine campuses and total approximately 5.0 million square feet of space. Given the large number of structures and the need to maintain and modernize facilities, major emphasis is placed on asset protection of the educational infrastructure in the board's capital improvement program. While a number of projects are currently underway at the University of Rhode Island, enrollment trends at the Community College of Rhode Island necessitate expansion of the Knight Campus Facility in Warwick. Adjacent land at Rhode Island College may also be available for future expansion, if the need exists. In addition, technology requirements at the three institutions will involve modernization of data network infrastructures.

Governor's Recommendations

Higher Education System

Telecommunications Initiative - The Governor recommends a total of \$40.6 million of general obligation bonds authorized by the voters in November 1996 to develop, upgrade, and modernize the data network infrastructures across the three institutions. The project involves the installation of manholes, conduits, cabling, hubs, bridges, wiring cabinets, and information outlets to support data, voice, video, and fiber-optic communications. The \$40.6 million project is distributed as follows: \$29.0 million at URI, \$7.6 million at RIC, and \$4.0

million at CCRI. Total expenditures were \$33.7 million prior to FY 2003, with the balance of \$6.9 million programmed in FY 2003.

Asset Protection/Roof Replacement and Repair

- The Governor continues to support higher education facility maintenance by recommending Rhode Island Capital Plan funds for asset protection through FY 2008. Asset protection/ roof replacement funding provides needed resources for the maintenance of the hundreds of structures across the system of Public Higher Education. A total of \$6.5 million from the Rhode Island Capital Plan Fund will be made available in each fiscal year from FY 2004 through FY 2008, with adjustments for previous project delays at the schools of \$2.27 million in FY 2004, \$1.2 million in FY 2005 and \$200,000 in FY 2006. In some years, asset protection funds dedicated to on-going projects have been shown with the specific projects rather than in this program.

Administrative Software Systems

Implementation - The Governor recommends a total of \$35.2 million for the implementation and maintenance of the new administrative applications systems for Student Administration, Financial Information, Human Resources and Advancement that will offer improved services for students, faculty, and staff. Current estimates would distribute \$16.0 million to the University, \$5.0 million to the College, and \$14.0 million to the Community College. Total expenditures would be \$11.7 million in FY 2003, \$16.6 million in FY 2004, and \$7.0 million in FY 2004. The project will be financed with RIHEBC bonds.

Community College of Rhode Island

Knight Campus Megastructure Addition - The Governor recommends \$16.2 million for an 85,000 square foot addition to the Knight Campus Megastructure. This included \$14.5 million from general obligation bond proceeds,

Public Higher Education

\$600,000 from Rhode Island Capital Plan Fund asset protection funds (reflected under CCRI – Asset Protection on Schedule 4), \$380,000 in FY 2002 funding from the Rhode Island Capital Plan Fund and \$651,202 from revenue bonds. This expansion will alleviate several space problems and allow CCRI to sustain its primary mission of providing access to post-secondary education for all Rhode Islanders. The expansion will provide additional space for classrooms and laboratories, as well as faculty and support staff. Enrollment has increased to nearly 17,000 students; with the number of high school graduations expected to increase, additional demands on the current facility are expected. Total expenditures were \$15.9 million prior to FY 2003 and \$0.2 million recommended for FY 2003 and FY 2004.

CCRI Knight Campus Renewal - The Governor recommends \$6.5 million for renovations at the Knight Campus. The project would include lighting, painting, floors, roadways, parking, and residence repairs. Funding will be derived from a new bond referendum proposed for November 2006. Total expenditures would be \$270,000 in FY 2008, and \$6.3 million in post-FY 2008.

Providence Campus Addition – The Governor recommends \$6.65 million from the issuance of general obligation bonds for a 40,000 square foot addition to the Providence Campus of CCRI. The project would include classroom space, a day care facility, and expanded parking. Approved by the voters at the November 1998 election, \$6.65 million in general obligation bonds have been issued for this project.

CCRI Newport Campus Construction – The Governor recommends \$10.9 million for a new 65,000 square foot Newport campus in the North End of Newport on 6.5 acres of excess federal naval land. Other projects in the area may include housing, recreation, daycare, and a

government center. This project will meet both an Aquidneck Island expansion legislative mandate for general studies and a hospital health and nursing program shift from Newport Hospital due to hospital space concerns. Funding is from a November 2000 bond authorization. Total expenditures are \$0.6 million in pre-FY 2003, \$5.7 million in FY 2003, and \$4.6 million in FY 2004.

CCRI Flanagan Campus Renewal - The Governor recommends \$8.7 million for a 30,000 square foot addition at the Lincoln Campus of CCRI. The project would include faculty offices, roadways, parking, and bus shelters mandated by the ADA. Funding will be derived from a new bond referendum proposed for November 2006. Total expenditures would be \$350,000 in FY 2008, and \$8.4 million in post-FY 2008.

Rhode Island College

Performing Arts Building – The Governor recommends programming the balance of \$34,869 from the \$9.5 million authorized for the construction and equipping of a 50,000-sq. ft. Performing Arts facility adjacent to the existing Roberts Hall. This facility was built to house the academic programs of Music, Theater, and Dance, and provides classroom instructional space, rehearsal studios, faculty offices, acoustically-designed practice and performance areas, and space for lighting and set design construction and storage. General obligation bonds totaling \$9.5 million have been issued for this project, with the balances of \$25,288 programmed for expenditure in FY 2003 and \$9,581 in FY 2004.

Renovation of DCYF Facilities – The Governor recommends \$4.35 million from the 1998 bond authorization, as well as \$50,000 from the Rhode Island Capital Plan Fund for the rehabilitation of ten former DCYF buildings on the East Campus at Rhode Island College.

Public Higher Education

RIC Student Union Addition and Renovation – The Governor recommends \$5.4 million for an addition and renovation to the Student Union at Rhode Island College. The project will be financed with College funds and RIHEBC bonds as follows: \$628,659 prior to FY 2003, \$2.4 million in FY 2003, and \$2.4 million in FY 2004.

Residence Hall Repairs – The Governor recommends \$4.0 million for the renovation of four residence halls at Rhode Island College. The project will be financed with proceeds from College funds and proceeds from general obligation bonds: \$766,116 in pre-FY 2003, \$2.1 million in FY 2003, and \$1.2 million in FY 2004.

Alger Hall Renovations – The Governor recommends \$3.0 million for renovations of Alger Hall at Rhode Island College. The project will be financed from the Rhode Island Capital Plan Fund as follows: \$1.8 million in FY 2003, and \$1.2 million in FY 2004.

DCYF Facilities Renovation (Phase 2) and Master Plan Implementation (Phase 1) – The Governor recommends \$4.5 million for renovation of three buildings at RIC and improving physical and service connections between the Main Campus and the East Campus. The project will be financed from the Rhode Island Capital Plan Fund as follows: \$122,634 prior to FY 2003, \$2.6 million in FY 2003, and \$1.8 million in FY 2004.

RIC Roads/Parking/Entrances – The Governor recommends \$3.5 million for improvements to the roads, parking areas and entrances to the Rhode Island College Campus. A 1999 Campus Master Plan identified traffic circulation, parking, entrance identification and signage as important elements for Rhode Island College improvements. The project involves the upgrade of two key road interchanges on College Road, the upgrade and modernization of eight parking

lots, the upgrade of Fruit Hill and Mt. Pleasant Avenue entrances and a comprehensive update of on-campus and off-campus identification signage. Funding will be from a proposed bond referendum to be presented to the voters in November 2006.

DCYF Facilities Renovation (Phase III) – The Governor recommends \$4.5 million for continued facility renovations on the east campus of RIC. Emphasis will be on adding a day care program, improving the landscape, and improving roadway alignment to continue the successful pedestrian mall theme through lighted and landscaped walkways and realignment of parking areas. The project will be financed from the Rhode Island Capital Plan Fund as follows: \$2.4 million in FY 2006 and \$2.1 million in FY 2007.

RIC New Residence Hall Construction – The Governor recommends \$7.5 million to construct a new residence hall to meet on-campus demand for student housing. Present plans are to provide an additional 180-bed capacity to the current 830 student capacity among the five existing residence halls. This project will be designed to reduce the annual waiting lists for available on-campus rooms. Financing from RIHEBC bonds and College funds is as follows: \$300,000 in FY 2003, \$3.7 million in FY 2004, and \$3.5 million in FY 2005.

University of Rhode Island

URI Chafee Social Sciences Center PCB Abatement – The Governor recommends \$3.8 million of Rhode Island Capital Plan funds to rehabilitate the 95,000 square foot, eight-story Chafee Social Sciences Center. This building was closed December 23, 2000 when environmental testing confirmed the presence of PCB's (polychlorinated bi-phenyls) within the building. Recent results confirm construction materials in windows, room unit ventilators, and the surrounding ducts contain PCB's.

Public Higher Education

Completion of the project is expected in spring of 2003. Total financing in pre-FY 2003 was \$3.3 million, with the final \$539,953 programmed in FY 2003.

Coastal Institute on Kingston Campus - The Governor recommends \$11.3 million for the construction of a 50,000 square foot, multi-story building complex, housing office, laboratory, laboratory support, and teaching facilities that are devoted to departments engaged in research, service, and instruction relative to coastal zone issues. This facility is being built on the main campus at Kingston. Additionally, a 10,000 square foot laboratory facility will be constructed on University property at the Narragansett Bay Campus. The Kingston Campus facility will provide facilities to the Departments of Resource Economics and Natural Resource Sciences, while the Bay campus facility will house the Fisheries, Animal, and Veterinary Science Department. Funding is derived from a U.S. Department of Agriculture grant of \$10.9 million, \$150,000 of University funds, and \$300,000 of private funding. Expenditures prior to FY 2003 total \$9.5 million; \$1.8 million is financed in FY 2003.

Green Hall - The Governor recommends a total of \$6.3 million for the rehabilitation of Green Hall. This project includes repair to the building's envelope, HVAC systems, asbestos abatement, and other renovations and improvements. Funding for this project will be derived from \$2.8 million of authorized general obligation bonds, private funding of \$1.0 million Rhode Island Capital Plan funds of \$2.2 million, \$194,000 in University funds and \$89,628 in other funds.

Ballentine Hall - The Governor recommends \$10.3 million for this capital project, which involves the rehabilitation and improvement of Ballentine Hall, a 46,750 square foot, three-story masonry building constructed in 1967 for the College of Business Administration. The project

includes full renovation of the building envelope as well as ADA compliance. Funding for this project will be derived from \$3.3 million in authorized issued debt, \$5.6 million in private funds, and \$1.4 million from the Rhode Capital Plan Fund.

Ranger Hall - The Governor recommends \$4.6 million for this project, which involves the complete rehabilitation and restoration of Ranger Hall, a 36,000 square foot, four-story granite block laboratory constructed in 1913. The primary goal of the project is to provide a new 20-year life for the building envelope. A total of \$1.4 million in general obligation bonds have been issued for this project, with the balance of \$2.3 million programmed for issuance in FY 2004. An additional \$1.0 million in private funds is also programmed for this project.

Modular Building Construction II - The Governor recommends \$5.4 million for the a second modular building, a 27,000 square foot fast track design project to be completed in FY 2004 off of Plains Road in the service sector of the Campus. It will provide offices, conference rooms, and support facilities for the interim office space needs of the College of Business Administration. The project is financed with RIHEBC bonds.

Repaving Project - Phase I - The Governor recommends \$1.0 million over fiscal years 2001 through 2003 from the issuance of RIHEBC revenue bonds for Phase I of the repaving project at URI. The project would include the reconstruction of paved surfaces on the Kingston Campus, including roads, walkways, and parking lots.

URI Repaving Project Phase II - The Governor recommends \$2.0 million for the replacement of paved surfaces in the central area on the Kingston Campus. Priorities are Farmhouse Road and the Roosevelt Hall Parking Lot, the Keany Parking Lot (drainage and repairs) and

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other areas. Funding will be from \$1.4 million of RIHEBC revenue bonds in FY 2004 and \$645,000 in FY 2005.

Sigma Nu House Purchase/Demolition – The Governor recommends \$261,279 prior to FY 2003 and \$45,050 in FY 2003 for the purchase and demolition, as well as site improvements, of the former Sigma Nu fraternity house. This project would allow for the reconfiguration of traffic patterns and expanded parking facilities. The entire project is being financed through the issuance of RIHEBC revenue bonds.

Alumni/Advancement Building – The Governor recommends \$4.0 million for the construction of a 20,000 square foot, three-story building on the site of the former Sigma Chi fraternity house on Upper College Road providing office, meeting and support space for the URI Alumni Association and the University Advancement Division. The project will be financed in FY 2001 through FY 2004 with RIHEBC bonds, \$481,000 of URI Alumni Association private financing, and \$25,000 from restricted receipt funds.

URI Whispering Pines New Lodge and Dining Room Addition – The Governor recommends \$3.8 million for the completion of a new 16,000 square foot lodge northeast of the main lodge and an addition of 2,016 square feet to the dining room and kitchen services at the Whispering Pines Conference Center on the W. Alton Jones Campus. Funding will be from RIHEBC revenue bonds of \$1.2 million in FY 2004 and \$2.6 million in FY 2005.

Independence Hall Rehabilitation – The Governor recommends \$5.1 million for the complete renovation of Independence Hall. Renovations would include modernization of finishes, lighting systems, plumbing systems, locking systems, and furnishings. Financing from general obligation bonds is as follows:

\$520,689 in FY 2003, \$3.6 million in FY 2004, and \$0.9 million in FY 2005.

Lippitt Hall Rehabilitation – The Governor recommends \$5.0 million for the renovation and modernization of Lippitt Hall. The rehabilitation would include replacement of windows, roofing, and exterior and interior renovations. Financing would be from \$498,276 of issued general obligation bond proceeds and \$4.5 million of authorized but unissued bond proceeds.

Athletic Complex and Convocation Center –The Governor recommends \$60.2 million for the development and construction of a state-of-the-art athletic and convocation center at the University of Rhode Island. The facility will have a seating capacity of 7,500 as well as expanded parking facilities. Funding for the project includes \$18.0 million from the Rhode Island Capital Plan Fund, \$25.0 million in RIHEBC bonds, \$15.0 million in private funding, and \$2.2 million in concession fees, interest earnings, and capitalized interest.

Athletic Complex Ice Facility – The Governor recommends \$12.5 million for the construction of an ice facility on the Kingston Campus of URI. The project would have two sheets of ice and locker room facilities. Expanded parking and site improvements are also included within the plan. The project would be funded with \$7.5 million of RIHEBC revenue bonds and associated interest earnings and \$5.0 million of Rhode Island Capital Plan funds.

URI Convocation Center Parking Facility - The Governor recommends \$8.8 million to create new parking facilities for 1,000 car spaces. Two separate parking lots, one of 200 spaces north of West Alumni Road and the other of 800 spaces off of Plains Road, are to be developed. The project is to be financed from university funds of \$0.8 million, from \$7.1 million of RIHEBC revenue bonds, and

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from \$1.0 million of federal highway funds through the Department of Transportation in FY 2003 and in FY 2004.

Residence Halls Modernization/Renovations – The Governor recommends \$65.0 million to provide for a comprehensive capital program for the rehabilitation of the residence halls at the University of Rhode Island. With the completion of the consultant's report, a plan has been developed for the modernization of the student residence halls. The project calls for the renovation of Adams Hall first, followed by Browning, Barlow, Bressler, Weldin, and Butterfield. These buildings would be renovated and reconfigured to serve as a freshman village complex. The Roger Williams Complex would be the next phase of the project. The plan anticipates that Coddington and Burnside Halls would be phased out as residence halls and either converted for academic purposes or demolished. The final step would be the renovation of Peck, Merrow, Hutchinson, and Tucker Halls in the out years of this capital plan. The plan will be financed with \$20.1 million of RIHEBC bonds and capitalized interest, \$3.0 million of University and College Funds, \$22.0 million of new general obligation bonds approved on the November 2000 ballot, and \$20.0 million of Rhode Island Capital Plan funds. Total expenditures include \$28.0 million prior to FY 2003, \$5.0 million in FY 2003, \$12.0 million in FY 2004, \$6.0 million in FY 2005, \$8.0 million in FY 2006, and \$6.0 million in FY 2007.

URI Utility Infrastructure Upgrade- Replacement – The Governor recommends \$23.3 million to complete construction of utility upgrades and replacement on three primary utility systems on the Kingston Campus, including steam, water, and electric distribution. Funding will be derived from a new bond referendum proposed for November 2006. Total expenditures will be \$5.4 million in FY 2008, and \$17.9 million in post FY 2008.

177 Plains Road – The Governor recommends \$232,000 through FY 2003 for the purchase and conversion of a 12-room house with 2,200 square feet and a heated two-car garage on 1.5 acres of land. The property would house the Safety and Risk Management office with the University Recycling Program. The project will be funded with Rhode Island Capital Plan funds and URI Foundation funds through a five-year lease purchase.

Rodos Hellenic Center – The Governor recommends \$4.2 million to fund the construction of a Rodos Building for Hellenic Studies, a two-story building with 12,000 square feet consisting of a classroom, lecture hall, offices, library, storage area, chapel, and exhibit space in FY 2001 through FY 2003. A second phase would include an open-air classical Greek amphitheater. This new facility would house the Center for Humanities. The project will be financed entirely with third party (the Hellenic Society "Paideia") private funding.

Sustainable Communities Facility – The Governor recommends \$10.0 million for the construction of a 38,000 square foot building to house the academic departments of Community Planning and Landscape Architecture and the offices of the University Transportation Center at Kingston. It will be adjacent to the new Coastal Institute Building on the northern perimeter of the Campus. Funding is from federal grants. The facility will include offices, laboratories, studios, and classrooms. Expenditures of \$258,705 occurred prior to FY 2003 with additional expenditures of \$666,295 in FY 2003, \$302,201 in FY 2004, \$5.8 million in FY 2005, and \$2.9 million in FY 2006.

URI Environmental Biotechnology Center – The Governor recommends \$49.3 million to add 87,700 square feet of office space,

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classrooms, and laboratory space at the Kingston Campus for several biological departments. The current structure would be improved and expanded to include a new addition above the current roof. Funding would be derived primarily from a new bond referendum proposed for November 2004. Total expenditures would be \$500,000 of Rhode Island Capital Plan funds in FY 2003 and FY 2004 for design work, and new general obligation bond funds of \$1.8 million in FY 2006 and in FY 2007, \$25.0 million in FY 08 and \$20.6 million in post-FY 2008.

URI Fraternity Acquisition or Lease - The Governor recommends \$2.3 million to purchase or lease fraternity houses to put them into useable condition for academic programs or for student housing. The projects will be funded from RIHEBC bonds.

West Kingston Superfund Site Remediation – The Governor recommends \$191,000 to fund the University’s share of a planning task force. The plan will design a required landfill cap on private and University land to secure the Superfund site at the West Kingston Municipal Landfill and URI Disposal Area, as required by the Department of Environmental Management and the Environmental Protection Agency. Total remediation costs, including the state and University shares will be reached sometime in 2003.

URI International Engineering Program Expansion – The Governor recommends \$900,000 to create 40 more beds for the International Engineering Program’s academic residential program. This funding will call for the University to lease/purchase the Chi Phi Fraternity on College Road over ten years. The funding will be from private funds. Chi Phi Fraternity will relocate.

URI Narragansett Bay Campus Ocean Technology Center Addition – The Governor

recommends \$2.1 million to add a 6,500 sq. ft. two level wing for office, meeting rooms, and support spaces. The project will be financed from RIHEBC bonds.

URI Pell Library Rehabilitation– The Governor recommends \$13.0 million to add 25,000 sq. ft. to the current 14,000 sq. ft. structure. Advanced telecommunication and video systems will be installed and improvements in computer technology, overall building ventilation, and classroom and document storage utilization are required. The project will be financed from the Rhode Island Capital Plan fund starting in FY 2007.

URI College of Pharmacy New Building Advance Planning – The Governor recommends \$200,000 to begin site planning for a proposed new 120,000 to 150,000 sq. ft. URI College of Pharmacy Building. Changes in pharmaceutical research to involved computer technologies require more space that is sufficient, amenable to faculty recruitment, and comparative to other institutions. The present building is 38 years old and 65,000 sq. ft. The planning will be financed from private funds.

URI Privatized Housing – Planning – The Governor recommends \$9,700 of restricted receipts in FY 2003 that will fund completion of the planning for a privatized residence hall project at the University of Rhode Island. The Administration will review the analysis of the economic benefit of such a project and the impact on the state’s net tax supported debt. Depending upon the structure, it is likely that such a project will require legislative approval under the Public Corporation Debt Management Act.

Rhode Island Atomic Energy Commission

Agency Responsibilities

The Rhode Island Atomic Energy Commission operates the Rhode Island Nuclear Science Center (RINSC) for the purposes of research, education and training, and for matters relating to the health, welfare, and economy of the people of Rhode Island. The RINSC is located on the Narragansett Bay Campus of the University of Rhode Island.

Governor's Recommendations

Cancer Treatment Center - The Governor recommends \$1.5 million in private funding for the development of a Cancer Treatment Facility at the RINSC. The project involves the construction of a 2,100 square foot cancer treatment center on the floor of the reactor room at the RINSC. The Center will utilize the reactor thermal column to provide a filtered neutron beam for therapy for certain types of cancer. The Neutron Cancer Therapy Company, a private third-party source, is financing the project. The current estimated cost of the project is \$80,000 in Pre-FY 2003, \$40,000 in FY 2003, \$130,000 in FY 2004, \$1.0 million in FY 2005, and \$250,000 in FY 2006. The State Properties Committee has approved the project, which is covered by a fifteen-year lease, providing ultimate ownership of the facility to the State of Rhode Island.

Pharmaceutical Production Laboratory – The Governor recommends \$290,000 in private funding for the construction of a pharmaceutical production facility on the roof of the south laboratory at the RINSC. The South Laboratory Wing required a new roof, which, due to asbestos and lead contamination mitigation, would have cost approximately \$50,000. The State Properties Committee has approved the project, and a fifteen-year lease, which provides ultimate ownership of the facility to the State of Rhode Island. The project commenced in FY 1999 expending \$140,000 to date and will be completed in FY 2003 with the remaining \$150,000.

Rhode Island Nuclear Science Center - Building Walls Painting - The Governor recommends \$55,000 be expended in FY 2004 from the Rhode Island Capital Plan Fund to repaint the interior reactor building walls.

Rhode Island Nuclear Science Center - Landscape and Parking Lot – The Governor recommends \$30,000 be expended in FY 2006 from the Rhode Island Capital Plan Fund to create and landscape a new parking lot in the rear of the facility, since two new laboratories will require additional parking.

Historical Preservation and Heritage Commission

Agency Responsibilities

The Rhode Island Historical Preservation and Heritage Commission (RIHPHC) is responsible for the protection and preservation of all historic buildings, districts and archaeological sites in Rhode Island. Projects currently underway involve the restoration and rehabilitation of a number of state-owned properties, including the Eisenhower House at Fort Adams State Park in Newport, the Old State House on Benefit Street in Providence and the Newport Colony House. The latter two structures are under the jurisdiction of the Department of Administration and funding is reflected under that section of the capital improvement plan.

Governor's Recommendations

Rehabilitation and Restoration of State-Owned Property - The Governor recommends \$10,255 for capital expenditures in FY 2003 from previously issued general obligation bond proceeds for the completion of projects at the Eisenhower House.

Heritage Harbor Museum - The Governor recommends \$5.0 million in general obligation bonds be issued in FY 2004 for the state's contribution to the development and construction of the Heritage Harbor Museum. These funds will be used to supplement funding available to this project from various other sources, including, but not limited to, federal grants, contributions of individuals, corporations and foundations, and grants from the City of Providence. The Heritage Harbor Museum will feature artifacts from the Smithsonian Institution borrowed through the Museum's participation in the Smithsonian Institution Affiliations Program. The Heritage Harbor Museum is currently the only Smithsonian affiliate museum in New England.

Pawtuxet River Walkway - The Governor recommends a total of \$3.0 million in general obligation bonds for the Pawtuxet River Walkway project to be issued over FY 2004 through FY 2007. This funding will be used to plan, design and construct a park area/walkway for public access to passive and active recreational and educational sites along a one and one-half mile stretch of the Pawtucket River, which includes three historic mills and three waterfalls.

Museums and Cultural Art Centers - The Governor recommends a total of \$3.0 million in general obligation bonds be issued from FY 2004 through FY 2006 for a new statewide grant program. Grants will be made by the commission for capital preservation of museums and cultural art centers that are located in historic structures and that are open to the public. Potential projects could include historic sites open to the public, art museums, theaters, performance halls, and other museums located in historic buildings. Grants will be awarded for capital preservation projects only.

Rhode Island Public Telecommunications Authority

Agency Responsibilities

The Rhode Island Public Telecommunications Authority is the license holder for WSBE-TV/Channel 36, Rhode Island's public television station. WSBE-TV/Channel 36 provides life-long learning opportunities to all Rhode Islanders through its programming and outreach services.

The RI Public Telecommunications Authority will be responsible for converting WSBE TV-36 from analog television broadcasting to digital television broadcasting. The transition to digital television is a federal mandate and must be completed by FY 2006.

Governor's Recommendation

Federally Mandated Digital Television (DTV) Conversion - The Governor recommends that the Public Telecommunications Authority pursue alternative financing mechanisms to provide \$4.1 million in FY 2004 to accomplish the transition to federally mandated digital television broadcasting. It is recommended that this project be funded with \$555,601 of federal funds and \$3.5 million in third party financing. These funds will enable renovations to the WJAR/NBC 10 tower to accommodate the Authority's needs and for the purchase of the production and broadcasting equipment needed to accomplish the transition to digital. The specific financing plan is not yet formulated, but the Administration's goal is to assist the Authority (Channel 36) in developing a partnership with the private sector or other public television stations that will result in little or no cost to the state.

Public Safety

**Attorney General
Department of Corrections
Judicial
Military Staff
State Police
State Fire Marshal**

Attorney General

Agency Responsibilities

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the state's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts. In support of this activity, the Attorney General's Office operates the Bureau of Criminal Identification (BCI).

Governor's Recommendations

Automated Fingerprint Upgrade – On November 5, 2002 the voters approved \$55.0 million in General Obligation bonds for the building of a new centrally located headquarters facility for the State Police and a new State Municipal Fire Academy. The authorization also provides for financing of upgrades to the automated fingerprint identification system located in the Attorney General's BCI unit. The Governor recommends \$835,000 in FY 2004 for this upgrade, of which \$500,000 is to come from the issuance of general obligation bonds and \$325,000 from federal funds.

Department of Corrections

Agency Responsibilities

The Department of Corrections is responsible for the provision of safe, secure, and humane control of offenders. The department's facilities are located at the Pastore Center in Cranston, and include administrative buildings and seven institutions (High Security, Maximum Security, Moran Medium Security, Price Medium Security, Minimum Security, the Women's Division, and the Intake Services Center), collectively known as the Adult Correctional Institutions (ACI). All of the facilities are supported through the Department of Corrections' capital improvement program. Major capital projects include the construction or expansion of new or replacement secure facilities and program support facilities, and the acquisition of new or replacement support systems such as fencing, alarm systems, and security cameras. Ongoing capital projects addressing maintenance needs are also financed through the department's capital improvement program and include electrical and HVAC upgrades, roof and window replacements, interior and exterior renovations, and parking lot and road repairs.

Governor's Recommendations

The majority of the projects recommended by the Governor in FY 2004 through FY 2008 will be financed from the Rhode Island Capital Plan Fund. The renovation of an existing facility to create a Reintegration Center will be ninety percent federally funded.

One project financed from the Rhode Island Capital Plan Fund is substantially complete, although small payments remain to be paid in FY 2003. This project is the fire alarm and smoke evacuation system upgrades at Maximum Security. One project scheduled for completion in FY 2002 has been extended to FY 2003. This project is the Phase I expansion at the Dix facility. One project scheduled for

initiation in FY 2004 has been postponed to FY 2006. This project is High Security - Infrastructure Improvements. One project financed with Rhode Island Capital Plan funds is new to this year's Capital Plan, Medium-II Window and HVAC, and is discussed below. Unless otherwise noted, all expenditures are financed from the Rhode Island Capital Plan Fund.

Safety and Security Projects

Perimeter & Interior Security - Maximum - The Governor recommends \$4.1 million through FY 2004 for this project, of which \$3.7 million has already been spent. \$132,000 is expected to be spent in FY 2003 and \$300,000 in FY 2004. The project includes installation of high mast lighting, a public address system, a video surveillance system, and alarm systems for the roof and perimeter areas, as well as a new tower, truck trap area improvements, and selected window replacements. The project was scheduled for completion in FY 2002, but has been delayed until FY 2004.

Fire Safety Code Improvements - All Facilities - The Governor recommends \$4.1 million in FY 2000 through FY 2008 for this project. \$1.55 million has been spent to date, with \$649,000 estimated expenditure in FY 2003, and approximately \$400,000 per year thereafter. This project includes the installation of fire suppression systems and emergency lighting, improvements to smoke evacuation systems, the building of fire suppression walls, enclosure of fire escape routes, pull-box alarm systems, standpipe installations and hydraulic and other water pressure improvements.

Security Camera Installation - Intake, Medium, Medium II, and High Security - The Governor recommends \$4.6 million in total, \$860,000 to date, \$112,600 in FY 2003, and \$3.6 million from FY 2004 through FY 2008, for the

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installation of surveillance cameras in and around four facilities at the ACI.

Infrastructure and Security Improvements - High Security - The Governor recommends \$1.5 million in FY 2006 through FY 2008 for security improvements to the High Security facility. This project includes lighting improvements to the building's exterior, renovations to the perimeter security system, site improvements to improve drainage, and construction of a perimeter road system. The project was originally scheduled to begin in FY 2004 but has been rescheduled.

Secure Committing/Transport Area - Medium Security - The Governor recommends \$342,000 in FY 2005 for the construction of a new secure committing and transport area at the Medium Security facility. This project includes perimeter fencing changes to incorporate a fully secured truck trap, perimeter road reconstruction, and parking lot reconfiguration.

Secure Committing/Transport Area - Gloria McDonald Building - The Governor recommends \$811,000 in FY 2005 and FY 2006 for the construction of a secure committing and transportation area at the women's Gloria McDonald building. This project includes the creation of isolation areas for transfers and the development of an identification and processing center similar to other secure facilities at the ACI.

Dix Facility Expansion (Phase I) - The Governor recommends \$446,600 for the installation of security locks, fencing, security cameras, bars and screens for exterior windows, electric locks, window glazing, bath renovations, and removal of telephone and computer wiring. Most of the project's total expenditure will be in FY 2003.

Fire Alarm/Smoke Evacuation System - Maximum - The Governor recommends \$159,500 to install a fire alarm/smoke

evacuation system in the Maximum Security facility. The project is mainly complete; \$18,984 is the estimated remaining expenditure in FY 2003.

Repair, Renovation, and Equipment Replacement Projects

HVAC Renovations - High Security - The Governor recommends \$745,000 in FY 2000 through FY 2004 to renovate the HVAC system, including replacement of chiller lines, condensers, coils, and insulation. The project was scheduled for completion in FY 2002, but has been delayed until FY 2004. \$545,000 has been spent to date, with \$30,678 estimated expenditure in FY 2003 and \$169,000 estimated expenditure in FY 2004.

Window Replacement - Women's Facilities - The Governor recommends \$1.1 million total for the installation of new windows and the replacement of security screens and bars at the women's facilities. This project is necessary for compliance with federal court orders. \$607,282 has been spent to date. \$472,718 is the planned for expenditure in FY 2004.

General Renovations - Maximum - The Governor recommends \$1.0 million for this project. \$129,000 has been spent to date. \$625,900 is the estimated expenditure for FY 2003, and \$244,000 in FY 2004. Renovations to the Maximum Security facility include replacement of the building's electrical and distribution systems, installation of a new emergency generator, and renovations to the arsenal/armory area.

Roof and Exterior Repairs - Women's Facility - The Governor recommends \$3.3 million in total, \$102,340 in pre-FY 2003 expenditures, \$327,000 in FY 2003, \$800,000 in FY 2004 through FY 2006, and \$500,000 in FY 2007 for roof replacement, installation of a new gutter system, and replacement of water-damaged ceilings, interior walls and aged

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electrical systems. Additionally, this project would include repointing of all exterior masonry.

Plumbing/Bath Renovations - Women's - The Governor recommends \$1.2 million in FY 2003 through FY 2005 for renovations to the bath and shower facilities in the Gloria McDonald building and renovation of the disciplinary wing to include electrical and plumbing repairs.

Roof and Infrastructure Repairs - Correctional Industries - The Governor recommends \$529,000 in FY 2004 for repairs to the Correctional Industries facility. Renovations include roof replacement and repairs to the loading dock and structural support beams.

Roof and Infrastructure Improvements - Minimum Security - The Governor recommends \$2.5 million for this project in FY 2005 through FY 2008. Renovations and repairs planned for this facility include roof replacement for the section of the building not affected by the February 1995 fire, window replacement in the B and C sections, and renovations to the HVAC, plumbing, and electrical systems.

Roof and Infrastructure Improvements - Bernadette Building - The Governor recommends \$1.4 million for this project in FY 2005 through 2007. Renovations and repairs planned for this facility include roof and window replacement, plumbing repairs, and parking area repavement.

Heating and Temperature Control Renovations - The Governor recommends \$1.37 million in FY 2005 and FY 2006 for general heating equipment upgrades to include the installation of condensate receivers, water heaters, steam traps, radiator valves, and reducing stations; and, plumbing renovations for all heating systems.

Pastore Center Road and Parking Lot Repavement - The Governor recommends \$500,000 in FY 2005 to repave various roads and parking lots at the Pastore Center.

Roof Replacement - Medium Security - The Governor recommends \$1.48 million in FY 2005 and FY 2006 for this project. The scope of the work includes replacement of all roofs at Medium Security and the installation of a groundwater runoff system.

HVAC Renovations - Medium Security - The Governor recommends \$685,000 in FY 2005 and FY 2006 for HVAC renovations at Medium Security.

HVAC Renovations - Administration Buildings - The Governor recommends \$857,000 in FY 2005 and FY 2006 for HVAC renovations to the two administrative buildings.

Pinel Building Renovations - The Governor recommends \$2.1 million for this project in FY 2006 through FY 2008. The project includes the replacement of all windows, casements, and sills, and design and installation of a new roofing system, HVAC system, and electrical and plumbing systems.

Relocation of the MIS Unit - The Governor recommends \$2.7 million total, (\$429,000 beginning in FY 2006) to relocate the MIS Unit, which is currently located in the Intake Services Center.

HVAC Renovation - Intake Service Center - The Governor recommends \$2.9 million in FY 2006 through post-FY 2008 for the renovation of the HVAC system, including new chillers, cooling tower, and tubing, and the replacement of heat exchangers, hot water equipment, and circulating pumps.

Minimum - Electrical Upgrades - The Governor recommends \$2.0 million FY 2007 through

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post-FY 2008 for the replacement of primary and secondary electrical systems, including a backup generator, and energy-efficient lighting and electrical features.

Minimum - Water System Renovation - The Governor recommends \$1.78 million beginning in FY 2007 and after to replace the water supply system, including replacement of the standpipe and installation of new sprinkler systems.

Window Replacement/HVAC - Medium Security II - The Governor recommends \$1.4 million in FY 2006 through FY 2008 and after to replace all windows, casements, and sills at the Donald Price Medium Security Facility, as well to renovate the HVAC system and install new security bars.

Construction and Expansion Projects

Dix Facility Expansion (Phase II) - The Governor recommends \$589,000 to provide kitchen and security improvements to allow for additional bed space for low and medium security female inmates. \$16,000 has been spent to date. \$19,000 is the estimated expenditure in FY 2003, and \$554,000 in FY 2004.

Education/Recreation Annex - Women's - The Governor recommends \$6.8 million in FY 2006 through FY 2008 for the construction of an education, recreation, and industries facility that will be attached to the women's McDonald building. The addition will include a library, classroom areas, industries areas, and a vocational training area.

Reintegration Center - The Governor recommends funding of \$13.4 million in FY 2001 through FY 2005 for this project. This includes \$12.4 million in federal funds and Rhode Island Capital Plan Fund state match funding of \$1.0 million. \$362,000 has been spent to date, \$3,681,000 is estimated for FY

2003, \$153,000 in Rhode Island Capital Plan funds. The project includes the renovation the J Building at the Pastore Center to provide 200 additional male medium security beds. The department plans to use the facility as a reintegration center for discharge planning for maximum and medium security inmates nearing release.

Judicial

Agency Responsibilities

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal and Worker's Compensation Courts are trial courts of special jurisdiction. The Traffic Tribunal was created during the 1999 legislative session to replace the Administrative Adjudication Court

The Rhode Island court system is state-operated, except for the probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the Rhode Island court system. Each court has responsibility over its own operations and has a chief judge, who appoints an administrator to handle internal court management. The Chief Judge of the District Court serves as the Chief Judge of the Traffic Tribunal.

The Judicial Department has general maintenance and repair responsibility for six court buildings located in various parts of Rhode Island. Jurisdiction over these facilities was transferred to the department from the Department of Administration effective July 1, 1995. Responsibility for the HVAC systems in the court buildings remains with the Department of Administration and funding to upgrade these systems is contained in the Administration section of the capital budget.

Governor's Recommendations

Kent County Courthouse - New Construction - The Governor recommends issuing approximately \$51.8 million in Certificates of Participation in FY 2003 to finance the construction of a new Kent County Courthouse. The new courthouse will replace the current facility and relieve some of the traffic in other

courthouses. The new facility will have more than four times the gross square footage of the current facility (208,122 gsf versus 48,925 gsf) and about twice as many courtrooms (15 courtrooms versus 8 courtrooms), including five Superior court-rooms, five Family courtrooms, and five District courtrooms. About 250 state employees will be housed in the new courthouse. The anticipated disbursements of funds by year are \$1.5 million in FY 2003, \$18.9 million in FY 2004, and \$31.4 million in FY 2005.

Garrahy Judicial Complex - The Governor recommends expenditures of \$1.5 million over the period FY 2003 through FY 2006 to renovate and repair the Garrahy Judicial Complex. Of this amount, \$3,893 is to be expended in FY 2003 to complete the \$3,160,195 interior renovation project, while \$1.5 million is for a new lighting/ceiling tile replacement project. The recommended expenditure amounts by year for the lighting/ceiling tile replacement project are as follows: FY 2004 - \$15,000; FY 2005 - \$750,000; and FY 2006 - \$735,000. These projects are to be financed from the Rhode Island Capital Plan Fund.

It should be noted that the Department of Administration's capital budget includes expenditures of \$1.6 million for HVAC improvements to courthouse buildings, including the Garrahy Judicial Complex. The amounts funded from the Rhode Island Capital Plan Fund by year are FY 2003 - \$250,000; FY 2004 - \$250,000; FY 2005 - \$600,000; and FY 2006 - \$525,000. Some of these funds will be used for cleaning Garrahy Judicial Complex air ducts before ceiling tiles and light fixtures are replaced.

Licht Judicial Complex - The Governor recommends expenditures of \$235,000 to repair the foundation on the South Main Street side of the building and perform a study on the leaking slate roof. For the foundation, the Governor recommends expenditures of \$10,000 in FY 2003 and \$200,000 in FY 2004. The Governor

Judicial

recommends \$25,000 in FY 2004 for the slate roof study. Both of these projects are to be financed from the Rhode Island Capital Plan Fund.

\$50,000; and FY 2007 - \$50,000. The restroom renovation project is to be financed from the Rhode Island Capital Plan Fund.

McGrath Judicial Complex - The Governor recommends expenditures of \$225,500 in FY 2005 to refurbish the interior of the McGrath Judicial Complex in Wakefield. The funding will be used to refurbish the public restrooms, upgrade the CCTV security system, and replace the existing tar and gravel roof. The flat tar and gravel roof is approaching the end of its estimated useful life. These projects are to be financed from the Rhode Island Capital Plan Fund.

Murray Judicial Complex - The Governor recommends expenditures of \$363,000 over two years to rebuild the cupola, repair the roof, and replace the roof drains on the Murray Judicial Complex in Newport. This amount includes expenditures of \$165,000 in FY 2003 and \$198,000 in FY 2004. These projects are to be financed from the Rhode Island Capital Plan Fund.

Fogarty Judicial Complex Annex - The Governor recommends expenditures of \$127,334 through to refurbish the interior and exterior of the Fogarty Judicial Complex Annex in Providence. The amounts recommended by year are as follows: FY 2003 - \$59,834; and FY 2004 - \$67,500. During FY 2002, \$35,166 was spent on the building. The funding is being used to upgrade the fire protection system, repair drains, plaster and paint the interior and exterior. The project is to be financed from the Rhode Island Capital Plan Fund.

Courthouse Restroom Renovations - The Governor recommends expenditures of \$150,000 over the period FY 2005 through FY 2007 to renovate the restrooms in various courthouses. The amounts recommended by year are as follows: FY 2005 - \$50,000; FY 2006 -

Military Staff

Agency Responsibilities

The Adjutant General is responsible for thirteen armories, four aviation support facilities, two training camps, and seven logistical sites. The armories include the Armory of Mounted Commands and the Benefit Street Arsenal, both located in Providence, and eleven other armories located in Bristol, Warren, Middletown, North Smithfield, East Greenwich (4), Warwick, and Cranston (Schofield Armory and Command Readiness Center). In general, the armories are operated and fully maintained with state funds. The exceptions are the Warwick and East Greenwich armories, which are maintained with 75 percent federal funds and 25 percent general revenues.

The four aviation support facilities are located at Quonset Point (2), Coventry and North Smithfield. Quonset Point houses two facilities, one operated by the Army National Guard (Quonset Armory) and one by the Air National Guard. These facilities serve as maintenance facilities, unit headquarters, meeting places, and equipment/personal effects storage areas for Army Guard and Air Guard units. These facilities are maintained with 75 percent federal funds and 25 percent general revenue.

The Military Staff operates two training camps, Camp Varnum and Camp Fogarty. These facilities include physical fitness equipment, an obstacle course, and firearms training. Camp Varnum also houses the Rhode Island Military Academy. The training camps are maintained with federal funds.

The National Guard operates seven logistical sites including four Army Organizational Maintenance Shops (OMS); the U.S. Property and Fiscal Office located adjacent to the Armory of Mounted Commands; an Aviation Support Facility at Quonset Point; and, a Combined Support Maintenance Site (CSMS) in Smithfield.

These facilities are maintained with 75 percent federal funds and 25 percent general revenue.

Of the Guard facilities, four were built before World War I, with the oldest, the Benefit Street Arsenal, built in 1843. Two, including the Armory of Mounted Commands, date from 1925 and five more are of World War II vintage, including the hangars at the Quonset Point Air Station. Ten date from the fifties and early sixties, including the substantially rehabilitated Coventry Air Guard Station.

The Command Readiness Center, located in Cranston, houses the National Guard and RI Emergency Management (RIEMA) headquarters. Emergency Management had been located in the sub-basement of the State House until FY 1997 when the agency was merged with the Military Staff. The Command Readiness Center houses all functions of Emergency Management with the exception of the radiology shop and part of the communications shop, which remain in the sub-basement of the State House. The Command Readiness Center is maintained with general revenue.

Governor's Recommendations

Armory of Mounted Commands - The Governor recommends funding to continue the three-year effort to repair the Armory of Mounted Commands, which was built in 1925. Both the first phase of AMC rehabilitation (roof replacement/masonry re-pointing), and the second phase (replacement of the original windows with commercial grade energy efficient windows, as well as further re-pointing and exterior power washing), have been completed. Phase 3 of the project includes a new slate roof, skylight repairs, and gutter repairs/replacements. Phase 4 of the project includes replacement of the heating and air conditioning systems, as well as lead and asbestos abatement. The Governor recommends the expenditure of \$50,000 in federal (National Guard Bureau) funds and

Military Staff

\$100,000 in Rhode Island Capital Plan funds in FY 2004 and \$2.6 million from the Rhode Island Capital Plan Fund from FY 2005 through FY 2008 for both phases of the project.

Bristol Armory - The Governor recommends total expenditures of \$718,141 for the project. \$446,266 has been spent, \$245,000 from Rhode Island Capital Plan funds, and \$173,141 from National Guard Bureau federal funds. The estimated (RICAP) expenditure for FY 2003 is \$200,000, and \$100,000 in FY 2004. The project includes roof replacement, asbestos insulation abatement, door/window replacement, hot water tank replacement, heating and water pipe replacement in the pipe trench, HVAC modernization, and replacement of the pavement around the building, as well as fire alarm system replacement. Loose asbestos tiles and wrap in the boiler room require replacement. The roof replacement is required to prevent further structural damage from leaks damaging the brick walls. The cracked pavement around the building was installed when the armory was built in 1957 and may become a hazard to military personnel and the general public.

Benefit Street Arsenal - The Governor recommends expenditures from the Rhode Island Capital Plan Fund of \$472,000, \$33,548 has been spent to date. The estimated expenditure in FY 2003 is \$193,056 and \$245,396 in FY 2004 to preserve and maintain this historic building. The funding will be used to fix leaks and repair existing water damage and conduct an engineering design for more permanent repairs. Funding is recommended for the replacement of the current 80 year-old electrical system, exterior work, (repair the turret, maintain the roof, repair masonry, paint the exterior, and replace windows), and interior work (asbestos abatement, door replacement, a new boiler, and installation of fire alarms and a fire escape).

Camp Fogarty - The Governor recommends the expenditure of \$50,000 in Rhode Island Capital

Plan funds and \$150,000 in federal National Guard Bureau funds in FY 2005 to replace doors and windows and to upgrade the heating and air conditioning system at Building 381 of the East Greenwich training facility. The project will improve energy efficiency and reduce maintenance costs.

North Smithfield Armory - The Governor recommends the total expenditure of \$87,500 in Rhode Island Capital Plan funds and \$262,500 in National Guard Bureau federal funds in FY 2003 through FY 2005. \$20,000 is recommended for FY 2003. The project will replace deteriorated doors and broken windows in order to improve energy efficiency and reduce utility costs. The project would also replace the roof of the arms vault building to eliminate leaks and repair damaged insulation. The facility was built in 1957 and houses B Battery and the 103rd FA Brigade.

Schofield Armory - The Governor recommends expenditures of \$100,000 in FY 2003, \$20,000 in FY 2004, \$100,000 in FY 2005, and \$120,000 in FY 2005 from the Rhode Island Capital Plan Fund (a total of \$340,000) for boiler replacement and exterior work. The Schofield Armory project includes replacement of decaying pavement around the facility, replacement of the boiler, repairs to the masonry, door and window replacement, and bringing the facility into compliance with the state fire codes. Since the armory was built in 1962, little or no maintenance has been done to the structure. Continued repair of the 35-year old boiler is not cost effective.

In addition, the Governor recommends \$90,000 in FY 2007 from the Rhode Island Capital Plan Fund for the replacement of the armory's kitchen facilities.

Warren Armory - The Governor recommends the expenditure of \$475,000 from the Rhode Island Capital Plan Fund for the rehabilitation of

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this facility, built in 1954. Project work included \$372,082 in expenditures to date to repair 1999 fire damage, including Rhode Island Capital Plan funds, National Guard Bureau federal funds, and insurance proceeds. The Governor recommends \$169,518 in FY 2003 and \$175,000 in FY 2004 to undertake architectural design work and interior and exterior rehabilitation (asbestos abatement, replacement of heating and water pipes, HVAC modernization, and roof, window and door replacement).

Warwick Armory – The Governor recommends the expenditure of \$75,000 in federal National Guard Bureau funds in FY 2003 and FY 2004, and \$50,000 in Rhode Island Capital Plan funds in FY 2004, to replace a 20-year old boiler with a gas powered heating system. The project will improve energy efficiency and reduce maintenance costs.

Combined Support Maintenance Shop (CSMS-Smithfield) – The Governor recommends the expenditure of \$100,000 in Rhode Island Capital Plan funds and \$300,000 in National Guard Bureau federal funds in FY 2004 and FY 2005 to replace deteriorated doors and broken windows in order to improve energy efficiency and reduce utility costs. \$25,000 is recommended for FY 2004. The CSMS was built in 1961 and houses the 1043rd Maintenance Company.

Army Aviation Support Facility – The Governor recommends the expenditure of \$75,000 in RI Capital Plan funds and \$525,000 in National Guard Bureau federal funds in FY 2003 through FY 2005 to replace deteriorated doors and broken windows in order to improve energy efficiency and reduce utility costs. The Quonset Point facility, built in the 1940's, houses the Air Guard attack and utility helicopter companies. \$25,000 from National Guard Bureau funds is recommended for FY 2003.

Organization Maintenance Shops (OMS) Vehicle Exhaust Ventilation Upgrades – The Governor recommends total expenditure of \$50,000 in Rhode Island Capital Plan funds and \$150,000 in federal National Guard Bureau funds to install a new battery room and exhaust ventilation system in the OMS facilities in Warren, Warwick, and East Greenwich, as well as in the Combined Support and Maintenance Shop (CSMS) in North Smithfield. The upgrade will meet safety requirements for vehicle exhaust ventilation flow ratings. The project is 99 percent complete, with \$1,184 remaining to be spent in FY 2003.

Organization Maintenance Shops (OMS) Window and Door Replacement – The Governor recommends the expenditure of \$62,500 in Rhode Island Capital Plan funds and \$187,500 in federal National Guard Bureau funds in FY 2005 (a delay of one year from the current plan) to replace deteriorated doors and broken windows at the OMS #2 in Warren in order to improve energy efficiency and reduce utility costs. The OMS/CSMS facilities serve as the primary maintenance shops for Army National Guard vehicles.

U.S. Property and Finance Office (USPFO) – The Governor recommends the total expenditure of \$460,000 on an upgrade of the 20-year old heating and air conditioning system and on ADA compliance of the conversion of warehouse/storage space to office space at the Providence facility. The project will reduce expenditures on utilities and prolong the useful life of existing state assets. The expenditure includes a 25 percent state match from Rhode Island Capital Plan funds (\$5,000 has been spent, \$45,000 in FY 2004 and \$65,000 in FY 2005), and federal National Guard Bureau funds (\$1,057 has been spent, \$13,943 in FY 2003, \$135,000 in FY 2004 and \$195,000 in FY 2005).

Emergency Operations Center (EOC) - The Governor recommends expenditures of \$1.3 million as part of Emergency Management's

Military Staff

Emergency Operations Center expansion. The FY 2005 recommendation includes \$90,000 for the design phase of the project (\$45,000 each from federal and Rhode Island Capital Plan funds). The Governor recommends expenditures of \$1.3 million in FY 2006 for the construction phase of the project. The Governor recommends allocating \$625,000 from the Rhode Island Capital Plan Fund and \$625,000 from federal funds. This facility, an addition to the existing Command Readiness Center (CRC), will provide the State of Rhode Island with a central location for the coordination of state and local governments, other agencies, State Police, Red Cross, National Guard, and the media during emergencies. The expanded area would support the disaster expansion staff from the Federal Emergency Management Agency (FEMA) Regional Offices. The facilities would also be used as a training facility for all local Emergency Management personnel.

percent of estimated design costs of \$2.15 million) would be provided in FY 2004 and FY 2005 for initial design. Federal National Guard funds of \$20.0 million would be provided in FY 2007 and after for final design and construction.

Quonset Point Armory/Hangar - The Governor recommends \$14.5 million for the construction of a new maintenance hangar/armory at Quonset Point to provide needed space and modernized facilities for soon-to-arrive Blackhawk helicopters and to upgrade and modernize the Rhode Island Army Air Guard. Rhode Island Capital Plan funds of \$490,000 (35 percent of estimated design costs of \$1.4 million) would be provided in FY 2005 for initial design. Federal National Guard Bureau funds of \$14.0 million would be provided for final design and construction in FY 2007 and after.

Camp Fogarty Armory/Combined Support Maintenance Shop (CSMS)- The Governor recommends \$20.8 million for the construction of a new armory to house the 1043rd Maintenance Company and a new CSMS to provide direct support for Guard ground equipment. This project is new to the Capital Plan. The new facility would replace facilities in Smithfield, Providence, and East Greenwich. Rhode Island Capital Plan funds of \$752,250 (35

State Police

Agency Responsibilities

The State Police is responsible for providing the citizens of Rhode Island with an increased level of safety and security through a professional uniformed and investigative Law Enforcement Agency with statewide jurisdiction. The State Police carries out its mission through four divisions: the Patrol Division, Detective Division, the Administrative Division, and a Technical Support Division.

Governor's Recommendations

Barracks Renovations - The Governor recommends \$6.4 million from the Rhode Island Capital Plan Fund for barracks repairs and renovations. This project involves structural repairs and renovations to the patrol barracks in Lincoln, Hope Valley, Wickford, Chepachet, and Portsmouth, and the training academy facility in Foster. Renovations also will include replacement of emergency generators at the various barracks. It is projected that with the building of a new centrally located headquarter facility, the State Police will need to restructure its barracks system. The plan for FY 2003 projects the expenditure of \$100,000 for the repair of the training academy roof. It is projected that \$325,000 will be spent in both FY 2004 and FY 2005. In FY 2006, \$350,000 is projected. In FY 2007, it is estimated that major renovations will commence and the Governor recommends spending \$1.0 million. This amount increases to \$2.0 million in both FY 2008 and the post-FY 2008 period.

Headquarters Repairs/Renovations - The Governor recommends \$1.0 million in Rhode Island Capital Plan funds for the renovation and repair of the Headquarters facility in Scituate. Various renovations have been completed within the headquarters complex, including the recent installation of the HVAC system and the generator. An additional \$125,938 is programmed in FY 2003 for Phase II repairs

that will begin with the replacement of a roof. The Governor recommends \$140,000 in FY 2004 to continue Phase II of the project.

Parking Area Improvements - The Governor recommends \$234,500 from the Rhode Island Capital Plan Fund for improvements to the parking areas at the various patrol barracks. The Governor recommends spending \$158,410 in FY 2004 and \$50,000 in FY 2005 for this project.

New State Police Headquarters/Training Facility - On November 5, 2002 the voters approved \$48.6 million for the construction of a new centrally located State Police Headquarter Facility. Of that total however, \$500,000 will be used to upgrade the State's Automated Fingerprint Identification System. Of the remaining \$48.1 million in bond authority, the Governor recommends \$4.7 million in General Obligation bond proceeds be spent in FY 2004, and \$18.0 million both in FY 2005 and in FY 2006. It is projected that an additional \$1.1 million will be spent in FY 2007. This totals \$41.8 million, or \$6.3 million less than is currently authorized. The Governor also recommends \$7.2 million for a new training academy to be financed by Rhode Island Capital Plan funds, \$2.0 million in FY 2007, \$2.0 million in FY 2008, and \$3.2 million in the post-FY 2008 period.

Rhode Island State Fire Marshal

Agency Responsibilities

The Rhode Island State Fire Marshal is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, fire prevention, fire protection, fire inspection and fire investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by code, and certifying whether or not buildings satisfy these requirements. The Fire Marshal's Fire Education and Training Unit is responsible for implementing the Fire Academy by providing education and training to all fire departments in the state, public and private. The Fire Education and Training Coordinating Board develops the training program and the cost is reimbursed by the participating community.

Governor's Recommendations

The Governor recommends building a new State Municipal Fire Academy Training facility for the use of all municipal fire departments. As currently contemplated, it would comprise 13,290 sq. ft. when complete over three phases at a total cost of \$6.4 million. The first phase to locate, plan and design the project will expend \$500,000 in FY 2004. The second phase will construct a one-story building at a cost of \$3.0 million in FY 2005. Phase three will complete the project with the addition of a small multipurpose building (for ladders, breathing, space, hazardous materials training), with construction of a gas burn area auditorium of 2,200 sq. ft., and a training tower and apparatus facility. The project will be financed with general obligation bonds approved by the voters in November 2002.

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Natural Resources

**Department of Environmental Management
Coastal Resources Management Council
Clean Water Finance Agency
Narragansett Bay Commission
State Water Resources Board**

Department of Environmental Management

Agency Responsibilities

The Department of Environmental Management is responsible for administering an extensive capital program for state-owned facilities and management areas; for various municipal and non-profit beneficiaries; and to meet environmental and public safety requirements. The major project categories are described below.

Anti-pollution Programs – The department manages grants from general obligation bond authorizations for various municipal anti-pollution projects. Federal anti-pollution financing was changed from outright grants to capitalization grants to create state revolving loan funds in 1990. The Rhode Island Clean Water Finance Agency is the fund manager for the resulting capital loan pool, while the department provides technical oversight and approval of the design and construction projects financed by the agency. Other departmental bond authorizations provide financing for the design and construction of facilities to upgrade the water quality of the Pawtuxet River, involving the Cranston, Warwick, and West Warwick sewer districts.

Hazardous Waste Programs – The department operates programs from the Environmental Response Fund for environmental emergencies. Activities include response, evaluation, cleanup, investigation, enforcement, legal action, avoidance activities, and remedial actions. Other bond authorizations have financed hazardous waste reduction studies, underground storage tank replacement, and a non-point source pollution management program. Some federal Superfund remediation projects require matching state contributions.

Aquafund Projects – The Rhode Island Aquafund was established by bond referendum to provide financing for antipollution projects to improve Narragansett Bay water quality. The

program finances state, local and private sector projects for planning and design, for construction and equipment, for activities including pilot and prototypical projects, for wastewater pretreatment and treatment, and for urban runoff abatement projects.

Open Space and Natural Land Protection – The department manages state acquisitions and grants to municipalities and non-profit agencies for the acquisition of title and development rights to critical natural resources. Open space is acquired to preserve lands from private development. Development rights purchases allow private ownership of land while protecting the existing level of use, generally as farmland or open space.

Municipal Recreation Projects – The department administers grant programs for municipal acquisition and development of recreational lands and facilities. Bond financing is provided on a 50 percent matching basis; distressed communities are eligible for 75 percent financing. Certain bond authorizations provide 100 percent financing for specific municipal facilities.

State Recreation and Infrastructure Facilities – The department operates natural resource areas, commercial fishing piers, public recreational facilities, for which both bond authorizations and Rhode Island Capital Plan funds provide state financing for acquisition, development, and renovation. Additional funds from federal, restricted and private sources are utilized for capital development and repairs. The department also undertakes restoration and repair of state-owned dams in need of structural improvements with Rhode Island Capital Plan funds.

Coastal Habitat Restoration Project – In FY 2002, the department initiated a coastal habitat restoration project to enhance fish populations and reduce mosquito populations.

Department of Environmental Management

Governor's Recommendations

Anti-pollution Projects

Sewer Interceptors - The Governor recommends issued general obligation bond proceeds for interceptors in the amounts of \$648,245 in FY 2003 and \$500,000 in FY 2004. The Governor recommends unissued proceeds in the amount of \$250,000 in FY 2004, \$750,000 in each of FY 2005, FY 2006 and FY 2007. In FY 2008, \$145,000 in unissued proceeds is recommended. This funding allows the department to provide a 50 percent match to municipalities for the construction of interceptor sewer lines. Changes to department regulations regarding mandatory residential tie-ins is expected to accelerate demand for interceptor funds beginning in FY 2003. Prior to FY 2003, \$1.2 million in bond proceeds was expended for this purpose.

Governmental Water Pollution Control Facilities - The department proposed in FY 2001 that \$1.1 million in authority in the governmental entities segment of the Environmental Trust Fund be allocated for septic system and stormwater management plans to communities not anticipated to be served by sewers. The completed plans will allow residents within these communities to access the Community Septic System Loan Program administered by the Rhode Island Clean Water Finance Agency and the department. The Governor concurred with this proposal and recommends \$483,101 in issued proceeds in FY 2003, and \$695,000 in unissued proceeds in FY 2004.

Non-Governmental Entities Grants - The Governor recommends \$167,073 from issued general obligation bond proceeds in FY 2003 for grants to non-government agencies for sewage treatment and water pollution abatement projects identified in the State Guide Plan

Element 715, Comprehensive Conservation and Management Plan for Narragansett Bay.

Pawtuxet River Water Quality Improvements - The Governor recommends \$5.8 million from issued general obligation bond proceeds in FY 2003 for the cities of Cranston, Warwick and West Warwick, and \$2.0 million in unissued proceeds in FY 2004 for the city of Cranston. The funds provide for the design, engineering and construction of facilities and activities to improve the water quality of the Pawtuxet River. The program receives its funding from 1989 and 1990 bond authorizations totaling \$19.0 million for the three communities. The intent of the bond authorizations is to address the severe water quality problems existing in the Pawtuxet River due to point source and non-point source pollution. Prior to FY 2003, \$11.1 million has been expended for the three Pawtuxet River communities.

Hazardous Waste Programs

Superfund - The Governor recommends \$12.9 million from FY 2003 through FY 2008 for the Superfund site cleanup program, including the Rose Hill Landfill in South Kingstown. The funds allow the state to fulfill its obligation relative to remedial action on this and other Superfund sites, as mandated by the Comprehensive Environmental Resource Conservation and Liability Act.

General revenue in the amounts of \$500,315 in FY 2003, \$1.3 million in FY 2005, \$2.6 million FY 2006, \$1.3 million in FY 2007, and \$685,329 in FY 2008 will be matched 50 percent with federal funds in each year. The funds made available in FY 2003 will finance two years of design costs for the project, with construction beginning in FY 2005. The state is indirectly liable for the clean up; financing the remediation project with federally matched funds is required.

Aquafund Projects

Department of Environmental Management

Administration – The Governor recommends \$8,799 from issued general obligation bond proceeds in FY 2003 for administrative council support and wastewater treatment grant administration. Grant awards are expected to end in FY 2003, though grant administration continues for the project period specified in the awards.

Planning and Program Development – The Governor recommends \$94,116 from issued general obligation bond proceeds in FY 2003 to complete planning and program development studies on non-point source sediment pollution and restoration of anadromous fish runs on the Blackstone River.

Pilot and Prototypical Projects – The Governor recommends \$38,666 from issued general obligation bond proceeds in FY 2003 to complete pollution remediation in Narragansett Bay, including eelgrass transplant restoration modeling in saltmarshes and coastal wetlands.

Wastewater Treatment Grants - The Governor recommends \$326,240 from issued general obligation bond proceeds in FY 2003 for wastewater treatment grants to the communities of Cranston, Smithfield, Burrillville, Warwick and East Greenwich, and the communities served by the Narragansett Bay Commission. The grants are used to formulate wastewater treatment management plans in an effort to reduce nutrients and sediments in plant effluent.

Non-point Source Pollution and Stormwater Runoff Abatement – The Governor recommends \$61,037 from issued general obligation bond proceeds in FY 2003 to finance projects that reduce non-point source pollution in the neighborhoods and farmlands of the Narrow River watershed in Narragansett.

Open Space and Natural Land Protection – The Governor recommends \$10.4 million from FY

2003 through FY 2006 for open space and land acquisition purchases by the department. Prior to FY 2003, \$18.6 million in bond proceeds was expended for this purpose. Land acquisition includes land and development rights to preserve coastal access and shoreline open space, including land acquisition and development rights related to the implementation of the Greenspace Plan. Under the Plan, the department uses state bond funds combined with federal and non-profit funds (i.e. Nature Conservancy, Champlin Foundation, Audubon Society, and various land trusts) to coordinate preservation projects and acquire real estate. Goals of the department's land acquisition program include watershed protection, expansion of open space and recreation areas, protection of greenways, easements to recreation lands, and preservation of wildlife habitat and natural heritage areas.

The Governor supports the department's goal of acquiring 1,200 acres of open space per year. In FY 2003, \$2.1 million from issued general obligation bond proceeds and \$1.0 million from federal funds is recommended for this purpose. In FY 2004 and FY 2005, the Governor recommends \$2.0 million in each year from unissued general obligation bond proceeds from the Environmental Management bond referendums approved in 1989 and 2000. Federal funds each year in the amount of \$500,000 are also recommended. In FY 2006, \$1.8 million in unissued authority and \$500,000 in federal funds is recommended for expenditure.

Farmland Preservation and Acquisition – The Governor recommends \$6.3 million from FY 2003 through FY 2006 for farmland development rights to relieve farm owners from the economic pressure to sell their land for residential or commercial development, thus making the properties available for farming in perpetuity. In FY 2003, the Governor recommends \$1.3 million in issued bond

Department of Environmental Management

proceeds and \$500,000 in federal funds. In FY 2004, FY 2005 and FY 2006, unissued bond proceeds in the amount of \$1.0 million and \$500,000 in federal funds are recommended for each year. In this program's history, \$9.2 million has purchased the development rights for 50 farms protecting 3,856 acres of farmland from development.

Municipal Recreation Projects

Roger Williams Park – Prior to FY 2003, \$8.9 million has been used for various renovations and upgrades at Roger Williams Park in Providence. In FY 2003, the Governor recommends \$12,216 in issued proceeds from the 1989 bond authorization and \$500,000 from the 2000 bond authorization to finance ongoing renovations and improvements to Roger Williams Park in Providence. In FY 2004, the Governor recommends issuance of \$1.0 million from the 2000 bond authorization for continuing renovations. New authorization approved by the voters in the November 2002 referenda allow for additional issuance of \$1.0 million in each of FY 2005, FY 2006 and FY 2007 for this project.

Local Land Acquisition - The Governor recommends \$13.7 million for grants to municipalities for the acquisition and development rights of coastal and shoreline access points, open space land and land suitable for public recreational facilities for the period from FY 2003 through FY 2006. The program provides funds for up to 50 percent of the purchase price of land. In FY 2003, \$3.9 million in issued bond proceeds will be made available for grants to the communities. In both FY 2004 and FY 2005, \$3.6 million of the 2000 bond authorization will be made available. In FY 2006, the remaining \$2.6 million will be issued for the program. Since 1988, the department has awarded over 75 grants to preserve over 4,000 acres of open space using \$30.6 million in state bond funds.

Local Recreation Development – The Governor recommends \$12.7 million in issued general obligation bond proceeds, unissued proceeds and federal funds for the period from FY 2003 through FY 2007 for grants to municipalities to acquire, develop and rehabilitate local recreational facilities. The program provides funding assistance for up to 50 percent of total project costs. Since its inception, it has provided \$28.9 million to local communities as matching grants. Fiscal year 2002 was the first year that federal funds became available for the program.

In FY 2003, the Governor recommends \$3.7 million in issued proceeds from the 1987 and 1989 bond referenda and \$750,000 in federal funds for the municipal recreation facilities grant program. In FY 2004, the Governor recommends \$2.3 million in unissued proceeds and \$750,000 in federal dollars. In FY 2005, FY 2006 and FY 2007, \$1.0 million from the 2000 authorization and \$750,000 in federal funds is recommended for each year.

Distressed community grants are also made available to urban municipalities for recreational land and facility purposes. The Governor recommends \$750,000 in issued proceeds in FY 2003. In FY 2004, the remaining \$250,000 of authority is recommended for issuance for the five cities currently eligible under the program guidelines.

Local Bikeways & Recreational Greenways - The Governor recommends \$4.3 million for the period from FY 2003 through FY 2005 for design and construction of local bikeways and recreational greenways, including off-road facilities connecting Rhode Island parks, open space, and other greenways. The department provides 50 percent matching grants to the local communities. In FY 2003, \$2.3 million in issued proceeds. In FY 2004, \$1.3 million in unissued proceeds is recommended. In FY

Department of Environmental Management

2005, the remaining \$695,000 million from the 1998 authorization is recommended for expenditure.

State Recreational Facilities

Facilities Improvement - The Governor recommends during the planning period a total of \$17.1 million including Rhode Island Capital Plan funds, federal dollars, and issued and unissued general obligation bond proceeds for asset protection projects of the department. Projects in process include: improvements to the Rt. 123 entrance and hook-up to municipal water at Lincoln Woods State Park; a new public water system at Burlingame state campground; and planning for a centralized maintenance facility and development of a new state park at Snake Den Park in Johnston. Projects in subsequent years include bridge replacements at Arcadia State Park; roof replacement at Scarborough State Beach; and improvements to the George Washington and Fisherman's campgrounds.

Prior to FY 2003, \$4.3 million was expended for various improvements to the fifty state-owned buildings and twenty-five miles of road in the state's parks and management areas. Some projects are categorized as necessary to protect the public health; others are to develop or enhance existing facilities or structures.

In FY 2003, the Governor recommends \$1.1 million in issued proceeds, \$62,629 in Rhode Island Capital Plan funds and \$1.1 million in federal funds for on-going projects. In FY 2004, \$1.1 million in unissued authority from the 1989 and 2000 bond authorizations, \$650,000 in Rhode Island Capital Plan funds and \$750,000 from the federal Land and Water Conservation Fund is recommended. In FY 2005, \$1.0 million in unissued authorization, \$1.3 million in Rhode Island Capital Plan funds and \$750,000 in federal funds is recommended. In FY 2006, \$1.4 million in Rhode Island

Capital Plan funds and \$750,000 in federal funds is recommended. The recommendation for FY 2007 includes \$1.1 million in Rhode Island Capital funds and \$750,000 in federal funds. In FY 2008, \$850,000 in Rhode Island Capital Plan dollars and \$750,000 in federal funds is recommended.

Fort Adams Restoration - Prior to FY 2003, \$263,180 in bond proceeds and \$349,879 in Rhode Island Capital Plan dollars was expended for the Fort Adams State Park project in Newport. The recently formed Fort Adams Foundation and Fort Adams Trust apply to various charitable trusts and non-profit organizations for grants to rehabilitate the structures at this historic fort site. In FY 2003, \$6,238 of issued bond proceeds and \$250,121 of Rhode Island Capital Plan funds will be used. In FY 2004, \$250,000 in Rhode Island Capital will be utilized to match the grant awards. Project completion is expected in FY 2005 and will require \$150,000 of Rhode Island Capital Plan funds. The Department of Transportation is constructing a new marine terminal and dock facilities to attract visitors to this Rhode Island park.

State Bike Paths - This program develops bike paths to maximize citizen access to Rhode Island's natural and recreational areas in addition to relieving traffic congestion. Potential paths to be developed include the Blackstone River Corridor, the South County Trail and the Trestle Trail in Kent County, as well as proposed municipal bikeways on Aquidneck Island and along the Woonasquatucket River. Federal Highway funds are bracketed on Schedule 4 and are included as part of the Highway Improvement Program under the Department of Transportation section of the Capital Budget. Prior to FY 2003, \$4.9 million has been expended for various paths around the state. The Governor recommends \$1.8 million in issued proceeds in FY 2003 and \$4.0 million in

Department of Environmental Management

Federal Highway Administration dollars in FY 2003. In FY 2004, the Governor recommends \$1.0 million in unissued proceeds and \$4.0 million in federal funds. In FY 2005, \$1.0 million in unissued proceeds and \$4.0 million in federal dollars is recommended. The \$300,000 balance of authorized, but unissued debt will be issued in FY 2006.

State Infrastructure Facilities

Piers - The Governor recommends \$6.0 million from Rhode Island Capital Plan funds from FY 2003 through FY 2007 to repair and replace pilings, electrical systems, and bulkheads at Galilee Piers (\$4.7 million), Newport Piers (\$743,485) and Wickford Piers (\$500,000). These port facilities support commercial fishing and are integral to both the fishing and tourism industries. To date, \$5.8 million has been expended on the Galilee and Newport Pier projects. The Wickford Facility is expected to commence design in FY 2004 with construction beginning in FY 2005. A portion of the Wickford design will be financed from the Boating Safety restricted receipt account. As the state's launching port for the Fish and Wildlife and Enforcement patrols, the Wickford facility plays a primary role in the enforcement of boating safety and fisheries rules and regulations.

Fish & Wildlife Office/Laboratory -Renovations to the facilities at Fort Wetherill for the Fish & Wildlife Division were substantially complete in FY 2002 at a total cost of \$4.2 million including \$1.5 million in federal funds, \$2.2 million in RICAP funds and \$475,000 in restricted receipts over a two-year construction period. The historic structures have been rehabilitated to accommodate and consolidate the marine headquarters office and operations. Federal funds in the amount of \$359,590 are utilized in FY 2003 for final financing requirements of the project.

Fish & Wildlife Maintenance Facility - The Governor recommends \$75,000 in federal dollars in FY 2004 to begin design of improvements to the maintenance facility at the Great Swamp Management area. The existing facility is inadequate to store the maintenance equipment, surface and marine fleet, and research apparatus used at the management area. The Governor recommends that the department explore the consolidation of maintenance equipment of the divisions of parks, forestry and (upland) fish & wildlife at Snake Den State Park in Johnston. Final design of a new maintenance facility at the Great Swamp is recommended for postponement until the Snake Den plans are solidified.

Great Swamp Wildlife Management Area - The Governor recommends \$250,000 of federal funds for FY 2005 to begin design of new operations facilities at the Great Swamp Management Area in South Kingstown. In FY 2006, \$400,000 of Rhode Island Capital Plan funds and \$400,000 of federal money will be used. In FY 2007, \$600,000 of federal funds and \$600,000 of Rhode Island Capital Plan funds is programmed in the plan. The department plans to add office space, laboratories, storage and a workshop/class space for the Wildlife section and relocate the main office from Wakefield. The new facility will have public access from the nearby state-funded bike path and will serve as a gateway entrance to the management area.

Boyd's Marsh Habitat Restoration - The Governor recommends a total of \$2.0 million from FY 2003 through FY 2006 for the restoration of Boyd's Marsh in Portsmouth. Restoration of this marsh will enhance various shellfish and finfish populations of Mount Hope Bay, which have been in serious decline for the past several years. The project will also return natural fauna to the inter-tidal marsh, providing control of mosquitoes and associated benefits to

Department of Environmental Management

the public health. The project has value as a demonstration habitat restoration project and will serve as an indicator of cost, environmental benefit and economic outcome for future reference. The U.S. Army Corps of Engineers has initiated the project; the state provides 25 percent of project cost as a match to the federal funds.

The Governor recommends Rhode Island Capital Plan Funds of \$70,000 and \$550,000 in federal funds in FY 2003. In FY 2004, \$330,000 of Rhode Island Capital Plan funds and \$550,000 of federal funds is planned. In FY 2005, \$100,000 in Rhode Island Capital Plan funds and \$200,000 in federal funds is recommended. Project completion in FY 2006 will require \$200,000 in federal funds.

State-Owned Dams Rehabilitation - The Governor recommends \$7.0 million from the Rhode Island Capital Plan Fund from FY 2003 through FY 2008 to repair state-owned dams in various recreation and management areas operated by the department. The department has identified 49 dams within its ownership (26 of which are under the jurisdiction of fish and wildlife) that require some level of repair or rehabilitation. The rehabilitation projects are for dams classified as high-hazard dam, which is a measure of downstream hazard potential, not only a measure of the potential for failure. The repairs are also necessary to manage water levels at recreational fishing and swimming areas.

Prior to FY 2003, \$1.5 million was expended to complete rehabilitation on the Bowdish Reservoir dam in Burrillville and to begin design of the Stillwater Reservoir Dam in Smithfield. In FY 2003, design on the Stillwater Dam will continue with \$335,407 of Rhode Island Capital Plan funds. The FY 2004 financing of \$1.2 million will allow for construction of the Stillwater Dam to commence and to begin design of repairs to two

dams at the John L. Curran management area in Cranston. In FY 2005, \$1.6 million is programmed for continuing construction of Stillwater and the start of construction on the Curran dams. In FY 2006, \$2.2 million will be expended for final construction of the Curran dams and to design and begin construction to the Bleachery Pond Dam in East Greenwich. In FY 2007, construction on the Bleachery Dam will continue along with the design of Breakheart Hill dam in Richmond with \$850,000 in Rhode Island Capital Plan funds. In FY 2008, an additional \$750,000 in Rhode Island Capital Plan funds is added to complete construction on the Breakheart Hill Dam. The Governor's financing plan for dams allows the department to sustain a consistent repair program, thus reducing the liability for failure of state-owned dams.

Coastal Resources Management Council

Agency Responsibilities

The Rhode Island Coastal Resources Management Council is responsible for managing, preserving and restoring the coastal resources of the state, through long-range planning that is embodied in the R.I. Coastal Resources Management Program, adopted in 1976, federally approved in 1978, and revised substantially in 1983 and 1996. The program defines the various types of shorelines and the activities and restrictions appropriate for each. For example, seventy percent of Rhode Island's shoreline is designated as either conservation or low intensity use and is protected from commercial development through restrictions on the type of allowable water structures.

In addition to the permitting process and other regulatory activities, the council acts as the lead agency for Rhode Island's dealings with the U.S. Army Corps of Engineers. The latter administers funds for various capital project activities such as the dredging of the Providence shipping channel, the restoration of habitat along Rhode Island's south shore, and beach replenishment and restoration.

Governor's Recommendations

Habitat Restoration of Allin's Cove – The Governor recommends the expenditure of \$172,000 in Rhode Island Capital Plan funds in FY 2003 to restore coastal wetlands at the mouth of the Allin's Cove embayment in Barrington. The project, also financed with local funds of \$18,000 and Army Corps of Engineer funds of \$570,000, would restore a high value coastal ecosystem, as well as end erosion of the western shore.

Dredging of Providence River Shipping Channel – The Governor recommends the expenditure of \$9.0 million, \$4.5 million in both FY 2003 and FY 2004, from restricted receipts (Oil Spill Prevention, Administration and Response Fund,

OSPAR), along with \$26.0 million in federal Army Corps of Engineer funding (\$35.0 million total) to dredge the Providence River shipping channel to its mandated depth of 40 feet below mean sea level. Currently, the channel, last dredged in the 1970's, is shoaling with significant loss of depth and narrowing in some areas. Dredging to the mandated depth would keep the Port of Providence in operation for the import of major economic staples. The current estimated total cost of the project (for which a project cooperation agreement has been signed) is \$43.0 million. This includes the cost of cad cells, upland containment, and associated construction costs of between \$30.0 and \$35.0 million for which a 25 percent state match is required. Although the bid for this project that has recently been opened would require a state contribution of approximately \$7.4 million, the final state match requirement will not be known until construction is complete. The Governor recommends reserving the \$4.5 million in both FY 2003 and FY 2004 until such time that firmer costs are known. In addition, the cost estimates also includes some provision for continuing maintenance costs.

South Coast Restoration Project – The Governor recommends the expenditure of \$3.0 million in Rhode Island Capital Plan funds, \$145,000 in FY 2003, \$968,267 in FY 2004, and \$932,267 in FY 2005 and FY 2006, on a project to dredge breachways and tidal deltas for eelgrass habitat restoration, to replenish beach areas, and restore fish passage. General revenue funds of \$263,095 have been spent on environmental and feasibility studies of the project. Local funds of \$107,264 were received for these studies from the towns of Westerly, Charlestown, and South Kingstown. These studies were conducted over a two-year period, and were required for the Army Corps of Engineers to determine the required actions and costs of the construction phase. Rhode Island's share for construction costs would be 35 percent, with federal Army Corps of Engineer

Coastal Resources Management Council

funds of \$5.5 million (subject to congressional appropriation) providing 65 percent. The total cost of the construction phase of the project (federal and state) is estimated to be \$8.5 million.

Mobile Dredge Unit – The Governor recommends providing the council \$500,000 in FY 2005 from restricted receipts (the OSPAR fund) for the purchase of a small mobile dredge unit. This equipment would be used for maintenance of the state breachways and flood tidal deltas of South County salt ponds, as well as for habitat restoration and oil spill management.

Clean Water Finance Agency

Agency Responsibilities

The Clean Water Finance Agency (CWFA) functions as a body politic, a corporate and public instrumentality having a distinct legal existence from the State of Rhode Island. The mission of the agency is to provide low-cost financing to municipalities, suppliers of drinking water, sewer commissions and wastewater management districts by means of the State Revolving Fund (SRF) programs. The SRF programs are designed to leverage state bond funds, increasing the availability of money to borrowers by a ratio of 10 to 1. The SRF loan programs consist of a Clean Water SRF, a Safe Drinking Water SRF and a R.I. Water Pollution Control Revolving Fund.

The Clean Water SRF is capitalized by federal Environmental Protection Agency (EPA) capitalization grants, proceeds from the 1986, 1990 and 2000 general obligation bond referenda and other borrowing as authorized. An estimated \$1.2 billion will be required to address Rhode Island's wastewater priorities through 2012. Eligible projects include water pollution control facility capital improvements, non-point pollution reduction programs (including landfill closures and septic systems repairs), and estuary protection programs.

To be eligible for Clean Water SRF financing, projects must meet EPA criteria, be approved by the Department of Environmental Management, and meet CWFA criteria as to financial soundness. Once approved by all parties, projects are funded by federal, state and/or investors' dollars acquired by the sale of tax-exempt revenue bonds. States are required to provide a match of 20 percent of available federal funds. The state match is currently being funded from the \$34.0 million 1990 Clean Water Finance Agency general obligation bond authorization. To date, the Clean Water SRF loan program has provided subsidized interest loans to 23 communities and the Narragansett

Bay Commission totaling \$240.0 million. Through its leveraged program, which will include proceeds from the Water Quality Management bond authorization, the CWFA makes \$10 available for wastewater pollution control programs for every \$1 of state match. The CWFA can also make direct loans using federal and state match dollars.

The Safe Drinking Water SRF is designed to function in the same manner as the Clean Water SRF. With the Department of Health as its regulatory partner, the CWFA will use the Safe Drinking Water SRF to finance the acquisition, design, planning, construction, enlargement, repair, protection or improvement to public drinking water supplies. Currently there are 484 active public water systems in the State of Rhode Island. The drinking water systems that are eligible for Safe Drinking Water SRF loans are community water systems, both private and publicly owned; and non-profit, non-community water systems. The Department of Health has estimated the total funding need of Rhode Island's public systems over the next 20 years to be around \$656.0 million.

In August 1996, the 104th Congress approved the Safe Drinking Water Act Amendments that, for the first time, authorized the award of EPA capitalization grants to safe drinking water SRFs. Rhode Island's share of the capitalization grant monies is estimated to be \$7.8 million per year for the next several years. To be eligible for these funds, the state is required to provide a 20 percent state match. Federal regulations did not require the 1997 capitalization grant state match until September 30, 1999; however, the 1998 capitalization grant state match was due by September 30, 1998. Since then the 1999 and 2000 capitalization grants have been received and the 2001 grant is expected in the fall of 2002. Total EPA funds of \$17.1 million have been received, matched by \$3.9 million in funds from the 1987 general obligation Watershed Protection bond.

Clean Water Finance Agency

While the CWFA has made \$10.2 million in subsidized market rate SRF loans to date, it has made non-subsidized, market rate, conduit financing loans. In 1995, the agency loaned \$12.0 million to the Providence Water Supply Board. In FY 1997, the agency loaned \$28.5 million to the City of Cranston for the privatization of the city's wastewater treatment facility.

The R.I. Water Pollution Control revolving Fund (RIWPCRF) receives the state capital contribution, including proceeds from the Water Quality management bond authorization, before the funds are transferred to the Clean Water and Drinking Water SRFs. The CWFA uses interest and loan repayments to finance projects not meeting federal requirements. The agency made a \$920,000 loan to South Kingstown in 1992 for sewer expansion, as well as facility plan loans in 1998-1999 to Bristol and Smithfield.

Governor's Recommendations

Clean Water State Revolving Fund - The Governor recommends expenditures of \$2.8 million from issued general obligation bond proceeds in FY 2003. Based upon Clean Water Finance Agency estimates of the amount of state matching funds required to support SRF loan requests by municipalities, sewer commissions and wastewater management districts, the Governor recommends expenditures of \$12.0 million to be funded from previously authorized but unissued bond proceeds for FY 2004 through FY 2008. A large portion of the agency's Clean Water SRF loans will support Phase I of the Narragansett Bay Commission's Combined Sewer Overflow Abatement project. Overall expenditures in this program, including revenue bond funds and Environmental Protection Agency funds, are \$447.9 million in FY 2003 through FY 2008 and after. Zero interest subsidies for loans from the Clean Water State Revolving Fund will be financed from leveraged funds supported by the Water Quality

Management bond authorization, the referendum approved in November 2000.

Safe Drinking Water State Revolving Fund - The Governor recommends SRF loans of \$15.9 million in FY 2003. Over the period FY 2003 through FY 2008 and after, the Governor recommends SRF loans of \$193.9 million. To provide the necessary twenty percent state match to qualify for the EPA capitalization grants for FY 2003 through FY 2005, the Governor recommends the use of \$3.0 million in bond issuance from the 2000 Water Quality Management Bond authorization. Nevertheless, the state intends to encourage the use of agency resources as much as possible to match federal grants. At least ten states use revenue bonds as the sole source of the state match for SRF loan programs. Only five of the fifty states rely on general obligation bonds for match funding for federal EPA capitalization grants. For FY 2004 and FY 2005, the Governor recommends the use of \$1.5 million in agency revolved capitalization grants. For subsequent years, the Governor recommends that the Agency issue revenue bonds. These resources will match \$63.8 million in EPA capitalization grants in FY 2003 through FY 2008 and after.

Water Pollution Control Revolving Fund - The Governor recommends the utilization of interest earnings on Clean Water bonds issued by Rhode Island to finance the Water Pollution Control Revolving Fund. The agency has spent \$1.2 million to date in interest earnings, and will spend an additional \$50,000 from interest earnings a year in FY 2003 through FY 2008, as well as loan repayments (estimated at \$187,488 in FY 2003 and approximately \$162,300 a year thereafter), to finance additional loans in the Clean Water State Revolving Fund.

In addition, the \$60.0 million in bond proceeds authorized in the Water Quality Management bond referendum have been issued and has been deposited into an investment account

Clean Water Finance Agency

commensurate with current arbitrage rules and regulations. These bonds have been issued to enable the agency, in combination with other agency resources (loan repayments, investment proceeds, previously authorized federal and state capitalization grants), to leverage up to \$210.0 million in funds (\$70.0 million a year for three years) for the following purposes:

- Not more than \$3.0 million in bond proceeds can be used to provide state matching funds for the Drinking Water State Revolving Fund to finance drinking water projects by private and public community water systems.

- The remaining \$57.0 million will be loaned to the Narragansett Bay Commission. Since the 2000 referendum provides that a minimum of \$70.0 million be provided to the Narragansett Bay Commission in zero interest loans for the Combined Sewer Overflow project, the agency will provide an additional \$13.0 million out of available resources.

- The \$57.0 million will create a flow of funds that will be invested above bond yield. This flow, along with interest income generated by the loan, will be provided to other municipalities and agencies to establish a zero interest rate buy down program. The program would reduce the interest rate paid by eligible borrowers from the subsidized rate reduction of 33 and 1/3rd percent from the market rate currently provided by the agency to zero percent.

Projects eligible for zero percent financing include water pollution abatement projects, such as wastewater treatment facilities, sludge improvement projects, sewer construction to relieve septic systems, planning/feasibility studies to support water quality restoration projects (including stormwater treatment and nutrient reduction), and implementation of stormwater treatment and other nonpoint source water pollution abatement projects.

Narragansett Bay Commission

Agency Responsibilities

Narragansett Bay Commission (NBC) - In 1991, legislation was enacted merged the Blackstone Valley District Commission (BVDC) into the NBC. The merger took effect in January 1992, at which time the BVDC legally ceased to exist. As a result of the merger, NBC's service area was expanded to include the areas formerly served by the BVDC, including the cities of Pawtucket and Central Falls, and portions of the towns of Cumberland, Lincoln, Smithfield and the City of East Providence.

The merging of the BVDC into the NBC resulted in the consolidation of the two largest wastewater treatment facilities in Rhode Island. The Field's Point plant, which services NBC's original district, handles average dry weather flows of 50 million gallons per day (mgd) and the Bucklin Point facility, which services the area formally served by the BVDC, handles average dry weather flows of 23 mgd. Together, these two facilities serve 10 communities and 300,000 people or about one-third of Rhode Island's population.

NBC owns, operates and maintains seven pump stations. Four of the seven are within the original NBC district, while three are within the former BVDC district.

NBC is responsible for 86 of the 89 combined sewer overflows (CSOs) in Rhode Island (59 in the original NBC district and 27 in the old BVDC district), over 89 miles of underground interceptors and 32 tidegates.

While BVDC ceased to exist as a legal entity in January 1992, the following discussion on each agency's bond authorizations is provided to place the state's general obligation commitment to NBC and BVDC in a historical perspective. In November of 1980, the voters approved a bond issue of \$87.7 million to finance capital

improvements to be undertaken by the Narragansett Bay Water Quality Management District Commission. In 1990, \$15.0 million of this authorization was reallocated by a referendum to the Blackstone Valley District (\$6.0 million) and the Pawtuxet River District Commission (\$9.0 million). In November 1992, the voters approved a \$15.0 million bond referendum that restored to NBC the funds that had been reallocated to the Blackstone Valley District and the Pawtuxet River District Commission. Although the \$93.7 million of bonds are general obligations of the state, user fees are required under the authorizing statute of the commission to support debt service costs for no more than \$14.1 million of such bonds and general operating expenses and other debt service requirements of the Commission.

While the size and timing of this 1980 bond authorization was based upon the anticipated termination of the federal Clean Water Act grant program, the federal program continued for several years providing the Commission with additional resources. Since the commission assumed full responsibility for the metropolitan Providence system on May 2, 1982, it has received authorization for \$65.4 million in grants from the United States Environmental Protection Agency, under provisions of the Clean Water Act, and \$13.1 million in matching grants from the Rhode Island Department of Environmental Management. In addition, the Clean Water Finance Agency has provided the commission \$72.2 million in below-market rate State Revolving Fund loans. While the commission is authorized to issue its own revenue bonds to finance system-wide improvements, it has not issued any revenue bonds since its inception.

Governor's Recommendation

Wastewater Treatment Facility Projects - The Commission proposes to expend over \$113.3 million through FY 2007 in Clean Water State

Narragansett Bay Commission

Revolving Fund loans on various wastewater treatment facilities. Major projects include: improvements to the Field's Point facility for short-term solids handling; new facilities at Field's Point for effluent discharge removal of nitrogen and ammonia; improvements to the Bucklin Point facility to improve wet weather capacity; as well as three new projects (Fields Point odor control, Water quality background monitoring, and water nutrient monitoring).

Sewer System Improvements and Maintenance

- The Commission proposes to expend over \$37.4 million through FY 2007 (\$36.1 million in Clean Water State Revolving Fund loans and \$1.3 million in issued bond proceeds) on sewer system projects, including current projects (Inspection/Evaluation of CSO Interceptors, Geographic Information System Implementation, Washington Highway and Omega Pump Stations), and new projects (Concord Street and Barrington & Grotto Street sewer repair, stormwater attenuation, sewer system infiltration/inflow study, CSO flow metering, floatables control facilities).

Comprehensive CSO Program (Alternative 17)

- The Governor recommends expenditures of \$46,327 in issued general obligation bonds (1990, Chapter 434) in FY 2003 for Phase I of the stakeholder-approved Comprehensive CSO Abatement project. Prior to FY 2003, an additional \$20.9 million in general obligation bonds from the 1990 authorization had been expended on this project.

In addition to issuing general obligation bonds that provide a direct subsidy to the commission, the state subsidizes a portion of the interest on the State Revolving Fund loans taken out by the commission to finance the CSO program by providing the twenty percent match required by the Clean Water Finance Agency for EPA capitalization grants. The twenty percent state match and the EPA capitalization grant funds

are placed in a "local interest subsidy trust fund" and used to offset interest costs. The debt service on these general obligation bonds issued by Rhode Island is an obligation of the state, not the commission.

These loans are displayed as "Clean Water State Revolving Fund" on Schedule 4 of this document. Between FY 2003 and FY 2007, the Narragansett Bay Commission is requesting State Revolving Fund loans of \$282.7 million. \$70.0 million of this total is guaranteed to the project from leveraged funds generated as a result of the \$60.0 million Water Quality Management bond authorization passed in November 2000. These general obligation bonds to be issued to the Clean Water Finance Agency would be leveraged with federal funds to provide a total of \$215.0 million in zero interest loans to communities and wastewater treatment operators.

The Combined Sewer Overflow (CSO) project will mitigate the impact of wet weather overflows on Narragansett Bay water quality by means of two tunnels, two pump stations, five CSO interceptors, and twelve separation projects. Phase I included in the current capital budget includes design and construction of the 16,000-foot main spine tunnel and pump station, as well as overflow structures. These facilities will reduce overflow volume by 40 percent, and, as a result, reduce conditional closures of shellfish beds by 40 percent in the upper bay, and 78 percent in the lower bay.

Construction of the main spine tunnel began in January 2002 and should be completed by March 2006. Construction of the five overflow structures (each with a dropshaft to the main spine tunnel, associated gate and screening structures, diversion chambers, and piping), began in the summer of 2000. Other components of Phase I are the Woonasquatucket River interceptor relief to relieve surcharging and reduce upstream flows

Narragansett Bay Commission

on an interim basis until Phase II; regulator modifications to six existing structures to allow diversion of existing interceptors, and a tunnel pump station.

Additional phases of the project (not included here) would address remaining overflows in the Woonasquatucket, Moshassuck, West, Seekonk, and Blackstone rivers. Phase II includes interceptors to transport flows from remote rivers to the Phase I tunnel, sewer separation, and a wetlands treatment facility. Phase III includes a Pawtucket tunnel, interceptors, and sewer separation. Implementation of these phases must await evaluation of the costs, benefits, and performance of Phase I.

In addition to the general obligation bonds and the Clean Water State Revolving Fund zero interest loans, the Agency will continue to pursue federal financing in order to further reduce the burden on commission ratepayers who would experience increases in rates to pay off the remaining revolving loan funded portion of the project. Federal funds of \$1.0 million were expended in FY 2002 and \$3.2 million in federal funds are estimated for FY 2003.

State Water Resources Board

Agency Responsibilities

The Rhode Island State Water Resources Board is responsible for the development and regulation of a safe and adequate water supply today and into the future for Rhode Island's citizens through the 34 municipal water supply systems located around Rhode Island. The agency's responsibilities include the planning and development of water supplies, both surface and ground, so that they are available when required, both in terms of quality and quantity. Rhode Island's 34 municipal water supply systems serve 90 percent of Rhode Island's population.

Approximately eighty-five percent of the water supply comes from surface water and fifteen percent comes from the ground. The Water Facilities Assistance Program utilized general obligation bond funds from 1983 and 1986 bond authorizations to provide matching grants to local governments for water supply projects. The program provided reimbursement of up to 25 percent of eligible construction costs of water supply transmission facilities. Authorizations also provided up to fifty percent grants for transmission improvements benefiting more than one system.

The 1988 Public Drinking Water Protection bond authorization provides funding to supplement suppliers' funds and revenue bonds to finance watershed protection activities, including land acquisition.

Governor's Recommendations

Water Allocation Plan - The Governor recommends \$167,188 in FY 2003, \$495,000 in FY 2005, \$400,000 in FY 2006 and \$210,000 in FY 2007 from the Rhode Island Capital Plan Fund as well as \$1.0 million and \$515,000 from federal funds in FY 2003 and FY 2004, respectively, to determine the availability of Rhode Island's water resources. This will allow the Water Resources Board to

equitably distribute water resources among competing demands. This legislatively mandated activity will enable planners, water suppliers, and state and local governments to evaluate the availability of water to support population and economic growth, to project water resources and water resource degradation, and to examine corrective action to avoid environmental and economic loss.

Statewide Emergency Water Interconnect Study

- The Governor recommends issued general obligation bond proceeds of \$2.0 million in FY 2003 and \$301,451 in FY 2004 to finance the identification and costs of current and potential interconnections between Rhode Island's thirty (30) largest water systems. These interconnections will enable the transfer of water from system to system in the event of emergency pipe breaks, contamination of water sources, or drought. The Governor recommends the issuance of \$3.4 million from authorized but unissued general obligation bond proceeds in FY 2004. The Governor also proposes a \$10.0 million bond referendum for the November 2006 ballot, the funding from which will be used to develop and implement the rules and regulations of the interconnect program.

Supplemental Water Supplies Development

- The Governor recommends \$639,380 from the Rhode Island Capital Plan Fund, including \$39,380 in FY 2003, \$400,000 in FY 2005, and \$200,000 in FY 2006 coupled with \$156,133 in FY 2003 from other funds and \$206,133 and \$300,000 from federal funds in FY 2003 and FY 2004, respectively, to partner with the Providence Water Supply Board on a statewide study to investigate alternative water supplies for existing use and emergency purposes.

Big River Management Area Maintenance - The Governor recommends \$473,970 through FY 2008, including \$73,970 in FY 2003 and

State Water Resources Board

\$80,000 in each year from FY 2004 to FY 2008 from the Rhode Island Capital Plan Fund to upgrade infrastructure to protect the board's tenants and the public utilizing the Big River Management Area.

Groundwater Protection/Acquisition Program – The Governor recommends \$1.3 million from issued and unissued general obligation bond proceeds for FY 2003 through FY 2007 for this program. The Water Resources Board anticipates the purchase of wellhead sites, including wells and 400 foot areas around each well. Wells will be capped in order to protect and make available future drinking water supply sources in the South County area.

Bristol County Water Treatment Facilities - The Governor recommends the use of issued proceeds of \$1.2 million in FY 2003, \$1.925 million in FY 2004 and \$293,572 in FY 2005, as well as general revenue funds of \$1.1 million in FY 2005 and \$900,000 in FY 2006 for work on the Bristol County Water Treatment Facility. Under R.I.G.L. § 46-15.5, the Rhode Island Water Resources Board is required to upgrade the Bristol County Water Authority Water Treatment Plant to comply with the Safe Drinking Water Act, upgrade the Shad Factory Transmission main to the plant, and upgrade reservoir facilities located primarily in the State of Massachusetts.

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Transportation

**Department of Transportation
Rhode Island Public Transit Authority**

Department of Transportation

Agency Responsibilities

The Department of Transportation is responsible for providing and maintaining the surface transportation (highway, mass transit, and rail) infrastructure that serves the needs of Rhode Island residents and visitors. Infrastructure-related capital projects are outlined in the department's five year Capital Improvement Plan for inclusion in the Transportation Improvement Program (TIP), which is revised every two years by the Department of Administration's Office of Statewide Planning and approved by the State Planning Council. The TIP addresses the goals of Rhode Island's transportation program and lists priority projects and activities. The department's capital improvement projects, in recent years, have focused on continued highway construction and reconstruction, bridge repair, and statewide road projects.

The State of Rhode Island has relied in the past on the issuance of general obligation debt to both finance 100 percent state projects and provide the required state match for federal funds. These federal funds have come from three sources: the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Railroad Administration (FRA).

The FHWA, under the authority of the six year (FY 1992 - FY 1998) Intermodal Surface Transportation Efficiency Act (ISTEA), provided an estimated \$100.0 million annually in Highway Trust Funds, to which Rhode Island committed an average of 20 percent of the total project cost. In June 1998, the President signed into law the Transportation Equity Act for the 21st Century (TEA-21). This act authorizes funding for highway, highway safety, transit and other surface transportation projects for the next six years. Rhode Island is expected to receive an average of \$165.0 million a year under this program. TEA-21 builds on the initiatives established through ISTEA. This new act combines the continuation and improvement of current programs with new initiatives to meet

the challenges of improving transportation safety, traffic flow efficiency, enhancing communities, and advancing economic growth. All projects not eligible for federal funds are financed with general obligation debt and gas tax proceeds.

The Federal Transit Administration provides funds to support the capital needs of Rhode Island's mass transit system, including the Rhode Island Public Transit Authority (RIPTA). The required 20 percent state match is provided from bond funds. The TEA-21 authorizes monies for both capital and operating assistance for mass transit operations.

The Federal Rail Administration (FRA) funds program administration and project work on projects that rehabilitate the state's major freight rail corridors and preserves them from private encroachment. FRA will provide close to fifty percent of the funding for the state's Freight Rail Improvement Project.

Finally, the department relies on several private sources of matching funds, such as private railroads, non-profit paratransit operators, and RIPTA (utilizing their share of the gasoline tax).

In FY 2003, the state continues to rely on general obligation debt issuance to finance capital project costs and state match requirements. It is the intent of the state to gradually shift over to a "pay-as-you-go" method, utilizing a dedicated gasoline tax funded Transportation Fund to provide a match for federal funds and for 100 percent state-funded projects. To this end, Rhode Island has undertaken the following measures:

- (1) In FY 1993, the state increased the Highway Reconstruction and Repair Account from a 5-cent to a 7-cent dedication of the gasoline tax to finance highway-related general obligation debt service.
- (2) In FY 1993, the state provided RIPTA with 3-cents of the gasoline tax to finance not only Rhode Island's operating and debt

Department of Transportation

- service assistance, but a small portion of RIPTA's capital match requirements as well.
- (3) In FY 1994, the state established the Intermodal Service Transportation Fund, supported by 27 cents of Rhode Island's 28-cent gasoline tax. This fund originally increased the gasoline tax dedication to the department from 7 cents to 13 cents to finance all operating as well as debt service expenditures. At this point, eleven cents of the gasoline tax was transferred back to the General Fund.
 - (4) Also in FY 1994, the state transferred operation of T.F. Green Airport and other satellite airport facilities to the Rhode Island Airport Corporation, a subsidiary of the Economic Development Corporation.
 - (5) In FY 1996, the state shifted one additional cent of the gas tax to support Department of Transportation operations, resulting in a total dedication of 14 cents.
 - (6) Also for FY 1996, DOT began a Pavement Repair Program utilizing over \$0.7 million of gasoline tax funds for pavement overlay and crack sealing work to preserve roadway surfaces and to delay more expensive road reconstruction work.
 - (7) In FY 1998, the department was the recipient of two additional cents of the gas tax, bringing the department's total allotment to 16 cents, and thereby reducing the General Fund's allotment to 8 cents (one cent directly, 7 cents from the ISTF Fund). The additional funds received in FY 1998 were used to cover increased debt service costs, to fund the hiring of additional maintenance personnel, and to enhance statewide capital improvement initiatives such as road pavement and bridge maintenance.
 - (8) In FY 1999, the Governor and the Legislature enacted legislation that would transfer an additional one cent of the gas tax annually to the ISTF from the General Fund's allotment. This would result in all gasoline taxes being dedicated to transportation functions by FY 2004.
 - (9) As part of the enacted FY 2000 budget, this plan was amended by reducing the allocation of gas tax to DOT by \$0.05 and increasing the RIPTA share by the same amount. In FY 2001, the portion of gas tax dedicated to DOT increased to \$0.195 as was originally called for prior to the revision of the FY 2000 allocation schedule. The \$0.05 increase to RIPTA is permanent and is offset by a reduction in the amount of gas tax originally scheduled for transfer to the General Fund.
 - (10) For FY 2001, this plan was again amended by increasing the RIPTA gas tax allocation to \$0.625 of gas tax. This one fourth of one cent increase yielded an additional \$1.2 million in revenue for the agency. As was the case in the change in allocation to RIPTA in FY 2000, the additional transfer is permanent and was offset by a reduction in gas tax revenue transferred to the General Fund.
 - (11) The growing needs of RIPTA were again addressed in the FY 2002 budget with a one half-cent increase to the Authority's gas tax allocation. As with previous increases, this addition of revenue was permanent and funded through and offsetting decrease of gas tax revenue dedicated to the general fund.
 - (12) Due to statewide budget constraints, the planned allocation of the remaining one-fourth cent of gas tax to DOT in FY 2003 was postponed and re-planned for FY 2004. Additionally, the State's gas tax itself was increased by two cents. Revenue generated by this increase was dedicated to the general fund.
 - (13) For FY 2004, the Governor is recommending significant additional revenue for RIPTA and a reallocation of available resources for both DOT and RIPTA. The Governor recommends a six-tenths of one cent increase in gas tax allocation to RIPTA. This additional funding equates to approximately \$2.8 million in new revenue. Additionally, both DOT and RIPTA will experience savings in debt service costs as a result of the defeasance of general obligation

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bonds with proceeds from the State's tobacco securitization deal, as well as other debt refinancings. The savings experienced by DOT will be utilized to fund maintenance projects on a cash basis as opposed to financing with additional debt.

Governor's Recommendations

Highway Improvement Program (HIP) - For FY 2004 the Governor recommends the issuance of \$31.3 million of previously authorized general obligation bonds to match \$165.0 million in federal highway funding.

The Governor is also recommending new bond referenda for the November 2004 and November 2006 elections, which would authorize the issuance of \$30.0 million in general obligation bonds in each fiscal year from FY 2006 through FY 2009. These funds would match approximately \$165.0 million in federal transportation funds each year, which combined with gas tax resources would fund the Highway Improvement Program consisting of approximately 200 projects scheduled for some phase of implementation during the next five years. Portions of each year's bond funds will be dedicated to individual projects being conducted separate from the Highway Improvement Program. These projects are detailed in this section. A table detailing the specific expenditures for major projects within the HIP can be found at the end of this section.

The TIP anticipates Rhode Island's receipt of \$65.0 million in federal funds each year through TEA-21. The TIP establishes the priorities for planning, design, and project implementation. The estimate of \$165.0 million per year in federal funds requires approximately \$45.0 million per year in matching state funds. General obligation bonds will provide \$30.0 million of this match. Other sources of matching funds include proceeds from the sale of real estate, Department of Environmental Management bonds for bike path construction, municipal contributions, and other funding

mechanisms such as "soft match" and FHWA credits.

FHWA projects within the TIP fall into the following categories:

Planning	Enhancement Program
Study & Development	Bridge Improvement
Highway Projects	Interstate Program
Pavement Management	Traffic/Safety Program
Bike/Pedestrian Program	TEA-21 Special Projects
Administrative Program	Congestion Mitigation/Air Quality (CMAQ)

The **Study and Development Program** assesses projects and develops sound cost estimates with public input. Projects in this program are prepared for the design phase. Projects currently in this program include Kingston/URI traffic improvements, an I-95 northbound connector to Route 4, design changes to Route 44 in Glocester, Route 146 in North Smithfield, and East Main Road on Aquidneck Island.

The **Highway Projects Program** primarily involves either full reconstruction of an existing roadway or the construction of a new highway facility. Projects currently in this category are the construction of the Quonset Access Road (Route 403) and the relocation of I-195. Other projects include improvements to Breakneck Hill Road, Sockanosset Crossroad/Route 2 intersection, Route 6/Cucumber Hill Road, East Main Road & Valley Road, and Route 116/Route 146. Also included in this program is the demolition of the Jamestown Bridge set for FY 2004.

The **Pavement Management Program** allows the DOT to pursue the most cost effective pavement treatment for roads throughout Rhode Island. This work includes resurfacing, crack sealing, and micro-surfacing. Roadways included in this program include community requested projects and those identified in DOT's Pavement Management Program. DOT's evaluation for addressing road conditions is

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based on four sources of information: Pavement Management System Data, public input, Maintenance Division input, and staff field review.

The Bicycle/Pedestrian Program includes the planning, design, and construction of independent bike paths and walking trails, on-road bicycle lanes, on-road bicycle routes, and bike/pedestrian promotional programs. Currently, three projects are being studied for potential development and cost assessment: Pontiac Secondary Bike Path, Wickford Junction Bike Path, and the South Auburn/Warwick Branch Path. Projects in the full design phase are: Ten-Mile River Greenway, Blackstone River Bikeway and Washington Secondary/Trestle Trail, and Warwick Bicycle Network. Recommended for full construction is the Blackstone River Bikeway, Woonasquatucket Greenway/ Northwest Bike Trail, South County Bike Path, and Washington Secondary Path.

The Transportation Efficiency Act for the 21st Century (TEA-21) establishes an **Enhancements Program** as a means to address environmental impacts on local communities from transportation and highway construction. This program dedicates specific funds that each state must use for enhancement projects. Enhancement projects must have a relationship to transportation and fall within at least one of an established list of categories.

The Bridge Improvement Program and Interstate Program focus on system preservation. The DOT maintains 748 bridges around Rhode Island. Bridge projects originate from state assessments and community requests that are evaluated and prioritized through the department's Bridge Management Program. Beginning in FY 2002, the major bridge project to be embarked on over the next several years will be the Washington Bridge on I-195.

Activities within the **Traffic Safety Program** include: roadway lane striping, highway lighting improvements, damaged safety devices repair,

damaged traffic signals repair, upgrading of obsolete traffic signal equipment, roadway drainage improvements, and damaged call box repairs. The Traffic Safety Program also funds projects aimed at improving the operational efficiency of various traffic signals throughout Rhode Island, collection and analysis of traffic accident data to determine necessary intersection improvements, and the installation of state of the art arterial traffic signal equipment that will promote efficient traffic flow along a roadway corridor.

The Congestion Mitigation/Air Quality Program funds projects to assist Rhode Island in improving air quality. Projects to be funded by the CMAQ program include development and operation of the RIDOT traffic management center, implementation of RIPTA service initiatives, and infrastructure development to service Rhode Island's compressed natural gas vehicles.

The largest individual project within the Highway Improvement Program is the relocation of I-195. The project will relocate I-195 through Providence, from the Washington Bridge to a new I-95 interchange. It will organize and improve access to the central Providence area, as well as improve traffic capacity on I-195 and I-95. This plan will also allow for full development of the Providence River waterfront, thereby extending the Waterplace Park improvements southward. As part of the Department of Transportation's FY 2004 – 2008 Capital Improvement Plan, the Governor is recommending expenditures totaling \$150.0 million for the relocation of I-195's Providence section. With an estimated completion date of 2012, this project is expected to cost approximately \$440.0 million. Federal Highway Administration funds will fund 80 percent of the project and the state match provided through general obligation bond funds will comprise 20 percent of the cost.

There are currently five major infrastructure projects either underway or facing the state in the near future. These projects include the I-195

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Relocation, Sakonnet River Bridge Replacement, Washington Bridge Replacement (eastbound), Freight Rail Improvement Project completion costs, and Route 403 Phase II. The cost of these projects is currently estimated at \$672.8 million through 2012. With such significant infrastructure needs to be addressed in the short-term future, DOT is considering an innovative financing approach to the issue. The department may propose to take advantage of a federally approved program (GARVEE Bonds), which allows states to borrow against future federal funds. This borrowing does not pledge the credit of the state. The debt service associated with this borrowing would be paid from annual federal highway fund allocations. This approach to meeting the transportation needs of the state is currently being researched by DOT officials and will be presented upon further study and review.

Fixed Guideway (Commuter Rail) – For FY 2004 through FY 2008, the Governor recommends \$48.6 million in spending for the planning, design, and construction of new fixed guideway facilities for commuter rail, light rail, and/or intercity rail. The project's financing over this period will be comprised of \$38.9 million in Federal Transit Administration funds, \$5.4 million in funding through the Massachusetts Bay Transportation Authority (MBTA), \$3.8 million from third party financing, and \$582,000 in gas tax revenue. This program includes construction of stations, tracks and signals, right-of-way acquisition, purchase of locomotives and rail coaches, and other rail appurtenances to accommodate rail passenger service in Rhode Island. Included in this project is the Pilgrim Partnership II agreement with the MBTA. Through this agreement, the MBTA extended three additional round trips to Providence each day. The MBTA is also designing the Pawtucket Layover Facility. This site will accommodate the transfer of the Attleboro Layover yard as well as enable RIDOT to accommodate new train sets for rail service south of Providence. Also included in the Fixed Guideway project is the establishment

of the South County Commuter Rail System. The purpose of this project is to add a viable mode of travel to the intermodal system of Rhode Island and remove more single occupant automobiles from the highways.

Maintenance Facilities

Salt Storage Facilities – The Governor recommends \$4.1 million in general obligation bond proceeds through FY 2005 for the construction of salt (sodium chloride) storage facilities at 12 locations statewide. This project will combat potential groundwater contamination and reduce environmental concerns over the storage of this chemical. Through FY 2002, \$369,323 has been spent on this project. A bond referendum for this project was approved in November 1998.

Facility Construction/Renovations – The Governor recommends a new general obligation bond referendum to be placed on the November 2004 ballot for maintenance facility construction and renovation. The referenda will propose \$5.0 million for FY 2006-2007 to finance the construction of two new DOT maintenance facilities in Johnston and in Portsmouth. This recommendation compliments the construction of a new maintenance facility in East Providence starting in FY 2004. This project, with an anticipated cost of \$1.8 million, was approved as a ballot item in November 2002.

In addition to these planned facilities, the department is close to completing construction of a new maintenance facility in Warwick. Under agreement with the Narragansett Bay Commission (NBC), DOT transferred ownership of its property on Calvary Street in Providence over to NBC. This property is to be utilized for the Combined Sewer Overflow project. The NBC in-turn has provided \$3.0 million towards the construction costs associated with the new facility. The total cost of this project was \$6.8 million. Through FY 2003, \$3.0 million was expended on this project and \$3.8 million will be expended in FY 2003 to complete the project. In

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addition to the NBC funding, the project's funding will be comprised of \$3.3 million in gas tax revenue and \$498,864 in revenue received by DOT for licensing fees required for advertising along the state's interstates.

Capital Equipment Replacement – The Governor recommends the use of \$10.8 million in FY 2003 through FY 2005 to purchase heavy trucks, sweepers, loaders, backhoes, and tractors to replace the existing fleet in a systematic and cost-effective manner. Under the proposed plan \$5.4 million will be allocated in FY 2003 and \$2.7 million in both FY 2004 and FY 2005 for short-term lease financing.

Freight Rail Improvement Project (Third Track)

- The Governor recommends total funding of \$148.0 million for the preservation and improvement of freight rail service along the Northeast Corridor in Rhode Island. The Northeast Corridor is a 457-mile long rail line linking the cities of Boston and Washington, D.C. The Rhode Island segment is part of Amtrak's Shore Line Route which extends from Union Station in New Haven, CT. to South Station in Boston, MA. The Freight Rail Improvement Project will focus on the 22-mile segment of the Amtrak line originating in Central Falls and terminating at the Quonset Point/Davisville Industrial Park in North Kingstown. The project will be funded with a total of \$55.0 million in federal railroad administration funding, \$50.0 million of general obligation debt authorized by the voters in November 1996, \$40.0 million in federal highway funds (bracketed on Schedule 4 because these funds are budgeted under the Highway Improvement Program), and \$3.0 million in general revenue funds appropriated in FY 1997.

This project involves the rehabilitation of existing freight rail track, construction of new track, undercutting/lowering of the track bed, construction of bridges to carry tracks over roadways, utility relocation, and the raising of highway/road bridges. The track modernization will play a vital role in attracting new

commercial and industrial development both along the Northeast Corridor and to the Quonset Point/Davisville Industrial Park. In addition, this project will improve the operational efficiency and flexibility of freight rail service to existing industry and areas designated for future economic development, reduce traffic congestion and air quality concerns, and limit the number of heavy vehicles on the State's roadways.

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Highway Improvement Program Current TIP Allocation Plan (in millions)

<u>Major Projects and Activities</u>	<u>FY 2003</u>	<u>FY 2004</u>	<u>FY 2005</u>	<u>FY 2006</u>	<u>FY 2007</u>	<u>FY 2008</u>
I-195 Relocation	33.0	33.0	33.0	33.0	33.0	33.0
Quonset RT 403	15.0	8.0	8.0	9.0	-	-
Washington Bridge	-	10.0	25.0	25.0	25.0	-
Bridge Program	30.0	29.3	30.0	30.0	30.0	30.0
Highway Program	31.0	36.5	38.4	29.5	27.0	10.0
Pavement Management	20.0	20.0	20.0	20.0	20.0	20.0
Traffic Safety	20.0	20.0	20.0	20.0	20.0	20.0
CMAQ	11.5	11.5	11.0	11.0	11.0	11.0
Transit Program	50.9	42.1	35.3	28.7	28.6	28.6

Rhode Island Public Transit Authority

Agency Responsibilities

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and ADA paratransit service operations. RIPTA is managed under the direction of a seven-member Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program and development and implementation of a high-speed ferry demonstration project from Providence to Newport. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, elderly and disabled residents. RIPTA has a fleet of 231 buses, which are operated and maintained by 690 employees. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. Rhode Island's paratransit operation includes 107 vans, which are currently operated by 10 carriers. As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of options to the single-occupant automobile. These key mobility strategies include: transit design and service strategies which help improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and, collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Governor's Recommendations

Mass Transit

RIPTA Bus Purchases - The Governor recommends \$33.8 million for the Rhode Island Public Transit Authority (RIPTA) in FY 2004 through FY 2008 for the continued purchase and complete renovation of buses. These purchases and renovations are used to replace buses that have exceeded, or will exceed, their estimated useful life. The majority of funding for these purchases and renovations (\$27.9 million) will be provided through the Federal Transit Administration. Other funding includes unissued G.O. bond proceeds of \$2.7 million and GO bond proceeds of \$2.4 million to be proposed on the November 2004 ballot. The authority plans to spend \$2.3 million on bus acquisitions in FY 2003. Federal funds will make up \$1.8 million of this cost and G.O. bond proceeds will total \$355,057. RIPTA operating revenue will make up an additional \$104,599 of the cost.

Paratransit Vehicles - For FY 2004 through FY 2008, the Governor recommends the expenditure of \$9.7 million to coordinate the van services provided to elderly and disabled people throughout Rhode Island. The project will be financed with \$7.7 million in federal Mass Transit funds and matching funds of \$1.9 million generated from lease payments made to RIPTA from contracted paratransit carrier services. For FY 2003, RIPTA plans \$1.5 million in expenditures for Paratransit services. Of this total, Federal Transit Administration funding will comprise \$1.2 million and the remaining \$307,228 will come from the carrier services.

Land and Buildings - The Governor recommends \$13.2 million in FY 2004 through FY 2008 for various improvements and renovations for RIPTA facilities and properties as well as new construction. It is anticipated that the construction of an additional facility along

Rhode Island Public Transit Authority

Elmwood Avenue will begin in FY 2004. This facility will provide for centralized maintenance of the Paratransit fleet, increased bus storage capacity, a compressed natural gas fueling station, and allow for administrative office space expansion. Other projects to be undertaken include renovations to the agency's Park-n-Ride areas and transit hub station construction. Also included in this five-year plan are renovations to the Providence eastside bus tunnel. These projects will be funded through Federal Mass Transit funds (\$11.4 million), RICAP funds (\$1.2 million) and RIPTA operating funds totaling \$620,000. For FY 2003, RIPTA plans expenditures of \$9.8 million for land and building issues. In addition to undertaking the projects mentioned above, the authority will be completing renovations to the Kennedy bus station terminal and Middletown alternative fuel station in FY 2003. Funding for FY 2003 costs is comprised of \$7.2 million in federal funds, \$2.1 million from RICAP funding, \$430,661 from RIPTA operating funds, and \$70,360 from G.O. bond proceeds.

Capital Maintenance - The Governor recommends \$32.9 million in FY 2004 through 2008 to provide funding for capital maintenance items for revenue producing rolling stock (i.e. busses, trolleys, etc). Project activities include replacement and/or upgrade of engines, transmissions, air conditioning systems, as well as repainting and body repair. RIPTA operating funds of \$6.6 million will match \$26.3 million in Federal Transit Administration funds for this program. For FY 2003, RIPTA plans the spending of \$10.1 million for Capital Maintenance items. This spending plan is comprised of \$5.0 million from federal funds and \$2.0 million in agency operating funds.

Capital Equipment and Supplies - The Governor recommends a total of \$25.4 million for FY 2004 through FY 2008 for equipment and supplies for the transportation, marketing, and administrative departments of RIPTA and other mass transit operations. RIPTA will utilize

\$800,000 from its capital fund and \$3.4 million in operating funds to match \$21.2 million of funding provided through the Federal Transit Administration. Purchases for the authority include supplies such as computer equipment and software, communications equipment, bus shelters, and office equipment and furnishings. For FY 2003, RIPTA plans for \$8.4 million in spending on capital equipment and supplies. This spending is comprised of \$6.7 million in federal funds, \$1.4 million in the authority's capital plan funds and \$319,361 from operating funds.

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Quasi-Public Agencies

**Rhode Island Airport Corporation
Rhode Island Resource Recovery Corporation**

Airport Corporation

Agency Responsibilities

The Rhode Island Economic Development Corporation created the Rhode Island Airport Corporation (RIAC) on December 9, 1992 as a subsidiary public corporation, government agency, and public instrumentality having a distinct legal existence from the State of Rhode Island and the Corporation and having many of the same powers and purposes of the Corporation. RIAC is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the outlying North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Airport Corporation are vested in its Board of Directors consisting of seven members, one member appointed by the Mayor of the City of Warwick and serving at his pleasure, the remaining six appointed by the Governor for four year terms. RIAC does not have the power to issue bonds or notes or borrow money without the approval of the Economic Development Corporation.

The Airport Corporation leases the airports from the State of Rhode Island, heretofore, through the Department of Transportation, the operator of the airport system. The state and the department have assigned all rights to airport revenues, the proceeds of general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits to the Airport Corporation. RIAC has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following fiscal year.

The Airport Corporation was established for the purpose of assuming operating responsibility for the airports and undertaking capital improvements. RIAC is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the Federal Government has empowered local airports to collect in order to finance capital improvements.

The Airport Corporation is entitled to receive funds from the Federal Aviation Administration (FAA), which provides funds on a 75/25 (federal/state) matching basis to improve the state's Airport System and finance equipment purchases, runway reconstruction, and terminal building improvements. The grants are used to support a program of specific runway, hangar, signaling, and other improvements under the general title of the Airport Improvement Program.

Federal grants are also used to support large-scale projects. Most noteworthy is the new dual-level terminal building at Green State Airport. Financing for this project was not only from existing state bond issues and FAA/FHWA grants, but also by means of revenue bonds supported by non-airline and airline revenues attributable to a new rate and charges schedule.

Governor's Recommendations

Noise Mitigation - The Airport Corporation has undertaken an extensive Noise Mitigation Program for more than a decade. This program has included three primary components: (1) Residential Soundproofing: replacement of windows and doors, door weather-stripping, and interior walls, ceilings and electrical systems modifications of homes in the airport area; (2) School Soundproofing: noise mitigation modifications, including window replacement, door weather stripping, and mechanical grills at

Airport Corporation

schools in the airport area, and (3) Home Removal and Relocation.

The Governor recommends the transfer of \$310,000 to the corporation in FY 2003 as the state's contribution towards the overall Noise Mitigation program. This is the final of five installments of funding derived from the issuance of general obligation bonds approved by the voters in 1996.

Other Projects

Unlike the State of Rhode Island, the Airport Corporation does not continually update its five-year capital plan. Instead, the corporation develops a new five-year plan when an existing plan is nearing completion. The corporation is currently in the process of doing a master plan update for T.F. Green Airport and a system plan for the six outlying airports. As a result, the corporation did not submit a multi-year capital plan to the state and thus only the Noise Mitigation project is displayed this year. The current process should be complete towards the end of FY 2003 and the corporation's new capital plan will be incorporated into the state's FY 2005 – FY 2009 Capital Improvement Plan.

Rhode Island Resource Recovery Corporation

Agency Operations

The Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide environmentally sound and economically reasonable source reduction, recycling and disposal services. The goal of the Corporation's solid waste management system is to minimize the amount of waste generated and landfilled and maximize the amount of waste recycled and reused.

Source reduction and public education programs include the following:

- household hazardous waste reduction;
- used consumer electronics collection;
- distribution of home composting bins;
- technical assistance;
- materials exchange listings;
- a teacher's curriculum;
- school presentations and workshops; and
- other source reduction programs.

The Materials Recycling Facility (MRF), in concert with grants to municipalities, supports a broad municipal recycling program. Metal, newspapers, and plastic and glass containers are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The Corporation developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state of the art technology, including double-lining the landfill.

Corporation's Plans

Landfill Design, Phase IV (Southwest) The Corporation will spend approximately

\$3,118,000 from general revenues in FY 2003 to complete the construction of the Phase IV, Area 4 baseliner, which is on the southwest side of the Landfill. Construction of the double composite liner and leachate collection system, which will cover eleven acres, must be completed while Areas 3 is in operation to assure that RICCR can provide uninterrupted waste disposal services to the State of Rhode Island. Future capital costs include closure of the Phase IV cell, which encompasses 40 acres, not including the "piggyback" areas of Phase V. Projected annual costs are as follows: FY 2006 - \$2.0 million; FY 2007 - \$4.6 million; and FY 2008 - \$2.6 million.

Superfund Remediation/OU-I and OU-2

In 1986, the Central Landfill was placed on the EPA's Superfund National Priorities List. In October 1996, a Consent Decree with the EPA was approved by the US District Court, which required the establishment of a trust fund in the amount of \$27.0 million for remediation purposes. The fund balance as of June 30, 2002 was \$25.3 million, which is net of significant disbursements during prior fiscal years that were made to comply with the Decree. Capital outlays for remediation and cap construction costs will be approximately \$15.0 million through FY 2008. In addition, the cost of annual remediation and monitoring activities mandated by the EPA is projected to be in excess of \$32.0 million for the thirty-year period ending in 2036. Payment for these items will be drawn from general revenues and the Central Landfill Remediation Trust Fund as needed. Projected expenditures by year are as follows: FY 2003 - \$1.4 million; FY 2004 - \$5.3 million; FY 2005 - \$5.6 million; FY 2006 - \$3.9 million; FY 2007 - \$1.5 million; FY 2008 - \$1.1 million; post FY 2008 - Disbursements for remediation activities will be in excess of \$28.5 million.

Rhode Island Resource Recovery Corporation

Landfill Equipment/Vehicles/ Machinery

The Corporation will acquire/replace equipment that is necessary to operate the landfill, the MRF facility, and the bio-solids processing facility, including earth moving equipment, compaction equipment, and general purpose vehicles as they become uneconomical to maintain. Also included are the substantial outlays required by federal and state regulators for erosion and sedimentation control equipment and procedures. All items will be funded from Corporation general revenues. Projected expenditures by year are as follows: FY 2003 - \$4.9 million; FY 2004 - \$2.5 million; FY 2005 - \$2.6 million; FY 2006 - \$1.6 million; FY 2007 - \$1.6 million; FY 2008 - \$2.1 million; post FY 2008 - Capital equipment will continue to be replaced annually at a comparable rate beyond FY 2008.

Industrial Park Development

The Corporation will develop an industrial/office park. This economic development project will be a catalyst for the formation and expansion of a recyclable-based re-manufacturing industry in Rhode Island. This project will be undertaken with Corporation general revenues, which will be supplanted by revenue from the sale of lots after the initial stages of the park are complete and marketable. Projected expenditures by year are as follows: FY 2003 - \$1.3 million; FY 2004 - \$8.4 million.

Design and Construct Interchange on I-295

The Corporation will construct a highway interchange at Interstate Route 295 and Scituate Avenue, which will provide improved highway access to the Environmental Management District of Western Johnston and Cranston. The present vehicular transportation infrastructure will be reconfigured to protect residential areas from heavy traffic. Construction costs will be borne by the Corporation's general revenues. Projected

expenditures by year are as follows: FY 2003 - \$3.8 million; FY 2004 - \$2.3 million.

Scituate Avenue/Shun Pike Upgrade

The Corporation will redesign and reconstruct the roadways between RIRRC's main facility and the new interchange on I-295. The roads will be widened and straightened to provide safe vehicular access to the new industrial park and facilitate access to the Corporation's facilities by redirecting traffic from Plainfield Pike (Route 14). Projected expenditures by year are as follows: FY 2003 - \$875,000; and FY 2003 - \$2.4 million.

Landfill Design, Phase V (Southeast)

The Corporation plans to spend approximately \$9.9 million from Corporation general revenues through FY 2008 to construct another series of landfill cells that must be in service before Phase IV reaches its design capacity in order to continue to serve the waste disposal needs of the state without disruption. Capping costs for Phase V are expected to begin in 2011. Projected expenditures by year are as follows: FY 2003 - \$0.8 million; FY 2004 - \$7.5 million; FY 2008 - \$1.7 million; post FY 2008 - \$12.5 million.

MRF Expansion, System Enhancement and Maintenance

The Corporation will continue to reinvest in capital equipment for the MRF as needed to enable the facility to produce a quality product in an efficient and cost effective manner. Equipment and machinery components will be upgraded or replaced as necessary due to wear and tear. Most of the machinery has been in continuous use since the MRF opened twelve years ago. Anticipated acquisitions/replacements for FY 2003 through FY 2005 include upgrade of the mixed recyclable (bottles and cans) processing equipment; a Bollegraaf Paper Baler, and the Star Paper Sorting System. Projected expenditures by year are as follows: FY 2003 - \$999,500; FY 2004 - \$648,000; FY

Rhode Island Resource Recovery Corporation

2005 - \$1,520,000; FY 2006 - \$400,000; FY 2007 - \$400,000; FY 2008 - \$400,000; post FY 2008 - Capital equipment will continue to be replaced or restored annually at a comparable rate.

Closure of Landfill Phases II and III

The Corporation is required by EPA to implement closure and post-closure care plans for municipal solid waste landfills as a condition for the right to operate a landfill. The Corporation estimates the cost of these requirements for Phases II and III to be in excess of \$5.9 million to close and \$5.0 million to maintain after they are closed. Projected expenditures by year are as follows: FY 2003 - \$1.7 million; FY 2004 - \$2.8 million; FY 2005 - \$2.0 million; FY 2006 - \$329,390; FY 2007 - \$217,320; FY 2008 - \$173,000; post 2008 - Disbursements for post-closure activities will be approximately \$3,600,000.

Cedar Swamp Brook Relocation - Phase II

Before constructing Phase IV of the landfill, it was necessary for the Corporation to spend in excess of \$6.0 million from Corporation general revenues to relocate that portion of Cedar Swamp Brook that was located in the area where Phase IV of the landfill is to be constructed. Phase II of the brook relocation will relocate that portion of the brook that is located in the area where Phase V of the landfill will be constructed, adjacent to Phase I of the landfill. It is being relocated outside the Phase V landfill footprint, and reconnected upstream of Sedimentation Pond 2. Projected expenditures by year are as follows: FY 2003 - \$5.0 million; FY 2004 - \$1.5 million.

Facility Improvements

The Corporation has undertaken improvements to its buildings in Johnston that are used in the course of daily operations, including: upgrading the electrical system of the entire facility; erecting a salt shed to house snow removal

equipment and salt/sand mix; and, procuring an articulating boom with trailer for in-house maintenance of overhead lighting and doors. Projected expenditures by year are as follows: FY 2003 - \$145,000; FY 2004 - \$170,000; FY 2005 - \$275,000; FY 2006 - \$50,000; FY 2007 - \$55,000; FY 2008 - \$60,000; post FY 2008 - Capital improvements will continue to be made to the facilities annually as needed.

Tipping Facility

The Corporation constructed a tipping facility to better serve all commercial and municipal users of the Central Landfill. Waste haulers unload their vehicles in a controlled manner within an enclosed structure, thereby reducing their operating expenses. Additionally, this process will improve the efficiency of the working face operation and the management of landfill capacity by reducing customer traffic and achieving a higher level of recycling by recovering materials that would otherwise be landfilled. Projected expenditures for machinery and equipment by year are as follows: FY 2003 - \$442,500; FY 2004 - \$10,000; FY 2005 - \$480,000; FY 2006 - \$10,000; FY 2007 - \$410,000; FY 2008 - \$410,000.

Management Information System Maintenance and Enhancement

The Corporation will continue to upgrade and maintain its management information systems with the acquisition of advanced technologies for scale house operations, including automated transmission of truck identification numbers via radio frequency, and signature pads to capture truck drivers' signatures electronically and store them on the computer's hard disk. Comparable upgrades to other software applications and hardware will continue to be made to enhance data integrity and controls, and improve reporting capabilities. All expenditures will be funded by Corporation general revenues. Projected expenditures by year are as follows: FY 2003 - \$143,775; FY

Rhode Island Resource Recovery Corporation

2004 - \$137,375; FY 2005 - \$75,000; FY 2006 - \$80,000; FY 2007 - \$85,000; FY 2008 - \$85,000; post FY 2008 - Capital equipment will continue to be replaced annually at a comparable rate.

Landfill Gas Collection System

The Corporation will continue to expand, maintain, and monitor the landfill gas collection system in accordance with federal and state regulations. The FY 2003 budget includes \$1.6 million for replacement of the existing gas plant flares with a new 6,000 cfm ultra-low emission flare and booster, as well as \$350,000 for VOC credits for air emissions. Project annual costs are as follows: FY 2003 - \$2.8 million; FY 2004 - \$1.3 million; FY 2005 through FY 2007 - \$1.0 million annually; FY 2008 - \$1.3 million; post FY 2008 - \$8.3 million.

Future Disposal Capacity

The Corporation will begin planning the citing of future landfill cells and/or other waste disposal options to continue to serve Rhode Island's waste disposal needs through the foreseeable future. The capacity, area and other design characteristics, as well as the projected cost, will be determined through engineering studies that will be conducted after Phase V is designed and permitted. Projected expenditures by year may be as follows: FY 2007 - \$6.0 million; FY 2008 - \$8.0 million.

Summary of Proposed Bond Issuance by Agency

<u>Agency</u>	<u>FY 2004</u>	<u>FY 2005</u>	<u>FY 2006</u>	<u>FY 2007</u>	<u>FY 2008</u>	<u>Totals</u>
Administration	-	-	-	-	-	-
Economic Development Corporation	-	-	-	-	11,000,000	11,000,000
Subtotal: General Government	-	-	-	-	11,000,000	11,000,000
Children, Youth and Families	-	-	-	-	-	-
Human Services	-	-	-	-	-	-
Mental Health, Retardation & Hospitals	4,630,000	2,000,000	1,700,000	990,000	400,000	9,720,000
Governor's Commission on Disabilities	-	-	-	-	-	-
Subtotal: Human Services	4,630,000	2,000,000	1,700,000	990,000	400,000	9,720,000
Elementary & Secondary Education	-	-	-	-	-	-
Higher Education	18,385,000	3,103,275	3,531,724	1,750,000	32,685,950	59,455,949
Atomic Energy Commission	-	-	-	-	-	-
Historical Preservation & Heritage Comm.	7,000,000	2,000,000	1,500,000	500,000	-	11,000,000
Public Telecommunications Authority	-	-	-	-	-	-
Subtotal: Education	25,385,000	5,103,275	5,031,724	2,250,000	32,685,950	70,455,949
Attorney General	500,000	-	-	-	-	500,000
Corrections	-	-	-	-	-	-
Judicial	-	-	-	-	-	-
Military Staff	-	-	-	-	-	-
State Police	4,700,000	18,000,000	18,000,000	1,100,000	-	41,800,000
State Fire Marshal	500,000	3,000,000	2,900,000	-	-	6,400,000
Subtotal: Public Safety	5,700,000	21,000,000	20,900,000	1,100,000	-	48,700,000
Environmental Management	16,490,000	12,045,000	8,480,000	2,750,000	145,000	39,910,000
Coastal Resources Management Council	-	-	-	-	-	-
Clean Water Finance Agency	2,700,000	2,500,000	2,400,000	2,200,000	2,200,000	12,000,000
Narragansett Bay Commission	-	-	-	-	-	-
Water Resources Board	3,415,000	305,000	415,000	33,000	3,000,000	7,168,000
Subtotal: Natural Resources	22,605,000	14,850,000	11,295,000	4,983,000	5,345,000	59,078,000
Transportation	41,575,000	38,750,000	32,480,000	32,540,000	30,000,000	175,345,000
Airport Corporation	-	-	-	-	-	-
RI Public Transit Authority	1,050,000	1,100,000	625,000	440,000	1,950,000	5,165,000
Subtotal: Transportation	42,625,000	39,850,000	33,105,000	32,980,000	31,950,000	180,510,000
Totals	100,945,000	82,803,275	72,031,724	42,303,000	81,380,950	379,463,949

Schedule 2 - Statewide Summary by Source of Funds

	<u>Pre-FY 2003</u>	<u>FY 2003</u>	<u>FY 2004</u>	<u>FY 2005</u>
<u>By Source of Funds</u>				
General Obligation - Issued Proceeds	594,819,151	130,597,756	15,511,618	568,572
General Obligation - Unissued Proceeds	-	-	100,945,000	82,803,275
General Obligation - New Referenda	-	-	-	-
Certificates of Participation	8,272,333	22,571,703	34,816,653	61,556,476
Rhode Island Capital Plan Fund	81,595,803	35,947,536	42,208,059	47,022,404
Federal Funds	15,437,510	36,383,251	32,949,380	16,168,995
Federal Highway Administration (TEA21)	158,463,367	145,624,292	166,000,000	166,000,000
Federal Transit Administration	39,674,615	33,561,249	24,091,424	28,303,711
Federal - Environmental Protection Agency	129,977,493	28,354,681	23,352,000	22,014,500
Federal Aviation Administration	-	-	-	-
Federal Railroad Administration	26,738,225	11,076,775	8,685,000	6,500,000
Federal - Department of Agriculture	9,446,068	1,450,175	-	-
Federal - Economic Development Administration	-	-	-	-
Federal Emergency Management Agency	-	-	-	45,000
National Guard Bureau - Federal	487,302	128,943	652,500	1,187,500
Foundation Funding	212,000	-	-	-
Gas Tax	16,534,042	10,939,019	17,758,578	18,300,000
General Revenue	3,263,095	500,315	5,000,000	7,423,589
Insurance Proceeds	78,496	246,328	-	-
Interest Earnings on Bond Funds	3,751,907	214,971	50,000	50,000
Concession Fees	500,000	-	-	-
Capitalized Interest	2,621,341	2,055,954	2,055,954	-
Internal Service Funds	72,000	150,000	150,000	150,000
Lease Financing	-	5,400,000	2,700,000	2,700,000
Loan Repayments	-	-	-	-
Local Funds	107,264	18,000	-	-
Other Funds	16,726,545	19,978,596	18,000,000	18,000,000
Private Funding	11,912,442	10,649,885	1,533,102	4,121,648
Restricted Receipt Funds	4,707,437	7,157,395	6,210,000	3,183,500
Revenue Bonds	187,007,719	90,000,000	127,350,000	119,744,928
Revolved Capitalization Grants	4,982,767	20,391,488	5,417,806	6,943,433
RIHEBC Bonds	46,988,947	32,947,338	29,888,101	13,794,726
RIPTA Operating Funds	4,275,395	2,778,498	2,006,000	1,676,000
RIPTA Capital Funds	153,951	1,367,261	800,000	-
RIPTA Revolving Loan Fund	659,500	307,228	241,942	550,419
State Revolving Fund	-	-	-	-
Third Party Financing	14,328,764	13,745,136	7,500,000	4,250,000
Transportation Soft Match	-	-	-	-
University/College Funds	435,417	1,347,857	1,271,783	-
Totals	1,384,230,896	665,891,630	677,144,900	633,058,676

Schedule 2 - Statewide Summary by Source of Funds

	<u>FY 2006</u>	<u>FY 2007</u>	<u>FY 2008</u>	<u>Post-FY 2008</u>	<u>Totals</u>
<u>By Source of Funds</u>					
General Obligation - Issued Proceeds	250,000	250,000	-	-	741,997,097
General Obligation - Unissued Proceeds	37,776,724	7,573,000	2,745,000	-	231,842,999
General Obligation - New Referenda	34,255,000	34,730,000	78,635,950	102,934,050	250,555,000
Certificates of Participation	11,946,240	-	-	-	139,163,405
Rhode Island Capital Plan Fund	53,670,984	53,971,204	47,747,402	18,720,100	380,883,492
Federal Funds	9,579,363	4,055,589	3,134,954	-	117,709,042
Federal Highway Administration (TEA21)	166,000,000	165,000,000	165,000,000	-	1,132,087,659
Federal Transit Administration	24,109,596	21,905,463	19,205,647	-	190,851,705
Federal - Environmental Protection Agency	16,627,000	16,577,000	16,477,000	1,846,532	255,226,206
Federal Aviation Administration	-	-	-	-	-
Federal Railroad Administration	-	-	-	-	53,000,000
Federal - Department of Agriculture	-	-	-	-	10,896,243
Federal - Economic Development Administration	-	-	-	-	-
Federal Emergency Management Agency	625,000	-	-	-	670,000
National Guard Bureau - Federal	-	10,000,000	9,500,000	14,500,000	36,456,245
Foundation Funding	-	-	-	-	212,000
Gas Tax	18,600,000	18,900,000	19,200,000	-	120,231,639
General Revenue	8,547,178	1,323,589	685,329	-	26,743,095
Insurance Proceeds	-	-	-	-	324,824
Interest Earnings on Bond Funds	50,000	50,000	50,000	-	4,216,878
Concession Fees	-	-	-	-	500,000
Capitalized Interest	-	-	-	-	6,733,249
Internal Service Funds	150,000	-	-	-	672,000
Lease Financing	-	-	-	-	10,800,000
Loan Repayments	-	-	-	-	-
Local Funds	-	-	-	-	125,264
Other Funds	18,000,000	18,000,000	18,000,000	-	126,705,141
Private Funding	310,000	-	-	-	28,527,077
Restricted Receipt Funds	562,000	1,149,315	200,000	-	23,169,647
Revenue Bonds	43,000,000	41,000,000	35,500,000	-	643,602,647
Revolved Capitalization Grants	4,820,344	3,786,326	3,262,307	411,232	50,015,703
RIHEBC Bonds	-	-	-	-	123,619,112
RIPTA Operating Funds	2,360,000	2,540,000	2,022,000	-	17,657,893
RIPTA Capital Funds	-	-	-	-	2,321,212
RIPTA Revolving Loan Fund	251,922	497,917	392,110	-	2,901,038
State Revolving Fund	-	-	-	-	-
Third Party Financing	6,750,000	5,802,000	4,578,000	-	56,953,900
Transportation Soft Match	-	-	-	-	-
University/College Funds	-	-	-	-	3,055,057
Totals	458,241,351	407,111,403	426,335,699	138,411,914	4,790,426,469

Schedule 3 - Statewide Summary by Agency

	<u>Pre-FY 2003</u>	<u>FY 2003</u>	<u>FY 2004</u>	<u>FY 2005</u>
By Agency				
Administration	31,759,199	12,054,799	13,155,758	11,433,023
Legislature	-	-	4,000,000	4,000,000
Economic Development Corporation	20,998,914	4,256,086	-	-
Public Utilities Commission	-	300,000	300,000	300,000
Subtotal: General Government	52,758,113	16,610,885	17,455,758	15,733,023
Children, Youth & Families	508,771	1,633,221	14,734,449	32,211,471
Human Services	2,720,234	3,553,184	2,635,000	1,016,000
Mental Health, Retardation & Hospitals	41,941,596	29,740,423	11,687,846	7,980,000
Governor's Commission on Disabilities	4,083,052	1,755,169	604,814	525,000
Subtotal: Human Services	49,253,653	36,681,997	29,662,109	41,732,471
Elementary & Secondary Education	15,228,294	13,567,392	935,580	160,000
Higher Education	187,827,961	96,447,538	74,219,684	36,438,372
Atomic Energy Commission	220,000	190,000	185,000	1,000,000
Historical Preservation & Heritage Commission	579,820	10,255	7,000,000	2,000,000
Public Telecommunications Authority	-	-	4,055,601	-
Subtotal: Education	203,856,075	110,215,185	86,395,865	39,598,372
Attorney General	-	-	835,000	-
Corrections	8,038,682	6,694,530	11,409,940	8,749,017
Judicial	3,191,468	1,716,904	19,199,818	32,663,005
Military Staff	1,028,644	797,701	1,861,646	3,081,250
Fire Marshal	-	-	500,000	3,000,000
State Police	1,016,505	225,938	5,323,410	18,375,000
Subtotal: Public Safety	13,275,299	9,435,073	39,129,814	65,868,272
Environmental Management	155,280,944	31,141,170	24,122,000	22,292,178
Coastal Resources Management Council	706,309	20,136,530	20,199,797	3,163,797
Clean Water Finance Agency	404,650,791	143,455,899	158,869,806	151,252,861
Narragansett Bay Commission	22,033,673	3,561,327	250,000	250,000
Water Resources Board	9,366,970	5,138,513	6,816,451	2,673,572
Subtotal: Natural Resources	592,038,687	203,433,439	210,258,054	179,632,408
Transportation	412,913,524	256,976,472	260,947,455	270,000,000
Airport Corporation	1,240,000	310,000	-	-
RI Public Transit Authority	58,895,545	32,228,579	33,295,845	20,494,130
Subtotal: Transportation	473,049,069	289,515,051	294,243,300	290,494,130
Totals	1,384,230,896	665,891,630	677,144,900	633,058,676

Schedule 3 - Statewide Summary by Agency

	<u>FY 2006</u>	<u>FY 2007</u>	<u>FY 2008</u>	<u>Post-FY 2008</u>	<u>Totals</u>
By Agency					
Administration	13,210,000	9,802,000	9,030,000	3,000,000	103,444,779
Legislature	4,000,000	3,000,000	-	-	15,000,000
Economic Development Corporation	-	-	11,000,000	11,000,000	47,255,000
Public Utilities Commission	300,000	300,000	-	-	1,500,000
Subtotal: General Government	17,510,000	13,102,000	20,030,000	14,000,000	167,199,779
Children, Youth & Families	11,946,240	-	-	-	61,034,152
Human Services	402,000	790,000	340,000	-	11,456,418
Mental Health, Retardation & Hospitals	7,250,000	6,900,000	6,600,000	-	112,099,865
Governor's Commission on Disabilities	1,500,000	1,500,000	1,500,000	4,000,000	15,468,035
Subtotal: Human Services	21,098,240	9,190,000	8,440,000	4,000,000	200,058,470
Elementary & Secondary Education	-	-	-	-	29,891,266
Higher Education	23,551,909	17,849,315	46,585,950	59,234,050	542,154,779
Atomic Energy Commission	280,000	-	-	-	1,875,000
Historical Preservation & Heritage Commission	1,500,000	500,000	-	-	11,590,075
Public Telecommunications Authority	-	-	-	-	4,055,601
Subtotal: Education	25,331,909	18,349,315	46,585,950	59,234,050	589,566,721
Attorney General	-	-	-	-	835,000
Corrections	8,973,717	10,089,204	10,397,402	2,220,100	66,572,592
Judicial	785,000	50,000	-	-	57,606,195
Military Staff	1,970,000	10,690,000	10,400,000	14,500,000	44,329,241
Fire Marshal	2,900,000	-	-	-	6,400,000
State Police	18,350,000	4,100,000	4,000,000	5,200,000	56,590,853
Subtotal: Public Safety	32,978,717	24,929,204	24,797,402	21,920,100	232,333,881
Environmental Management	22,099,356	11,097,178	3,853,283	-	269,886,109
Coastal Resources Management Council	932,267	-	-	-	45,138,700
Clean Water Finance Agency	66,897,344	63,613,326	57,489,307	2,257,764	1,048,487,098
Narragansett Bay Commission	250,000	250,000	-	-	26,595,000
Water Resources Board	1,995,000	323,000	3,080,000	7,000,000	36,393,506
Subtotal: Natural Resources	92,173,967	75,283,504	64,422,590	9,257,764	1,426,500,413
Transportation	252,730,000	248,858,000	239,090,000	30,000,000	1,971,515,451
Airport Corporation	-	-	-	-	1,550,000
RI Public Transit Authority	16,418,518	17,399,380	22,969,757	-	201,701,754
Subtotal: Transportation	269,148,518	266,257,380	262,059,757	30,000,000	2,174,767,205
Totals	458,241,351	407,111,403	426,335,699	138,411,914	4,790,426,469

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Department of Administration</u>				
State House Renovations	15,827,038	1,967,864	1,180,000	800,000
Phase I - Fire Safety & Security	4,511,322	40,784	-	-
GO Issued Proceeds 1990 Ch 434	4,466,652	-	-	-
Rhode Island Capital Plan Fund	44,670	40,784	-	-
Skylight and Roof Repairs	3,038,864	347,136	-	-
Rhode Island Capital Plan Fund	3,038,864	347,136	-	-
Terrace Walls/South Stairs	7,854,984	1,018,596	200,000	-
GO Issued Proceeds 1990 Ch 434	703,543	-	-	-
Rhode Island Capital Plan Fund	7,151,441	1,018,596	200,000	-
Elevator Replacement	391,525	12,000	-	-
Rhode Island Capital Plan Fund	391,525	12,000	-	-
State House Security	-	540,500	-	-
Rhode Island Capital Plan Fund	-	540,500	-	-
Phase II - Interior Lighting/Generator	30,343	8,848	750,000	300,000
Private Funding	-	-	150,000	-
Rhode Island Capital Plan Fund	30,343	8,848	600,000	300,000
Phase III - Central HVAC/Parking	-	-	230,000	500,000
Rhode Island Capital Plan Fund	-	-	230,000	500,000
<u>Other Repairs and Rehabilitation</u>				
Chapin Health Laboratory Building	1,609,964	143,661	250,000	336,375
Rhode Island Capital Plan Fund	1,609,964	143,661	250,000	336,375
Cannon Building (Health)	483,078	148,657	377,000	150,000
Rhode Island Capital Plan Fund	483,078	148,657	377,000	150,000
Cranston Street Armory Stabilization	1,448,554	103,446	1,000,000	1,000,000
Rhode Island Capital Plan Fund	1,448,554	103,446	1,000,000	1,000,000
Old Colony House - Newport	83,031	214,969	-	-
Rhode Island Capital Plan Fund	83,031	214,969	-	-
State Office Building (Transportation)	377,833	247,167	250,000	250,000
Rhode Island Capital Plan Fund	377,833	247,167	250,000	250,000
Court Buildings - HVAC Systems	297,078	250,000	250,000	600,000
Rhode Island Capital Plan Fund	297,078	250,000	250,000	600,000
Veterans Memorial Auditorium	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Veterans Auditorium Office Building	23,549	249,655	200,000	-
Rhode Island Capital Plan Fund	23,549	249,655	200,000	-
William Powers Building (Administration)	-	-	45,000	210,000
Rhode Island Capital Plan Fund	-	-	45,000	210,000
Masonic Temple Rehabilitation	-	-	500,000	-
Rhode Island Capital Plan Fund	-	-	500,000	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Department of Administration</u>					
State House Renovations	2,400,000	2,695,000	3,730,000	-	28,599,902
Phase I - Fire Safety & Security	-	-	-	-	4,552,106
GO Issued Proceeds 1990 Ch 434	-	-	-	-	4,466,652
Rhode Island Capital Plan Fund	-	-	-	-	85,454
Skylight and Roof Repairs	-	-	-	-	3,386,000
Rhode Island Capital Plan Fund	-	-	-	-	3,386,000
Terrace Walls/South Stairs	-	-	-	-	9,073,580
GO Issued Proceeds 1990 Ch 434	-	-	-	-	703,543
Rhode Island Capital Plan Fund	-	-	-	-	8,370,037
Elevator Replacement	-	-	-	-	403,525
Rhode Island Capital Plan Fund	-	-	-	-	403,525
State House Security	-	-	-	-	540,500
Rhode Island Capital Plan Fund	-	-	-	-	540,500
Phase II - Interior Lighting/Generator	300,000	300,000	-	-	1,689,191
Private Funding	-	-	-	-	150,000
Rhode Island Capital Plan Fund	300,000	300,000	-	-	1,539,191
Phase III - Central HVAC/Parking	2,100,000	2,395,000	3,730,000	-	8,955,000
Rhode Island Capital Plan Fund	2,100,000	2,395,000	3,730,000	-	8,955,000
<u>Other Repairs and Rehabilitation</u>					
Chapin Health Laboratory Building	-	-	-	-	2,340,000
Rhode Island Capital Plan Fund	-	-	-	-	2,340,000
Cannon Building (Health)	750,000	1,000,000	-	-	2,908,735
Rhode Island Capital Plan Fund	750,000	1,000,000	-	-	2,908,735
Cranston Street Armory Stabilization	1,500,000	1,500,000	1,500,000	-	8,052,000
Rhode Island Capital Plan Fund	1,500,000	1,500,000	1,500,000	-	8,052,000
Old Colony House - Newport	-	-	-	-	298,000
Rhode Island Capital Plan Fund	-	-	-	-	298,000
State Office Building (Transportation)	250,000	-	-	-	1,375,000
Rhode Island Capital Plan Fund	250,000	-	-	-	1,375,000
Court Buildings - HVAC Systems	525,000	-	-	-	1,922,078
Rhode Island Capital Plan Fund	525,000	-	-	-	1,922,078
Veterans Memorial Auditorium	-	2,000,000	2,000,000	2,500,000	6,500,000
Rhode Island Capital Plan Fund	-	2,000,000	2,000,000	2,500,000	6,500,000
Veterans Auditorium Office Building	-	1,000,000	1,000,000	500,000	2,973,204
Rhode Island Capital Plan Fund	-	1,000,000	1,000,000	500,000	2,973,204
William Powers Building (Administration)	210,000	182,000	-	-	647,000
Rhode Island Capital Plan Fund	210,000	182,000	-	-	647,000
Masonic Temple Rehabilitation	-	-	-	-	500,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
State Information Operations Center	67,982	-	200,000	-
Rhode Island Capital Plan Fund	67,982	-	200,000	-
Powers Building Technology Infrastructure	-	-	500,000	-
Rhode Island Capital Plan Fund	-	-	500,000	-
Bio-Technology Training Laboratory - Planning	-	-	300,000	-
Rhode Island Capital Plan Fund	-	-	300,000	-
Washington County Government Center	77,506	124,494	368,000	315,000
Rhode Island Capital Plan Fund	77,506	124,494	368,000	315,000
Old State House - Providence	-	100,000	325,000	300,000
Rhode Island Capital Plan Fund	-	100,000	325,000	300,000
Board of Elections Building	2,445	47,555	150,000	350,000
Rhode Island Capital Plan Fund	2,445	47,555	150,000	350,000
Comprehensive Land Use Planning	2,288,814	100,000	111,186	-
GO Issued Proceeds 1989 Ch. 552	2,288,814	100,000	111,186	-
Environmental Compliance	314,876	1,185,124	750,000	750,000
Rhode Island Capital Plan Fund	314,876	1,185,124	750,000	750,000
Asset Inventory	133,481	166,519	150,000	150,000
Rhode Island Capital Plan Fund	133,481	166,519	150,000	150,000
Fox Point Hurricane Barrier	600,000	50,000	50,000	50,000
Rhode Island Capital Plan Fund	600,000	50,000	50,000	50,000
Fuel Depots	623,970	1,955,688	1,199,572	1,171,648
Internal Service Funds	72,000	150,000	150,000	150,000
Federal Highway Administration (CMAQ)	551,970	1,500,000	1,000,000	1,000,000
Private Funding	-	305,688	49,572	21,648
Affordable Housing Initiative	5,000,000	5,000,000	5,000,000	5,000,000
Third-Party Financing	5,000,000	5,000,000	-	-
General Revenue	-	-	5,000,000	5,000,000
Travelers' Aid Relocation	2,500,000	-	-	-
Third-Party Financing	2,500,000	-	-	-
Department of Administration Totals	31,759,199	12,054,799	13,155,758	11,433,023
<u>Legislature</u>				
Legislative Office Building	-	-	4,000,000	4,000,000
Rhode Island Capital Plan Fund	-	-	4,000,000	4,000,000
Legislature Totals	-	-	4,000,000	4,000,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
State Information Operations Center	-	-	-	-	267,982
Rhode Island Capital Plan Fund	-	-	-	-	267,982
Powers Building Technology Infrastructure	-	-	-	-	500,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000
Bio-Technology Training Laboratory - Planning	-	-	-	-	300,000
Rhode Island Capital Plan Fund	-	-	-	-	300,000
Washington County Government Center	-	-	-	-	885,000
Rhode Island Capital Plan Fund	-	-	-	-	885,000
Old State House - Providence	625,000	625,000	-	-	1,975,000
Rhode Island Capital Plan Fund	625,000	625,000	-	-	1,975,000
Board of Elections Building	-	-	-	-	550,000
Rhode Island Capital Plan Fund	-	-	-	-	550,000
Comprehensive Land Use Planning	-	-	-	-	2,500,000
GO Issued Proceeds 1989 Ch. 552	-	-	-	-	2,500,000
Environmental Compliance	750,000	750,000	750,000	-	5,250,000
Rhode Island Capital Plan Fund	750,000	750,000	750,000	-	5,250,000
Asset Inventory	-	-	-	-	600,000
Rhode Island Capital Plan Fund	-	-	-	-	600,000
Fox Point Hurricane Barrier	50,000	50,000	50,000	-	900,000
Rhode Island Capital Plan Fund	50,000	50,000	50,000	-	900,000
Fuel Depots	1,150,000	-	-	-	6,100,878
Internal Service Funds	150,000	-	-	-	672,000
Federal Highway Administration (CMAQ)	1,000,000	-	-	-	5,051,970
Private Funding	-	-	-	-	376,908
Affordable Housing Initiative	5,000,000	-	-	-	25,000,000
Third-Party Financing	-	-	-	-	10,000,000
General Revenue	5,000,000	-	-	-	15,000,000
Travelers' Aid Relocation	-	-	-	-	2,500,000
Third-Party Financing	-	-	-	-	2,500,000
Department of Administration Totals	13,210,000	9,802,000	9,030,000	3,000,000	103,444,779
<u>Legislature</u>					
Legislative Office Building	4,000,000	3,000,000	-	-	15,000,000
Rhode Island Capital Plan Fund	4,000,000	3,000,000	-	-	15,000,000
Legislature Totals	4,000,000	3,000,000	-	-	15,000,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Economic Development Corporation</u>				
Quonset Point/Davisville	19,072,630	3,382,370	-	-
GO Issued Proceeds - 1979 Ch 157	-	455,000	-	-
GO Issued Proceeds - 1996 Ch 100	19,072,630	2,927,370	-	-
GO New Referenda	-	-	-	-
Ladd Center - Infrastructure Improvements	1,926,284	873,716	-	-
Rhode Island Capital Plan Fund	1,926,284	873,716	-	-
Economic Development Corporation Totals	20,998,914	4,256,086	-	-
<u>Public Utilities Commission</u>				
PUC Facility Asset Protection/Renovations	-	300,000	300,000	300,000
Restricted Receipt Funds	-	300,000	300,000	300,000
Public Utilities Commission Totals	-	300,000	300,000	300,000
General Government Totals	52,758,113	16,610,885	17,155,758	15,433,023
<u>Children, Youth and Families</u>				
R.I. Training School - New Facility	224,520	1,200,000	14,554,789	32,011,471
Certificates of Participation	-	1,200,000	14,554,789	30,118,971
Federal Funds	95,000	-	-	-
Rhode Island Capital Plan Fund	129,520	-	-	-
Interest Earnings	-	-	-	-
Restricted Receipt Funds	-	-	-	1,892,500
RITS Building 7 - Bathroom Renovations	1,092	98,908	-	-
Rhode Island Capital Plan Fund	1,092	98,908	-	-
<u>Private Providers</u>				
Providence County Mental Health	141,862	173,461	-	-
GO Issued Proceeds 1986 Ch 419	141,862	173,461	-	-
Spurwink/RI	85,061	113,939	-	-
Rhode Island Capital Plan Fund	85,061	113,939	-	-
NAFI Center	-	-	100,000	200,000
Rhode Island Capital Plan Fund	-	-	100,000	200,000
Groden Center - Mt. Hope	56,236	46,913	79,660	-
GO Issued Proceeds 1982 Ch 344	53,205	46,913	-	-
Rhode Island Capital Plan Fund	3,031	-	79,660	-
Children, Youth and Families Totals	508,771	1,633,221	14,734,449	32,211,471

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Economic Development Corporation</u>					
Quonset Point/Davisville	-	-	11,000,000	11,000,000	44,455,000
GO Issued Proceeds - 1979 Ch 157	-	-	-	-	455,000
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	22,000,000
GO New Referenda	-	-	11,000,000	11,000,000	22,000,000
Ladd Center - Infrastructure Improvements	-	-	-	-	2,800,000
Rhode Island Capital Plan Fund	-	-	-	-	2,800,000
Economic Development Corporation Totals	-	-	11,000,000	11,000,000	47,255,000
<u>Public Utilities Commission</u>					
PUC Facility Asset Protection/Renovations	300,000	300,000	-	-	1,500,000
Restricted Receipt Funds	300,000	300,000	-	-	1,500,000
Public Utilities Commission Totals	300,000	300,000	-	-	1,500,000
General Government Totals	17,210,000	12,802,000	20,030,000	14,000,000	165,699,779
<u>Children, Youth and Families</u>					
R.I. Training School - New Facility	11,926,240	-	-	-	59,917,020
Certificates of Participation	11,926,240	-	-	-	57,800,000
Federal Funds	-	-	-	-	95,000
Rhode Island Capital Plan Fund	-	-	-	-	129,520
Interest Earnings	-	-	-	-	-
Restricted Receipt Funds	-	-	-	-	1,892,500
RITS Building 7 - Bathroom Renovations	-	-	-	-	100,000
Rhode Island Capital Plan Fund	-	-	-	-	100,000
<u>Private Providers</u>					
Providence County Mental Health	-	-	-	-	315,323
GO Issued Proceeds 1986 Ch 419	-	-	-	-	315,323
Spurwink/RI	-	-	-	-	199,000
Rhode Island Capital Plan Fund	-	-	-	-	199,000
NAFI Center	-	-	-	-	300,000
Rhode Island Capital Plan Fund	-	-	-	-	300,000
Groden Center - Mt. Hope	-	-	-	-	182,809
GO Issued Proceeds 1982 Ch 344	-	-	-	-	100,118
Rhode Island Capital Plan Fund	-	-	-	-	82,691
Children, Youth and Families Totals	11,926,240	-	-	-	61,014,152

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Human Services</u>				
Veterans' Cemetery - Master Plan	-	512,000	1,500,000	-
Restricted Receipts - Veterans' Home	-	-	1,000,000	-
Federal Funds	-	512,000	500,000	-
Veterans' Home - Heating System Replacement	1,774,681	879,406	-	-
Restricted Receipts - Veterans' Home	1,774,681	879,406	-	-
Veterans' Cemetery - Committal Shelter	908,750	409,420	-	-
Restricted Receipts - Veterans' Home	70,346	9,654	-	-
Federal Funds	838,404	399,766	-	-
Veterans' Home - N. Building Roof Replacement	14,727	1,266,823	-	-
Rhode Island Capital Plan Fund	-	140,000	-	-
Restricted Receipts - Veterans' Home	14,727	1,126,823	-	-
Veterans' Home - Renovations to N-3 Building	5,799	116,812	-	-
Restricted Receipts - Veterans' Home	5,799	116,812	-	-
Veterans' Home - Resurface Roadways	-	200,000	-	-
Restricted Receipts - Veterans' Home	-	200,000	-	-
Veterans' Home - Renovate Pump House	-	15,000	195,000	-
Restricted Receipts - Veterans' Home	-	15,000	195,000	-
Veterans' Home - Commandant Structure	-	-	100,000	-
Restricted Receipts - Veterans' Home	-	-	100,000	-
Veterans' Home - Activity Area	-	-	20,000	221,000
Restricted Receipts - Veterans' Home	-	-	20,000	221,000
Veterans' Home - Window Replacement	-	-	-	20,000
Restricted Receipts - Veterans' Home	-	-	-	20,000
Veterans' Home - Entrance Improvements	-	-	20,000	250,000
Restricted Receipts - Veterans' Home	-	-	20,000	250,000
Veterans' Home - Bathroom Improvements	-	-	-	-
Restricted Receipts - Veterans' Home	-	-	-	-
Veterans' Home - Garage Roof	-	-	-	-
Restricted Receipts - Veterans' Home	-	-	-	-
Veterans' Home - Nursing Unit	-	-	-	-
Restricted Receipts - Veterans' Home	-	-	-	-
Forand Building Projects	16,277	153,723	800,000	-
Rhode Island Capital Plan Fund	16,277	153,723	800,000	-
Forand Building Paving and Exterior Stairways	-	-	-	385,000
Rhode Island Capital Plan Fund	-	-	-	385,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Human Services</u>					
Veterans' Cemetery - Master Plan	-	-	-	-	2,012,000
Restricted Receipts - Veterans' Home	-	-	-	-	1,000,000
Federal Funds	-	-	-	-	1,012,000
Veterans' Home - Heating System Replacement	-	-	-	-	2,654,087
Restricted Receipts - Veterans' Home	-	-	-	-	2,654,087
Veterans' Cemetery - Committal Shelter	-	-	-	-	1,318,170
Restricted Receipts - Veterans' Home	-	-	-	-	80,000
Federal Funds	-	-	-	-	1,238,170
Veterans' Home - N. Building Roof Replacement	-	-	-	-	1,281,550
Rhode Island Capital Plan Fund	-	-	-	-	140,000
Restricted Receipts - Veterans' Home	-	-	-	-	1,141,550
Veterans' Home - Renovations to N-3 Building	-	-	-	-	122,611
Restricted Receipts - Veterans' Home	-	-	-	-	122,611
Veterans' Home - Resurface Roadways	-	-	-	-	200,000
Restricted Receipts - Veterans' Home	-	-	-	-	200,000
Veterans' Home - Renovate Pump House	-	-	-	-	210,000
Restricted Receipts - Veterans' Home	-	-	-	-	210,000
Veterans' Home - Commandant Structure	-	-	-	-	100,000
Restricted Receipts - Veterans' Home	-	-	-	-	100,000
Veterans' Home - Activity Area	-	-	-	-	241,000
Restricted Receipts - Veterans' Home	-	-	-	-	241,000
Veterans' Home - Window Replacement	242,000	-	-	-	262,000
Restricted Receipts - Veterans' Home	242,000	-	-	-	262,000
Veterans' Home - Entrance Improvements	-	-	-	-	270,000
Restricted Receipts - Veterans' Home	-	-	-	-	270,000
Veterans' Home - Bathroom Improvements	20,000	600,000	-	-	620,000
Restricted Receipts - Veterans' Home	20,000	600,000	-	-	620,000
Veterans' Home - Garage Roof	-	50,000	-	-	50,000
Restricted Receipts - Veterans' Home	-	50,000	-	-	50,000
Veterans' Home - Nursing Unit	-	-	200,000	-	200,000
Restricted Receipts - Veterans' Home	-	-	200,000	-	200,000
Forand Building Projects	-	-	-	-	970,000
Rhode Island Capital Plan Fund	-	-	-	-	970,000
Forand Building Paving and Exterior Stairways	-	-	-	-	385,000
Rhode Island Capital Plan Fund	-	-	-	-	385,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Refurbishment of Blind Vending Facilities	-	-	-	140,000
Rhode Island Capital Plan Fund	-	-	-	140,000
Department of Human Services Totals	2,720,234	3,553,184	2,635,000	1,016,000
<u>Mental Health, Retardation & Hospitals</u>				
R.I. Medical Center - Utility Upgrades	1,115,658	269,862	500,000	500,000
Rhode Island Capital Plan Fund	1,115,658	269,862	500,000	500,000
R.I. Medical Center - Rehabilitation	5,259,130	757,926	400,000	400,000
GO Issued Proceeds 1985 Ch 367	1,383,370	58,293	-	-
GO Issued Proceeds 1988 Ch 449	2,784,610	374	-	-
Rhode Island Capital Plan Fund	1,091,150	699,259	400,000	400,000
Central Power Plant Rehabilitation	8,823,692	20,129,854	1,877,546	400,000
Insurance Proceeds	-	246,328	-	-
Rhode Island Capital Plan Fund	551,359	-	500,000	400,000
Certificates of Participation	8,272,333	19,883,526	1,377,546	-
Environmental Mandates	-	-	200,000	380,000
Rhode Island Capital Plan Fund	-	-	200,000	380,000
Pastore Center Sewer Improvements	-	-	500,000	-
Rhode Island Capital Plan Fund	-	-	500,000	-
Utility Systems - Water Storage Tanks & Pipes	248,523	145,255	450,000	250,000
Rhode Island Capital Plan Fund	248,523	145,255	450,000	250,000
Zambarano Building & Utilities	107,054	97,300	300,000	300,000
Rhode Island Capital Plan Fund	107,054	97,300	300,000	300,000
Eleanor Slater Hospital Warehouse	71,304	-	300,000	300,000
Rhode Island Capital Plan Fund	71,304	-	300,000	300,000
Pastore Center Sewer Improvements	-	-	500,000	-
Rhode Island Capital Plan Fund	-	-	500,000	-
Mental Health Residences	3,606,519	1,223,340	1,100,000	1,100,000
GO Issued Proceeds 1990 Ch 434	3,606,519	1,223,340	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	1,100,000	1,100,000
Rhode Island Capital Plan Fund	-	-	-	-
Mental Health Residences - Furniture	239,621	200,000	200,000	200,000
GO Issued Proceeds 1990 Ch 434	239,621	200,000	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	200,000	200,000
MH Community Facilities - Capital Repairs	1,946,695	208,152	200,000	200,000
GO Issued Proceeds 1986 Ch 419	894,098	1,156	-	-
GO Issued Proceeds 1988 Ch 449	-	5,808	-	-
GO Issued Proceeds 1990 Ch 434	1,052,597	201,188	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	200,000	200,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Refurbishment of Blind Vending Facilities	140,000	140,000	140,000	-	560,000
Rhode Island Capital Plan Fund	140,000	140,000	140,000	-	560,000
Department of Human Services Totals	402,000	790,000	340,000	-	11,456,418
<u>Mental Health, Retardation & Hospitals</u>					
R.I. Medical Center - Utility Upgrades	500,000	500,000	500,000	-	3,885,520
Rhode Island Capital Plan Fund	500,000	500,000	500,000	-	3,885,520
R.I. Medical Center - Rehabilitation	400,000	400,000	400,000	-	8,017,056
GO Issued Proceeds 1985 Ch 367	-	-	-	-	1,441,663
GO Issued Proceeds 1988 Ch 449	-	-	-	-	2,784,984
Rhode Island Capital Plan Fund	400,000	400,000	400,000	-	3,790,409
Central Power Plant Rehabilitation	-	-	-	-	31,231,092
Insurance Proceeds	-	-	-	-	246,328
Rhode Island Capital Plan Fund	-	-	-	-	1,451,359
Certificates of Participation	-	-	-	-	29,533,405
Environmental Mandates	350,000	-	-	-	930,000
Rhode Island Capital Plan Fund	350,000	-	-	-	930,000
Pastore Center Sewer Improvements	-	-	-	-	500,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000
Utility Systems - Water Storage Tanks & Pipes	250,000	250,000	250,000	-	1,843,778
Rhode Island Capital Plan Fund	250,000	250,000	250,000	-	1,843,778
Zambarano Building & Utilities	300,000	300,000	-	-	1,404,354
Rhode Island Capital Plan Fund	300,000	300,000	-	-	1,404,354
Eleanor Slater Hospital Warehouse	-	-	-	-	671,304
Rhode Island Capital Plan Fund	-	-	-	-	671,304
Pastore Center Sewer Improvements	-	-	-	-	500,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000
Mental Health Residences	1,100,000	1,100,000	1,100,000	-	10,329,859
GO Issued Proceeds 1990 Ch 434	-	-	-	-	4,829,859
GO Unissued Proceeds 1990 Ch 434	1,100,000	590,000	-	-	3,890,000
Rhode Island Capital Plan Fund	-	510,000	1,100,000	-	1,610,000
Mental Health Residences - Furniture	200,000	200,000	200,000	-	1,439,621
GO Issued Proceeds 1990 Ch 434	-	-	-	-	439,621
GO Unissued Proceeds 1990 Ch 434	200,000	200,000	200,000	-	1,000,000
MH Community Facilities - Capital Repairs	200,000	200,000	200,000	-	3,154,847
GO Issued Proceeds 1986 Ch 419	-	-	-	-	895,254
GO Issued Proceeds 1988 Ch 449	-	-	-	-	5,808
GO Issued Proceeds 1990 Ch 434	-	-	-	-	1,253,785
GO Unissued Proceeds 1990 Ch 434	200,000	200,000	200,000	-	1,000,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
MR/DD Residential Development	3,971,592	2,815,966	1,750,000	1,750,000
Rhode Island Capital Plan Fund	-	-	-	1,750,000
GO Issued Proceeds 1990 Ch 434	3,971,592	2,815,966	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	1,750,000	-
MR Community Facilities - Capital Repairs	4,804,102	1,920,748	1,600,000	1,600,000
Rhode Island Capital Plan Fund	50,000	-	720,000	1,600,000
GO Issued Proceeds 1990 Ch 434	4,754,102	1,920,748	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	880,000	-
Management Information	-	399,708	-	-
GO Issued Proceeds 1990 Ch 434	-	399,708	-	-
Regional Centers - Repair/Rehabilitation	9,839,921	1,049,701	500,000	500,000
Rhode Island Capital Plan Fund	-	-	-	-
GO Issued Proceeds 1986 Ch 419	656,204	78,405	-	-
GO Issued Proceeds 1988 Ch 449	8,349,085	928,818	-	-
GO Issued Proceeds 1989 Ch 552	834,632	42,478	-	-
GO Unissued Proceeds 1989 Ch 552	-	-	500,000	500,000
King House	594,493	206,000	-	-
GO Issued Proceeds 1990 Ch 434	594,493	206,000	-	-
Eastman House	17,427	115,000	1,710,300	-
GO Issued Proceeds 1988 Ch 628	17,427	115,000	1,710,300	-
Asset Protection/ADA Renovations	1,295,865	201,611	100,000	100,000
GO Issued Proceeds 1988 Ch 628	976,789	101,611	-	-
GO Issued Proceeds 1988 Ch 449	-	-	-	-
Rhode Island Capital Plan Fund	319,076	100,000	100,000	100,000
Mental Health, Retardation & Hospitals Totals	41,941,596	29,740,423	12,187,846	7,980,000
<u>Governor's Commission on Disabilities</u>				
Handicapped Accessibility - Auxiliary Aids	819,280	115,752	-	-
GO Issued Proceeds 1990 Ch 434	819,280	115,752	-	-
Handicapped Accessibility - Facility Renovations	3,263,772	1,639,417	604,814	525,000
GO Issued Proceeds 1989 Ch 552	1,163,483	836,517	-	-
GO Issued Proceeds 1990 Ch 434	2,100,289	552,900	104,814	25,000
Rhode Island Capital Plan Fund	-	250,000	500,000	500,000
Governor's Commission on Disabilities Totals	4,083,052	1,755,169	604,814	525,000
Human Services Totals	49,253,653	36,681,997	30,162,109	41,732,471

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
MR/DD Residential Development	1,750,000	1,750,000	1,750,000	-	15,537,558
Rhode Island Capital Plan Fund	1,750,000	1,750,000	1,750,000	-	7,000,000
GO Issued Proceeds 1990 Ch 434	-	-	-	-	6,787,558
GO Unissued Proceeds 1990 Ch 434	-	-	-	-	1,750,000
MR Community Facilities - Capital Repairs	1,600,000	1,600,000	1,600,000	-	14,724,850
Rhode Island Capital Plan Fund	1,600,000	1,600,000	1,600,000	-	7,170,000
GO Issued Proceeds 1990 Ch 434	-	-	-	-	6,674,850
GO Unissued Proceeds 1990 Ch 434	-	-	-	-	880,000
Management Information	-	-	-	-	399,708
GO Issued Proceeds 1990 Ch 434	-	-	-	-	399,708
Regional Centers - Repair/Rehabilitation	500,000	500,000	500,000	-	13,389,622
Rhode Island Capital Plan Fund	300,000	500,000	500,000	-	1,300,000
GO Issued Proceeds 1986 Ch 419	-	-	-	-	734,609
GO Issued Proceeds 1988 Ch 449	-	-	-	-	9,277,903
GO Issued Proceeds 1989 Ch 552	-	-	-	-	877,110
GO Unissued Proceeds 1989 Ch 552	200,000	-	-	-	1,200,000
King House	-	-	-	-	800,493
GO Issued Proceeds 1990 Ch 434	-	-	-	-	800,493
Eastman House	-	-	-	-	1,842,727
GO Issued Proceeds 1988 Ch 628	-	-	-	-	1,842,727
Asset Protection/ADA Renovations	100,000	100,000	100,000	-	1,997,476
GO Issued Proceeds 1988 Ch 628	-	-	-	-	1,078,400
GO Issued Proceeds 1988 Ch 449	-	-	-	-	-
Rhode Island Capital Plan Fund	100,000	100,000	100,000	-	919,076
Mental Health, Retardation & Hospitals Totals	7,250,000	6,900,000	6,600,000	-	112,599,865
<u>Governor's Commission on Disabilities</u>					
Handicapped Accessibility - Auxiliary Aids	-	-	-	-	935,032
GO Issued Proceeds 1990 Ch 434	-	-	-	-	935,032
Handicapped Accessibility - Facility Renovations	1,500,000	1,500,000	1,500,000	4,000,000	14,533,003
GO Issued Proceeds 1989 Ch 552	-	-	-	-	2,000,000
GO Issued Proceeds 1990 Ch 434	-	-	-	-	2,783,003
Rhode Island Capital Plan Fund	1,500,000	1,500,000	1,500,000	4,000,000	9,750,000
Governor's Commission on Disabilities Totals	1,500,000	1,500,000	1,500,000	4,000,000	15,468,035
Human Services Totals	21,078,240	9,190,000	8,440,000	4,000,000	200,538,470

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Elementary and Secondary Education</u>				
Davies Career & Technical School	17,000	228,000	225,000	-
GO Issued Proceeds 1990 Ch 434	17,000	3,000	-	-
Rhode Island Capital Plan Fund	-	225,000	225,000	-
Metropolitan Career & Technical School	14,807,118	12,842,882	-	-
GO Issued Proceeds 1994 Ch 70	14,807,118	12,842,882	-	-
School for the Deaf - Physical Education Facility	29,478	283,991	200,000	-
Rhode Island Capital Plan Fund	29,478	283,991	200,000	-
Charlho Vocational - Well Water Remediation	-	-	60,000	-
Rhode Island Capital Plan Fund	-	-	60,000	-
East Providence Career & Technical School	56,495	54,800	300,580	-
Rhode Island Capital Plan Fund	56,495	54,800	300,580	-
State-Owned Schools - Fire Alarm Systems	52,442	93,480	100,000	100,000
Rhode Island Capital Plan Fund	52,442	93,480	100,000	100,000
State-Owned Schools - Security Systems	-	-	50,000	60,000
Rhode Island Capital Plan Fund	-	-	50,000	60,000
Hanley Career & Technical School	265,761	64,239	-	-
Rhode Island Capital Plan Fund	265,761	64,239	-	-
Elementary & Secondary Education Totals	15,228,294	13,567,392	935,580	160,000
<u>Higher Education</u>				
Telecommunications Initiative	33,742,200	6,857,800	-	-
GO Issued Proceeds - 1996 Ch 100	33,742,200	6,857,800	-	-
Asset Protection - URI	6,026,906	4,786,668	5,000,000	5,000,000
Rhode Island Capital Plan Fund	6,026,906	4,786,668	5,000,000	5,000,000
Asset Protection - RIC	4,103,571	1,777,689	2,420,000	1,650,000
Rhode Island Capital Plan Fund	4,103,571	1,777,689	2,420,000	1,650,000
Asset Protection - CCRI	2,448,017	1,433,874	1,350,000	1,050,000
Rhode Island Capital Plan Fund	2,448,017	1,433,874	1,350,000	1,050,000
Administrative Software Systems	-	11,674,000	16,595,000	6,980,000
RIHEBC Revenue Bonds	-	11,674,000	16,595,000	6,980,000
CCRI - Knight Campus Megastructure Addition	15,340,802	225,524	9,711	-
GO Issued Proceeds - 1996 Ch 100	14,309,600	225,524	-	-
GO Unissued Proceeds - 1996 Ch 100	-	-	9,711	-
Revenue Bonds	651,202	-	-	-
Rhode Island Capital Plan Fund	380,000	-	-	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Elementary and Secondary Education</u>					
Davies Career & Technical School	-	-	-	-	470,000
GO Issued Proceeds 1990 Ch 434	-	-	-	-	20,000
Rhode Island Capital Plan Fund	-	-	-	-	450,000
Metropolitan Career & Technical School	-	-	-	-	27,650,000
GO Issued Proceeds 1994 Ch 70	-	-	-	-	27,650,000
School for the Deaf - Physical Education Facility	-	-	-	-	513,469
Rhode Island Capital Plan Fund	-	-	-	-	513,469
Chariho Vocational - Well Water Remediation	-	-	-	-	60,000
Rhode Island Capital Plan Fund	-	-	-	-	60,000
East Providence Career & Technical School	-	-	-	-	411,875
Rhode Island Capital Plan Fund	-	-	-	-	411,875
State-Owned Schools - Fire Alarm Systems	-	-	-	-	345,922
Rhode Island Capital Plan Fund	-	-	-	-	345,922
State-Owned Schools - Security Systems	-	-	-	-	110,000
Rhode Island Capital Plan Fund	-	-	-	-	110,000
Hanley Career & Technical School	-	-	-	-	330,000
Rhode Island Capital Plan Fund	-	-	-	-	330,000
Elementary & Secondary Education Totals	-	-	-	-	29,891,266
<u>Higher Education</u>					
Telecommunications Initiative	-	-	-	-	40,600,000
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	40,600,000
Asset Protection - URI	4,000,000	3,800,000	3,800,000	-	32,413,574
Rhode Island Capital Plan Fund	4,000,000	3,800,000	3,800,000	-	32,413,574
Asset Protection - RIC	1,650,000	1,650,000	1,650,000	-	14,901,260
Rhode Island Capital Plan Fund	1,650,000	1,650,000	1,650,000	-	14,901,260
Asset Protection - CCRI	1,050,000	1,050,000	1,050,000	-	9,431,891
Rhode Island Capital Plan Fund	1,050,000	1,050,000	1,050,000	-	9,431,891
Administrative Software Systems	-	-	-	-	35,249,000
RIHEBC Revenue Bonds	-	-	-	-	35,249,000
CCRI - Knight Campus Megastructure Addition	-	-	-	-	15,576,037
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	14,535,124
GO Unissued Proceeds - 1996 Ch 100	-	-	-	-	9,711
Revenue Bonds	-	-	-	-	651,202
Rhode Island Capital Plan Fund	-	-	-	-	380,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
CCRI Knight Campus Renewal	-	-	-	-
GO New Referenda	-	-	-	-
CCRI - Providence Campus Addition	291,214	3,578,029	2,780,757	-
GO Issued Proceeds - 1998 Ch 31	291,214	3,578,029	2,780,757	-
CCRI - Newport Campus Construction	604,173	5,737,287	4,593,540	-
GO Issued Proceeds - 2000 Ch 55	604,173	5,737,287	393,336	-
GO Unissued Proceeds - 2000 Ch 55	-	-	4,200,204	-
CCRI Flanagan Campus	-	-	-	-
GO New Referenda	-	-	-	-
RIC - Performing Arts Building	9,465,131	25,288	9,581	-
GO Issued Proceeds - 1996 Ch 100	9,465,131	25,288	-	-
GO Unissued Proceeds - 1996 Ch 100	-	-	9,581	-
RIC - Renovation of DCYF Facilities	4,085,515	264,485	-	-
Rhode Island Capital Plan Fund	-	50,000	-	-
GO Issued Proceeds - 1998 Ch 31	4,085,515	214,485	-	-
RIC - Student Union Addition and Renovation	628,659	2,370,217	2,441,423	-
RIHEBC Revenue Bonds	150,000	1,670,360	1,569,640	-
Interest Earnings	113,242	-	-	-
Other Funds	-	287,000	-	-
University/College Funds	365,417	412,857	871,783	-
RIC - Residence Hall Repairs	766,116	2,073,186	1,195,698	-
GO Issued Proceeds - 2000 Ch 55	746,116	2,073,186	624,374	-
GO Unissued Proceeds - 2000 Ch 55	-	-	571,324	-
University/College Funds	20,000	-	-	-
RIC - Alger Hall Renovations	-	1,845,442	1,164,558	-
Rhode Island Capital Plan Fund	-	1,845,442	1,164,558	-
RIC - DCYF Facilities/Master Plan Improvements	122,634	2,617,220	1,795,046	-
Rhode Island Capital Plan Fund	122,634	2,617,220	1,795,046	-
RIC Roads/Parking/Entrances	-	-	-	-
GO New Referenda	-	-	-	-
RIC DCYF Facilities - Phase III	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
RIC New Residence Hall	-	300,000	3,674,600	3,514,000
RIHEBC Revenue Bonds	-	250,000	3,674,600	3,514,000
University/College Funds	-	50,000	-	-
URI Chafee Hall PCB Abatement	3,260,047	539,953	-	-
Rhode Island Capital Plan Fund	3,260,047	539,953	-	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
CCRI Knight Campus Renewal	-	-	270,000	6,265,000	6,535,000
GO New Referenda	-	-	270,000	6,265,000	6,535,000
CCRI - Providence Campus Addition	-	-	-	-	6,650,000
GO Issued Proceeds - 1998 Ch 31	-	-	-	-	6,650,000
CCRI - Newport Campus Construction	-	-	-	-	10,935,000
GO Issued Proceeds - 2000 Ch 55	-	-	-	-	6,734,796
GO Unissued Proceeds - 2000 Ch 55	-	-	-	-	4,200,204
CCRI Flanagan Campus	-	-	350,000	8,350,000	8,700,000
GO New Referenda	-	-	350,000	8,350,000	8,700,000
RIC - Performing Arts Building	-	-	-	-	9,500,000
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	9,490,419
GO Unissued Proceeds - 1996 Ch 100	-	-	-	-	9,581
RIC - Renovation of DCYF Facilities	-	-	-	-	4,350,000
Rhode Island Capital Plan Fund	-	-	-	-	50,000
GO Issued Proceeds - 1998 Ch 31	-	-	-	-	4,300,000
RIC - Student Union Addition and Renovation	-	-	-	-	5,440,299
RIHEBC Revenue Bonds	-	-	-	-	3,390,000
Interest Earnings	-	-	-	-	113,242
Other Funds	-	-	-	-	287,000
University/College Funds	-	-	-	-	1,650,057
RIC - Residence Hall Repairs	-	-	-	-	4,035,000
GO Issued Proceeds - 2000 Ch 55	-	-	-	-	3,443,676
GO Unissued Proceeds - 2000 Ch 55	-	-	-	-	571,324
University/College Funds	-	-	-	-	20,000
RIC - Alger Hall Renovations	-	-	-	-	3,010,000
Rhode Island Capital Plan Fund	-	-	-	-	3,010,000
RIC - DCYF Facilities/Master Plan Improvements	-	-	-	-	4,534,900
Rhode Island Capital Plan Fund	-	-	-	-	4,534,900
RIC Roads/Parking/Entrances	-	-	1,665,950	1,819,050	3,485,000
GO New Referenda	-	-	1,665,950	1,819,050	3,485,000
RIC DCYF Facilities - Phase III	2,400,000	2,100,000	-	-	4,500,000
Rhode Island Capital Plan Fund	2,400,000	2,100,000	-	-	4,500,000
RIC New Residence Hall	-	-	-	-	7,488,600
RIHEBC Revenue Bonds	-	-	-	-	7,438,600
University/College Funds	-	-	-	-	50,000
URI Chafee Hall PCB Abatement	-	-	-	-	3,800,000
Rhode Island Capital Plan Fund	-	-	-	-	3,800,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
URI Coastal Institute - Kingston Campus	9,496,068	1,850,175	-	-
University/College Funds	50,000	100,000	-	-
Private Funding	-	300,000	-	-
Department of Agriculture - Federal Funds	9,446,068	1,450,175	-	-
URI - Green Hall Rehabilitation	3,412,613	2,836,084	3,173	-
GO Issued Proceeds - 1996 Ch 100	2,542,436	222,633	-	-
GO Unissued Proceeds - 1996 Ch 100	-	-	3,173	-
Rhode Island Capital Plan Fund	-	2,200,000	-	-
University and College Funds	-	194,000	-	-
Other Funds	89,628	-	-	-
Private Funding	780,549	219,451	-	-
URI - Ballentine Hall Rehabilitation	4,124,175	6,172,464	3,361	-
GO Issued Proceeds - 1996 Ch 100	993,795	2,349,766	-	-
GO Unissued Proceeds - 1996 Ch 100	-	-	3,361	-
Private Funding	2,630,380	2,969,620	-	-
Rhode Island Capital Plan Fund	500,000	853,078	-	-
URI - Ranger Hall Rehabilitation	691,293	664,533	3,284,174	-
GO Issued Proceeds - 1996 Ch 100	691,293	664,533	-	-
GO Unissued Proceeds - 1996 Ch 100	-	-	2,284,174	-
Private Funding	-	-	1,000,000	-
URI Modular Building II	20,000	4,648,571	771,429	-
RIHEBC Revenue Bonds	20,000	4,648,571	771,429	-
URI West Kingstown Superfund Site Remediation	-	191,000	-	-
University/College Funds	-	191,000	-	-
URI International Engineering Program Expansion	-	900,000	-	-
Other Funds	-	900,000	-	-
URI NBC Ocean Tech Center Addition	-	704,727	1,409,454	-
RIHEBC Revenue Bonds	-	704,727	1,409,454	-
URI College of Pharmacy New Building - Planning	20,000	170,000	10,000	-
Private Funding	20,000	170,000	10,000	-
URI - Repaving Project (Phase I)	819,617	180,383	-	-
RIHEBC Revenue Bonds	819,617	180,383	-	-
URI - Repaving Project (Phase II)	-	-	1,355,000	645,000
RIHEBC Revenue Bonds	-	-	1,355,000	645,000
URI Fraternity Acquisition	-	367,931	1,921,569	-
RIHEBC Revenue Bonds	-	367,931	1,921,569	-
URI Privatized Housing - Planning	80,000	9,700	-	-
Restricted Receipt Funds	80,000	9,700	-	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
URI Coastal Institute - Kingston Campus	-	-	-	-	11,346,243
University/College Funds	-	-	-	-	150,000
Private Funding	-	-	-	-	300,000
Department of Agriculture - Federal Funds	-	-	-	-	10,896,243
URI - Green Hall Rehabilitation	-	-	-	-	6,251,870
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	2,765,069
GO Unissued Proceeds - 1996 Ch 100	-	-	-	-	3,173
Rhode Island Capital Plan Fund	-	-	-	-	2,200,000
University and College Funds	-	-	-	-	194,000
Other Funds	-	-	-	-	89,628
Private Funding	-	-	-	-	1,000,000
URI - Ballentine Hall Rehabilitation	-	-	-	-	10,300,000
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	3,343,561
GO Unissued Proceeds - 1996 Ch 100	-	-	-	-	3,361
Private Funding	-	-	-	-	5,600,000
Rhode Island Capital Plan Fund	-	-	-	-	1,353,078
URI - Ranger Hall Rehabilitation	-	-	-	-	4,640,000
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	1,355,826
GO Unissued Proceeds - 1996 Ch 100	-	-	-	-	2,284,174
Private Funding	-	-	-	-	1,000,000
URI Modular Building II	-	-	-	-	5,440,000
RIHEBC Revenue Bonds	-	-	-	-	5,440,000
URI West Kingstown Superfund Site Remediation	-	-	-	-	191,000
University/College Funds	-	-	-	-	191,000
URI International Engineering Program Expansion	-	-	-	-	900,000
Other Funds	-	-	-	-	900,000
URI NBC Ocean Tech Center Addition	-	-	-	-	2,114,181
RIHEBC Revenue Bonds	-	-	-	-	2,114,181
URI College of Pharmacy New Building - Planning	-	-	-	-	200,000
Private Funding	-	-	-	-	200,000
URI - Repaving Project (Phase I)	-	-	-	-	1,000,000
RIHEBC Revenue Bonds	-	-	-	-	1,000,000
URI - Repaving Project (Phase II)	-	-	-	-	2,000,000
RIHEBC Revenue Bonds	-	-	-	-	2,000,000
URI Fraternity Acquisition	-	-	-	-	2,289,500
RIHEBC Revenue Bonds	-	-	-	-	2,289,500
URI Privatized Housing - Planning	-	-	-	-	89,700
Restricted Receipt Funds	-	-	-	-	89,700

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
URI - Sigma Nu House Purchase/Demolition	261,279	45,050	-	-
RIHEBC Revenue Bonds	261,279	45,050	-	-
URI - Alumni/Advancement Building	286,152	3,188,919	523,320	-
RIHEBC Revenue Bonds	-	3,127,601	364,790	-
Private Funding	286,152	61,318	133,530	-
Restricted Receipt Funding	-	-	25,000	-
URI - Whispering Pines Lodge	-	-	1,193,284	2,655,726
RIHEBC Revenue Bonds	-	-	1,193,284	2,655,726
URI - Independence Hall Rehabilitation	2,115	520,689	3,633,921	903,275
GO Issued Proceeds - 1998 Ch 31	2,115	520,689	373,921	-
GO Unissued Proceeds - 1998 Ch 31	-	-	3,260,000	903,275
URI - Lippitt Hall Rehabilitation	864	-	997,412	2,200,000
GO Issued Proceeds - 1998 Ch 31	864	-	497,412	-
GO Unissued Proceeds - 1998 Ch 31	-	-	500,000	2,200,000
URI Pell Library	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
URI Athletic Complex & Convocation Center	51,615,884	6,933,058	1,664,295	-
Private Funding	7,313,473	2,869,273	-	-
Concession Fees	500,000	-	-	-
RIHEBC Revenue Bonds	24,493,769	520,697	-	-
Capitalized Interest	2,121,976	1,664,295	1,664,295	-
Interest Earnings on Bond Funds	1,053,407	12,052	-	-
Rhode Island Capital Plan Fund	16,133,259	1,866,741	-	-
URI Athletic Complex Ice Facility	6,808,271	5,251,189	391,659	-
RIHEBC Revenue Bonds	2,032,349	3,885,353	-	-
Capitalized Interest	499,365	391,659	391,659	-
Rhode Island Capital Plan Fund	4,028,659	971,341	-	-
Interest Earnings on Bond Funds	247,898	2,836	-	-
URI Convocation Center Parking Facility	144,000	6,272,665	1,433,335	-
University/College Funds	-	400,000	400,000	-
RIHEBC Revenue Bonds	144,000	5,872,665	1,033,335	-
Federal Highway Administration	-	[500,000]	[500,000]	-
URI Residence Halls Modernization/Renovations	28,010,960	5,000,000	11,992,183	6,000,000
RIHEBC Revenue Bonds	19,067,933	-	-	-
Capitalized Interest	1,080,921	-	-	-
Rhode Island Capital Plan Fund	-	-	-	6,000,000
GO Issued Proceeds - 2000 Ch 55	5,007,817	5,000,000	4,448,711	-
GO Unissued Proceeds - 2000 Ch 55	-	-	7,543,472	-
Restricted Receipt Funding	2,761,884	-	-	-
University/College Funds	92,405	-	-	-
URI Utility Infrastructure Upgrade/Replacement	-	-	-	-
GO New Referenda	-	-	-	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
URI - Sigma Nu House Purchase/Demolition	-	-	-	-	306,329
RIHEBC Revenue Bonds	-	-	-	-	306,329
URI - Alumni/Advancement Building	-	-	-	-	3,998,391
RIHEBC Revenue Bonds	-	-	-	-	3,492,391
Private Funding	-	-	-	-	481,000
Restricted Receipt Funding	-	-	-	-	25,000
URI - Whispering Pines Lodge	-	-	-	-	3,849,010
RIHEBC Revenue Bonds	-	-	-	-	3,849,010
URI - Independence Hall Rehabilitation	-	-	-	-	5,060,000
GO Issued Proceeds - 1998 Ch 31	-	-	-	-	896,725
GO Unissued Proceeds - 1998 Ch 31	-	-	-	-	4,163,275
URI - Lippitt Hall Rehabilitation	1,781,724	-	-	-	4,980,000
GO Issued Proceeds - 1998 Ch 31	-	-	-	-	498,276
GO Unissued Proceeds - 1998 Ch 31	1,781,724	-	-	-	4,481,724
URI Pell Library	-	1,300,000	7,400,000	4,300,000	13,000,000
Rhode Island Capital Plan Fund	-	1,300,000	7,400,000	4,300,000	13,000,000
URI Athletic Complex & Convocation Center	-	-	-	-	60,213,237
Private Funding	-	-	-	-	10,182,746
Concession Fees	-	-	-	-	500,000
RIHEBC Revenue Bonds	-	-	-	-	25,014,466
Capitalized Interest	-	-	-	-	5,450,566
Interest Earnings on Bond Funds	-	-	-	-	1,065,459
Rhode Island Capital Plan Fund	-	-	-	-	18,000,000
URI Athletic Complex Ice Facility	-	-	-	-	12,451,119
RIHEBC Revenue Bonds	-	-	-	-	5,917,702
Capitalized Interest	-	-	-	-	1,282,683
Rhode Island Capital Plan Fund	-	-	-	-	5,000,000
Interest Earnings on Bond Funds	-	-	-	-	250,734
URI Convocation Center Parking Facility	-	-	-	-	7,850,000
University/College Funds	-	-	-	-	800,000
RIHEBC Revenue Bonds	-	-	-	-	7,050,000
Federal Highway Administration	-	-	-	-	[1,000,000]
URI Residence Halls Modernization/Renovations	8,000,000	6,000,000	-	-	65,003,143
RIHEBC Revenue Bonds	-	-	-	-	19,067,933
Capitalized Interest	-	-	-	-	1,080,921
Rhode Island Capital Plan Fund	8,000,000	6,000,000	-	-	20,000,000
GO Issued Proceeds - 2000 Ch 55	-	-	-	-	14,456,528
GO Unissued Proceeds - 2000 Ch 55	-	-	-	-	7,543,472
Restricted Receipt Funding	-	-	-	-	2,761,884
University/College Funds	-	-	-	-	92,405
URI Utility Infrastructure Upgrade/Replacement	-	-	5,400,000	17,900,000	23,300,000
GO New Referenda	-	-	5,400,000	17,900,000	23,300,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
URI Environmental Biotechnology Center	-	200,000	300,000	-
GO New Referenda	-	-	-	-
Rhode Island Capital Plan Fund	-	200,000	300,000	-
URI - 177 Plains Road	229,092	2,908	-	-
URI Foundation Funding	212,000	-	-	-
Rhode Island Capital Plan Fund	17,092	2,908	-	-
URI - Rodos Hellenic Center	661,888	3,564,535	-	-
Private Funding	661,888	3,564,535	-	-
URI - Sustainable Communities Facility	258,705	666,295	302,201	5,840,371
Federal Funds	258,705	666,295	302,201	5,840,371
Higher Education Totals	187,827,961	96,447,538	74,219,684	36,438,372
<u>Atomic Energy Commission</u>				
Cancer Treatment Center	80,000	40,000	130,000	1,000,000
Private Funding	80,000	40,000	130,000	1,000,000
Pharmaceutical Production Laboratory	140,000	150,000	-	-
Private Funding	140,000	150,000	-	-
R.I. Nuclear Science Center - Wall Painting	-	-	55,000	-
Rhode Island Capital Plan Fund	-	-	55,000	-
R.I. Nuclear Science Center - Landscape and Parking	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Atomic Energy Commission Totals	220,000	190,000	185,000	1,000,000
<u>Historical Preservation & Heritage Commission</u>				
Restoration of State-Owned Property	579,820	10,255	-	-
GO Issued Proceeds 1988 Ch. 449	579,820	10,255	-	-
Heritage Harbor Museum	-	-	5,000,000	-
GO Unissued Proceeds 2002 Ch. 65	-	-	5,000,000	-
Pawtuxet River Walkway	-	-	500,000	1,000,000
GO Unissued Proceeds 2002 Ch. 65	-	-	500,000	1,000,000
Museums and Cultural Art Centers	-	-	1,500,000	1,000,000
GO Unissued Proceeds 2002 Ch. 65	-	-	1,500,000	1,000,000
Historical Pres. & Heritage Comm. Totals	579,820	10,255	7,000,000	2,000,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
URI Environmental Biotechnology Center	1,750,000	1,750,000	25,000,000	20,600,000	49,600,000
GO New Referenda	1,750,000	1,750,000	25,000,000	20,600,000	49,100,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000
URI - 177 Plains Road	-	-	-	-	232,000
URI Foundation Funding	-	-	-	-	212,000
Rhode Island Capital Plan Fund	-	-	-	-	20,000
URI - Rodos Hellenic Center	-	-	-	-	4,226,423
Private Funding	-	-	-	-	4,226,423
URI - Sustainable Communities Facility	2,920,185	-	-	-	9,987,757
Federal Funds	2,920,185	-	-	-	9,987,757
Higher Education Totals	23,551,909	17,650,000 199,315	46,585,950	59,234,050	541,955,464
<u>Atomic Energy Commission</u>					
Cancer Treatment Center	250,000	-	-	-	1,500,000
Private Funding	250,000	-	-	-	1,500,000
Pharmaceutical Production Laboratory	-	-	-	-	290,000
Private Funding	-	-	-	-	290,000
R.I. Nuclear Science Center - Wall Painting	-	-	-	-	55,000
Rhode Island Capital Plan Fund	-	-	-	-	55,000
R.I. Nuclear Science Center - Landscape and Parking	30,000	-	-	-	30,000
Rhode Island Capital Plan Fund	30,000	-	-	-	30,000
Atomic Energy Commission Totals	280,000	-	-	-	1,875,000
<u>Historical Preservation & Heritage Commission</u>					
Restoration of State-Owned Property	-	-	-	-	590,075
GO Issued Proceeds 1988 Ch. 449	-	-	-	-	590,075
Heritage Harbor Museum	-	-	-	-	5,000,000
GO Unissued Proceeds 2002 Ch. 65	-	-	-	-	5,000,000
Pawtuxet River Walkway	1,000,000	500,000	-	-	3,000,000
GO Unissued Proceeds 2002 Ch. 65	1,000,000	500,000	-	-	3,000,000
Museums and Cultural Art Centers	500,000	-	-	-	3,000,000
GO Unissued Proceeds 2002 Ch. 65	500,000	-	-	-	3,000,000
Historical Pres. & Heritage Comm. Totals	1,500,000	500,000	-	-	11,590,075

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>RI Public Telecommunication Authority</u>				
Federally Mandate Conversion to Digital TV	-	-	4,055,601	-
Federal Funds	-	-	555,601	-
Third Party Financing	-	-	3,500,000	-
RI Public Telecommunication Authority Totals	-	-	4,055,601	-
Education Totals	203,856,075	110,215,185	86,395,865	39,598,372
<u>Attorney General</u>				
Automated Fingerprint Identification System	-	-	835,000	-
GO Unissued Proceeds 2002 Ch.65	-	-	500,000	-
Federal Funds	-	-	335,000	-
Attorney General Totals	-	-	835,000	-
<u>Corrections</u>				
Maximum - Perimeter & Interior Security	3,707,143	131,927	300,000	-
Rhode Island Capital Plan Fund	3,707,143	131,927	300,000	-
Maximum - Fire Alarm/Smoke Evacuation	140,516	18,984	-	-
Rhode Island Capital Plan Fund	140,516	18,984	-	-
Fire Code Safety Improvements	1,550,752	649,248	400,000	400,000
Rhode Island Capital Plan Fund	1,550,752	649,248	400,000	400,000
Security Camera Installation	860,393	112,607	834,000	926,565
Rhode Island Capital Plan Fund	860,393	112,607	834,000	926,565
High Security - Infrastructure Improvements	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Medium - Secure Committing/Transport Area	-	-	-	342,000
Rhode Island Capital Plan Fund	-	-	-	342,000
Gloria McDonald - Secure Committing/Transport	-	-	-	300,000
Rhode Island Capital Plan Fund	-	-	-	300,000
High Security - HVAC Renovations	545,322	30,678	169,000	-
Rhode Island Capital Plan Fund	545,322	30,678	169,000	-
Women's Facility - Window Replacement	607,282	472,718	-	-
Rhode Island Capital Plan Fund	607,282	472,718	-	-
Maximum - General Renovations	129,029	625,971	244,000	-
Rhode Island Capital Plan Fund	129,029	625,971	244,000	-
Women's Facility - Roof/Masonry Renovations	102,340	327,660	800,000	800,000
Rhode Island Capital Plan Fund	102,340	327,660	800,000	800,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>RI Public Telecommunication Authority</u>					
Federally Mandate Conversion to Digital TV	-	-	-	-	4,055,601
Federal Funds	-	-	-	-	555,601
Third Party Financing	-	-	-	-	3,500,000
RI Public Telecommunication Authority Totals	-	-	-	-	4,055,601
Education Totals	25,331,909	18,150,000	46,585,950	59,234,050	589,367,406
<u>Attorney General</u>					
Automated Fingerprint Identification System	-	-	-	-	835,000
GO Unissued Proceeds 2002 Ch.65	-	-	-	-	500,000
Federal Funds	-	-	-	-	335,000
Attorney General Totals	-	-	-	-	835,000
<u>Corrections</u>					
Maximum - Perimeter & Interior Security	-	-	-	-	4,139,070
Rhode Island Capital Plan Fund	-	-	-	-	4,139,070
Maximum - Fire Alarm/Smoke Evacuation	-	-	-	-	159,500
Rhode Island Capital Plan Fund	-	-	-	-	159,500
Fire Code Safety Improvements	400,000	362,000	331,663	-	4,093,663
Rhode Island Capital Plan Fund	400,000	362,000	331,663	-	4,093,663
Security Camera Installation	602,267	416,954	880,039	-	4,632,825
Rhode Island Capital Plan Fund	602,267	416,954	880,039	-	4,632,825
High Security - Infrastructure Improvements	415,000	737,000	343,000	-	1,495,000
Rhode Island Capital Plan Fund	415,000	737,000	343,000	-	1,495,000
Medium - Secure Committing/Transport Area	-	-	-	-	342,000
Rhode Island Capital Plan Fund	-	-	-	-	342,000
Gloria McDonald - Secure Committing/Transport	511,000	-	-	-	811,000
Rhode Island Capital Plan Fund	511,000	-	-	-	811,000
High Security - HVAC Renovations	-	-	-	-	745,000
Rhode Island Capital Plan Fund	-	-	-	-	745,000
Women's Facility - Window Replacement	-	-	-	-	1,080,000
Rhode Island Capital Plan Fund	-	-	-	-	1,080,000
Maximum - General Renovations	-	-	-	-	999,000
Rhode Island Capital Plan Fund	-	-	-	-	999,000
Women's Facility - Roof/Masonry Renovations	800,000	500,000	-	-	3,330,000
Rhode Island Capital Plan Fund	800,000	500,000	-	-	3,330,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Women's Facility - Plumbing/Bathrooms	-	195,312	561,000	446,700
Rhode Island Capital Plan Fund	-	195,312	561,000	446,700
Correctional Facilities - Roof/Structural Repairs	-	-	529,000	-
Rhode Island Capital Plan Fund	-	-	529,000	-
Minimum Security - Infrastructure Improvements	-	-	-	600,000
Rhode Island Capital Plan Fund	-	-	-	600,000
Heating & Temperature Control Renovations	-	-	-	750,000
Rhode Island Capital Plan Fund	-	-	-	750,000
Pastore Center Road & Parking Lot Repavement	-	-	-	500,000
Rhode Island Capital Plan Fund	-	-	-	500,000
Medium Security - Roof Replacement & Drainage	-	-	-	888,000
Rhode Island Capital Plan Fund	-	-	-	888,000
Medium Security - HVAC & Mechanical	-	-	-	31,000
Rhode Island Capital Plan Fund	-	-	-	31,000
Medium Security - Window and HVAC Repairs	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Administration Buildings - HVAC	-	-	-	37,000
Rhode Island Capital Plan Fund	-	-	-	37,000
Pinel Building Renovations	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
MIS Unit Relocation	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Intake Service Center - HVAC	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Minimum - Electrical Upgrades	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Minimum - Water System	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Bernadette - Roof/Plumbing/HVAC	-	-	-	415,000
Rhode Island Capital Plan Fund	-	-	-	415,000
Dix Facility Expansion (Phase I)	17,572	429,028	-	-
Rhode Island Capital Plan Fund	14,650	387,290	-	-
Federal Funds	2,922	41,738	-	-
Dix Facility Expansion (Phase II)	16,000	19,000	554,000	-
Rhode Island Capital Plan Fund	16,000	19,000	554,000	-
Women's Facility - Education/Recreation Annex	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Women's Facility - Plumbing/Bathrooms	-	-	-	-	1,203,012
Rhode Island Capital Plan Fund	-	-	-	-	1,203,012
Correctional Facilities - Roof/Structural Repairs	-	-	-	-	529,000
Rhode Island Capital Plan Fund	-	-	-	-	529,000
Minimum Security - Infrastructure Improvements	800,000	800,000	289,000	-	2,489,000
Rhode Island Capital Plan Fund	800,000	800,000	289,000	-	2,489,000
Heating & Temperature Control Renovations	620,000	-	-	-	1,370,000
Rhode Island Capital Plan Fund	620,000	-	-	-	1,370,000
Pastore Center Road & Parking Lot Repavement	-	-	-	-	500,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000
Medium Security - Roof Replacement & Drainage	592,000	-	-	-	1,480,000
Rhode Island Capital Plan Fund	592,000	-	-	-	1,480,000
Medium Security - HVAC & Mechanical	654,000	-	-	-	685,000
Rhode Island Capital Plan Fund	654,000	-	-	-	685,000
Medium Security - Window and HVAC Repairs	215,000	430,000	650,000	145,000	1,440,000
Rhode Island Capital Plan Fund	215,000	430,000	650,000	145,000	1,440,000
Administration Buildings - HVAC	820,000	-	-	-	857,000
Rhode Island Capital Plan Fund	820,000	-	-	-	857,000
Pinel Building Renovations	417,000	729,000	937,000	-	2,083,000
Rhode Island Capital Plan Fund	417,000	729,000	937,000	-	2,083,000
MIS Unit Relocation	429,450	1,000,000	860,950	572,600	2,863,000
Rhode Island Capital Plan Fund	429,450	1,000,000	860,950	572,600	2,863,000
Intake Service Center - HVAC	575,000	863,000	1,000,000	437,500	2,875,500
Rhode Island Capital Plan Fund	575,000	863,000	1,000,000	437,500	2,875,500
Minimum - Electrical Upgrades	-	610,000	910,000	510,000	2,030,000
Rhode Island Capital Plan Fund	-	610,000	910,000	510,000	2,030,000
Minimum - Water System	-	250,000	975,000	555,000	1,780,000
Rhode Island Capital Plan Fund	-	250,000	975,000	555,000	1,780,000
Bernadette - Roof/Plumbing/HVAC	623,000	347,000	-	-	1,385,000
Rhode Island Capital Plan Fund	623,000	347,000	-	-	1,385,000
Dix Facility Expansion (Phase I)	-	-	-	-	446,600
Rhode Island Capital Plan Fund	-	-	-	-	401,940
Federal Funds	-	-	-	-	44,660
Dix Facility Expansion (Phase II)	-	-	-	-	589,000
Rhode Island Capital Plan Fund	-	-	-	-	589,000
Women's Facility - Education/Recreation Annex	500,000	3,044,250	3,220,750	-	6,765,000
Rhode Island Capital Plan Fund	500,000	3,044,250	3,220,750	-	6,765,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Reintegration Center	362,333	3,681,397	7,018,940	2,312,752
Rhode Island Capital Plan Fund	252,646	153,203	353,892	253,247
Federal Funds	109,687	3,528,194	6,665,048	2,059,505
Department of Corrections Totals	8,038,682	6,694,530	11,409,940	8,749,017
<u>Judicial</u>				
New Kent County Courthouse	-	1,488,177	18,884,318	31,437,505
Certificates of Participation	-	1,488,177	18,884,318	31,437,505
Garrahy Judicial Complex - Refurbishments	3,156,302	3,893	-	-
Rhode Island Capital Plan Fund	3,156,302	3,893	-	-
Garrahy Judicial Complex - Ceiling/Lighting	-	-	15,000	750,000
Rhode Island Capital Plan Fund	-	-	15,000	750,000
Licht Judicial Complex - Foundation Integrity	-	-	10,000	200,000
Rhode Island Capital Plan Fund	-	-	10,000	200,000
Licht Judicial Complex - Roof Study	-	-	25,000	-
Rhode Island Capital Plan Fund	-	-	25,000	-
McGrath Judicial Complex - Interior	-	-	-	225,500
Rhode Island Capital Plan Fund	-	-	-	225,500
Murray Judicial Complex - Exterior	-	165,000	198,000	-
Rhode Island Capital Plan Fund	-	165,000	198,000	-
Fogarty Judicial Annex - Refurbishment	35,166	59,834	67,500	-
Rhode Island Capital Plan Fund	35,166	59,834	67,500	-
Judicial Complexes Restroom Renovations	-	-	-	50,000
Rhode Island Capital Plan Fund	-	-	-	50,000
Judicial Department Totals	3,191,468	1,716,904	19,199,818	32,663,005
<u>Military Staff</u>				
Armory of Mounted Commands - Slate Roof/HVAC	-	-	150,000	500,000
Rhode Island Capital Plan Fund	-	-	100,000	500,000
National Guard Bureau - Federal	-	-	50,000	-
Bristol Armory - Rehabilitation	418,141	200,000	100,000	-
National Guard Bureau - Federal	173,141	-	-	-
Rhode Island Capital Plan Fund	245,000	200,000	100,000	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Reintegration Center	-	-	-	-	13,375,422
Rhode Island Capital Plan Fund	-	-	-	-	1,012,988
Federal Funds	-	-	-	-	12,362,434
Department of Corrections Totals	8,973,717	10,089,204	10,397,402	2,220,100	66,572,592
<u>Judicial</u>					
New Kent County Courthouse	-	-	-	-	51,810,000
Certificates of Participation	-	-	-	-	51,810,000
Garrahy Judicial Complex - Refurbishments	-	-	-	-	3,160,195
Rhode Island Capital Plan Fund	-	-	-	-	3,160,195
Garrahy Judicial Complex - Ceiling/Lighting	735,000	-	-	-	1,500,000
Rhode Island Capital Plan Fund	735,000	-	-	-	1,500,000
Licht Judicial Complex - Foundation Integrity	-	-	-	-	210,000
Rhode Island Capital Plan Fund	-	-	-	-	210,000
Licht Judicial Complex - Roof Study	-	-	-	-	25,000
Rhode Island Capital Plan Fund	-	-	-	-	25,000
McGrath Judicial Complex - Interior	-	-	-	-	225,500
Rhode Island Capital Plan Fund	-	-	-	-	225,500
Murray Judicial Complex - Exterior	-	-	-	-	363,000
Rhode Island Capital Plan Fund	-	-	-	-	363,000
Fogarty Judicial Annex - Refubishment	-	-	-	-	162,500
Rhode Island Capital Plan Fund	-	-	-	-	162,500
Judicial Complexes Restroom Renovations	50,000	50,000	-	-	150,000
Rhode Island Capital Plan Fund	50,000	50,000	-	-	150,000
Judicial Department Totals	785,000	50,000	-	-	57,606,195
<u>Military Staff</u>					
Armory of Mounted Commands - Slate Roof/HVAC	600,000	600,000	900,000	-	2,750,000
Rhode Island Capital Plan Fund	600,000	600,000	900,000	-	2,700,000
National Guard Bureau - Federal	-	-	-	-	50,000
Bristol Armory - Rehabilitation	-	-	-	-	718,141
National Guard Bureau - Federal	-	-	-	-	173,141
Rhode Island Capital Plan Fund	-	-	-	-	545,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Benefit Street Arsenal - Rehabilitation	33,548	193,056	245,396	-
Rhode Island Capital Plan Fund	33,548	193,056	245,396	-
Camp Fogarty - Interior	-	-	-	200,000
Rhode Island Capital Plan Fund	-	-	-	50,000
National Guard Bureau - Federal	-	-	-	150,000
North Smithfield Armory	-	20,000	165,000	165,000
Rhode Island Capital Plan Fund	-	5,000	41,250	41,250
National Guard Bureau - Federal	-	15,000	123,750	123,750
Schofield Armory - Exterior/Boiler	-	100,000	20,000	100,000
Rhode Island Capital Plan Fund	-	100,000	20,000	100,000
Schofield Armory - Kitchen	-	-	-	-
Rhode Island Capital Plan Fund	-	-	-	-
Warren Armory Rehabilitation	372,082	169,518	175,000	-
National Guard Bureau - Federal	163,104	-	-	-
Insurance Proceeds	78,496	-	-	-
Rhode Island Capital Plan Fund	130,482	169,518	175,000	-
Warwick Armory - Boiler	-	75,000	125,000	-
Rhode Island Capital Plan Fund	-	-	50,000	-
National Guard Bureau - Federal	-	75,000	75,000	-
Combined Support Maintenance Shop	-	-	25,000	375,000
Rhode Island Capital Plan Fund	-	-	6,250	93,750
National Guard Bureau - Federal	-	-	18,750	281,250
Army Aviation Support Facility	-	25,000	300,000	275,000
Rhode Island Capital Plan Fund	-	-	50,000	25,000
National Guard Bureau - Federal	-	25,000	250,000	250,000
Vehicle Exhaust Ventilation System	198,816	1,184	-	-
Rhode Island Capital Plan Fund	48,816	1,184	-	-
National Guard Bureau - Federal	150,000	-	-	-
Organizational Maintenance Shop	-	-	-	250,000
Rhode Island Capital Plan Fund	-	-	-	62,500
National Guard Bureau - Federal	-	-	-	187,500
USPFO - HVAC	6,057	13,943	180,000	260,000
Rhode Island Capital Plan Fund	5,000	-	45,000	65,000
National Guard Bureau - Federal	1,057	13,943	135,000	195,000
Emergency Operations Center	-	-	-	90,000
Rhode Island Capital Plan Fund	-	-	-	45,000
Federal Emergency Management Agency	-	-	-	45,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Benefit Street Arsenal - Rehabilitation	-	-	-	-	472,000
Rhode Island Capital Plan Fund	-	-	-	-	472,000
Camp Fogarty - Interior	-	-	-	-	200,000
Rhode Island Capital Plan Fund	-	-	-	-	50,000
National Guard Bureau - Federal	-	-	-	-	150,000
North Smithfield Armory	-	-	-	-	350,000
Rhode Island Capital Plan Fund	-	-	-	-	87,500
National Guard Bureau - Federal	-	-	-	-	262,500
Schofield Armory - Exterior/Boiler	120,000	-	-	-	340,000
Rhode Island Capital Plan Fund	120,000	-	-	-	340,000
Schofield Armory - Kitchen	-	90,000	-	-	90,000
Rhode Island Capital Plan Fund	-	90,000	-	-	90,000
Warren Armory Rehabilitation	-	-	-	-	716,600
National Guard Bureau - Federal	-	-	-	-	163,104
Insurance Proceeds	-	-	-	-	78,496
Rhode Island Capital Plan Fund	-	-	-	-	475,000
Warwick Armory - Boiler	-	-	-	-	200,000
Rhode Island Capital Plan Fund	-	-	-	-	50,000
National Guard Bureau - Federal	-	-	-	-	150,000
Combined Support Maintenance Shop	-	-	-	-	400,000
Rhode Island Capital Plan Fund	-	-	-	-	100,000
National Guard Bureau - Federal	-	-	-	-	300,000
Army Aviation Support Facility	-	-	-	-	600,000
Rhode Island Capital Plan Fund	-	-	-	-	75,000
National Guard Bureau - Federal	-	-	-	-	525,000
Vehicle Exhaust Ventilation System	-	-	-	-	200,000
Rhode Island Capital Plan Fund	-	-	-	-	50,000
National Guard Bureau - Federal	-	-	-	-	150,000
Organizational Maintenance Shop	-	-	-	-	250,000
Rhode Island Capital Plan Fund	-	-	-	-	62,500
National Guard Bureau - Federal	-	-	-	-	187,500
USPFO - HVAC	-	-	-	-	460,000
Rhode Island Capital Plan Fund	-	-	-	-	115,000
National Guard Bureau - Federal	-	-	-	-	345,000
Emergency Operations Center	1,250,000	-	-	-	1,340,000
Rhode Island Capital Plan Fund	625,000	-	-	-	670,000
Federal Emergency Management Agency	625,000	-	-	-	670,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Combined Support Maintenance Shop & Armory	-	-	376,250	376,250
Rhode Island Capital Plan Fund	-	-	376,250	376,250
National Guard Bureau - Federal	-	-	-	-
Quonset Point Armory/Hangar	-	-	-	490,000
Rhode Island Capital Plan Fund	-	-	-	490,000
National Guard Bureau - Federal	-	-	-	-
Military Staff Totals	1,028,644	797,701	1,861,646	3,081,250
<u>State Police</u>				
State Police Barracks Renovations	274,000	100,000	325,000	325,000
Rhode Island Capital Plan Fund	274,000	100,000	325,000	325,000
Headquarters Renovations and Repairs	716,415	125,938	140,000	-
Rhode Island Capital Plan Fund	716,415	125,938	140,000	-
Parking Area Improvements	26,090	-	158,410	50,000
Rhode Island Capital Plan Fund	26,090	-	158,410	50,000
New Headquarters/Training Facility	-	-	4,700,000	18,000,000
Rhode Island Capital Plan Fund	-	-	-	-
GO Unissued Proceeds 2002 Ch. 65	-	-	4,700,000	18,000,000
State Police Totals	1,016,505	225,938	5,323,410	18,375,000
<u>State Fire Marshal</u>				
State Municipal Fire Academy	-	500,000	3,000,000	2,900,000
GO Unissued Proceeds 2002 Ch. 65	-	500,000	3,000,000	2,900,000
State Fire Marshal Totals	-	500,000	3,000,000	2,900,000
Public Safety Totals	13,275,299	9,935,073	40,794,814	65,768,272
<u>Environmental Management</u>				
<u>Anti-pollution Projects</u>				
Sewer Interceptors	1,198,442	648,245	750,000	750,000
GO Issued Proceeds 1986 Ch 289	1,198,442	648,245	500,000	-
GO Unissued Proceeds 1986 Ch 289	-	-	250,000	750,000
Governmental Water Pollution Control Facilities	21,085,443	483,101	695,000	-
GO Issued Proceeds 1986 Ch 289	21,085,443	483,101	-	-
GO Unissued Proceeds 1986 Ch 289	-	-	695,000	-
Non-Government Grant & Revolving Loans	1,328,406	167,073	-	-
GO Issued Proceeds 1986 Ch 289	1,328,406	167,073	-	-

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Combined Support Maintenance Shop & Armory	-	5,000,000	5,000,000	10,000,000	20,752,500
Rhode Island Capital Plan Fund	-	-	-	-	752,500
National Guard Bureau - Federal	-	5,000,000	5,000,000	10,000,000	20,000,000
Quonset Point Armory/Hangar	-	5,000,000	4,500,000	4,500,000	14,490,000
Rhode Island Capital Plan Fund	-	-	-	-	490,000
National Guard Bureau - Federal	-	5,000,000	4,500,000	4,500,000	14,000,000
Military Staff Totals	1,970,000	10,690,000	10,400,000	14,500,000	44,329,241
<u>State Police</u>					
State Police Barracks Renovations	350,000	1,000,000	2,000,000	2,000,000	6,374,000
Rhode Island Capital Plan Fund	350,000	1,000,000	2,000,000	2,000,000	6,374,000
Headquarters Renovations and Repairs	-	-	-	-	982,353
Rhode Island Capital Plan Fund	-	-	-	-	982,353
Parking Area Improvements	-	-	-	-	234,500
Rhode Island Capital Plan Fund	-	-	-	-	234,500
New Headquarters/Training Facility	18,000,000	3,100,000	2,000,000	3,200,000	49,000,000
Rhode Island Capital Plan Fund	-	2,000,000	2,000,000	3,200,000	7,200,000
GO Unissued Proceeds 2002 Ch. 65	18,000,000	1,100,000	-	-	41,800,000
State Police Totals	18,350,000	4,100,000	4,000,000	5,200,000	56,590,853
<u>State Fire Marshal</u>					
State Municipal Fire Academy	-	-	-	-	6,400,000
GO Unissued Proceeds 2002 Ch. 65	-	-	-	-	6,400,000
State Fire Marshal Totals	-	-	-	-	6,400,000
Public Safety Totals	30,078,717	24,929,204	24,797,402	21,920,100	231,498,881
<u>Environmental Management</u>					
<u>Anti-pollution Projects</u>					
Sewer Interceptors	750,000	750,000	145,000	-	4,991,687
GO Issued Proceeds 1986 Ch 289	-	-	-	-	2,346,687
GO Unissued Proceeds 1986 Ch 289	750,000	750,000	145,000	-	2,645,000
Governmental Water Pollution Control Facilities	-	-	-	-	22,263,544
GO Issued Proceeds 1986 Ch 289	-	-	-	-	21,568,544
GO Unissued Proceeds 1986 Ch 289	-	-	-	-	695,000
Non-Government Grant & Revolving Loans	-	-	-	-	1,495,479
GO Issued Proceeds 1986 Ch 289	-	-	-	-	1,495,479

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Pawtuxet River Water Quality Improvements</u>				
Wastewater Treatment Grant - Cranston	3,286,311	1,034,978	1,995,000	-
GO Issued Proceeds 1989 Ch 552	3,286,311	33,307	-	-
GO Unissued Proceeds 1989 Ch 552	-	-	-	-
GO Issued Proceeds 1990 Ch 434	-	1,001,671	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	1,995,000	-
Wastewater Treatment Grant - Warwick	3,417,046	2,304,451	-	-
GO Issued Proceeds 1989 Ch 552	2,731,317	38	-	-
GO Issued Proceeds 1990 Ch 434	685,729	2,304,413	-	-
Wastewater Treatment Grant - West Warwick	4,389,534	2,504,713	-	-
GO Issued Proceeds 1989 Ch 552	3,743,964	155,062	-	-
GO Issued Proceeds 1990 Ch 434	645,570	2,349,651	-	-
<u>Hazardous Waste</u>				
Superfund	-	1,013,005	-	2,647,178
General Revenues	-	500,315	-	1,323,589
Federal Funds	-	512,690	-	1,323,589
<u>Aquafund</u>				
Administrative Council Support	537,757	8,799	-	-
GO Issued Proceeds 1988 Ch 443	537,757	8,799	-	-
Planning and Program Development	202,459	94,116	-	-
GO Issued Proceeds 1988 Ch 443	202,459	94,116	-	-
Pilot & Prototypical Projects	523,041	38,666	-	-
GO Issued Proceeds 1988 Ch 443	523,041	38,666	-	-
Wastewater Treatment Grants	6,104,973	326,240	-	-
GO Issued Proceeds 1988 Ch 443	6,104,973	326,240	-	-
Urban Runoff Abatement	1,729,947	61,037	-	-
GO Issued Proceeds 1988 Ch 443	1,729,947	61,037	-	-
<u>State Recreational Property</u>				
State Land Acquisition - Open Space	19,627,114	2,606,033	2,500,000	2,500,000
GO Issued Proceeds 1985 Ch 369	5,065,189	16,784	-	-
GO Issued Proceeds 1989 Ch 552	11,569,925	589,249	-	-
GO Issued Proceeds 1998 Ch 31	1,992,000	-	-	-
GO Issued Proceeds 2000 Ch 55	-	1,500,000	-	-
GO Unissued Proceeds 1989 Ch 552	-	-	1,535,000	-
GO Unissued Proceeds 2000 Ch 55	-	-	465,000	2,000,000
Federal Funds	1,000,000	500,000	500,000	500,000
<u>Natural Land Acquisition</u>				
Farmland Development Rights	9,230,832	1,759,168	1,500,000	1,500,000
GO Issued Proceeds 1989 Ch 552	2,985,000	-	-	-
GO Issued Proceeds 1996 Ch 100	4,000,000	-	-	-
GO Issued Proceeds 1998 Ch 31	740,832	259,168	-	-
GO Issued Proceeds 2000 Ch 55	1,005,000	1,000,000	-	-
GO Unissued Proceeds 2000 Ch 55	-	-	1,000,000	1,000,000
Federal Funds	500,000	500,000	500,000	500,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Pawtuxet River Water Quality Improvements</u>					
Wastewater Treatment Grant - Cranston	-	-	-	-	6,316,289
GO Issued Proceeds 1989 Ch 552	-	-	-	-	3,319,618
GO Unissued Proceeds 1989 Ch 552	-	-	-	-	-
GO Issued Proceeds 1990 Ch 434	-	-	-	-	1,001,671
GO Unissued Proceeds 1990 Ch 434	-	-	-	-	1,995,000
Wastewater Treatment Grant - Warwick	-	-	-	-	5,721,497
GO Issued Proceeds 1989 Ch 552	-	-	-	-	2,731,355
GO Issued Proceeds 1990 Ch 434	-	-	-	-	2,990,142
Wastewater Treatment Grant - West Warwick	-	-	-	-	6,894,247
GO Issued Proceeds 1989 Ch 552	-	-	-	-	3,899,026
GO Issued Proceeds 1990 Ch 434	-	-	-	-	2,995,221
<u>Hazardous Waste</u>					
Superfund	5,294,356	2,647,178	1,358,283	-	12,960,000
General Revenues	2,647,178	1,323,589	685,329	-	6,480,000
Federal Funds	2,647,178	1,323,589	672,954	-	6,480,000
<u>Aquafund</u>					
Administrative Council Support	-	-	-	-	546,556
GO Issued Proceeds 1988 Ch 443	-	-	-	-	546,556
Planning and Program Development	-	-	-	-	296,575
GO Issued Proceeds 1988 Ch 443	-	-	-	-	296,575
Pilot & Prototypical Projects	-	-	-	-	561,707
GO Issued Proceeds 1988 Ch 443	-	-	-	-	561,707
Wastewater Treatment Grants	-	-	-	-	6,431,213
GO Issued Proceeds 1988 Ch 443	-	-	-	-	6,431,213
Urban Runoff Abatement	-	-	-	-	1,790,984
GO Issued Proceeds 1988 Ch 443	-	-	-	-	1,790,984
<u>State Recreational Property</u>					
State Land Acquisition - Open Space	2,335,000	-	-	-	29,568,147
GO Issued Proceeds 1985 Ch 369	-	-	-	-	5,081,973
GO Issued Proceeds 1989 Ch 552	-	-	-	-	12,159,174
GO Issued Proceeds 1998 Ch 31	-	-	-	-	1,992,000
GO Issued Proceeds 2000 Ch 55	-	-	-	-	1,500,000
GO Unissued Proceeds 1989 Ch 552	-	-	-	-	1,535,000
GO Unissued Proceeds 2000 Ch 55	1,835,000	-	-	-	4,300,000
Federal Funds	500,000	-	-	-	3,000,000
<u>Natural Land Acquisition</u>					
Farmland Development Rights	1,495,000	-	-	-	15,485,000
GO Issued Proceeds 1989 Ch 552	-	-	-	-	2,985,000
GO Issued Proceeds 1996 Ch 100	-	-	-	-	4,000,000
GO Issued Proceeds 1998 Ch 31	-	-	-	-	1,000,000
GO Issued Proceeds 2000 Ch 55	-	-	-	-	2,005,000
GO Unissued Proceeds 2000 Ch 55	995,000	-	-	-	2,995,000
Federal Funds	500,000	-	-	-	2,500,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Municipal Recreation Projects</u>				
Roger Williams Park	8,944,802	512,216	1,000,000	1,000,000
GO Issued Proceeds 1989 Ch 552	8,944,802	12,216	-	-
GO Issued Proceeds 2000 Ch 55	-	500,000	-	-
GO Unissued Proceeds 2000 Ch 55	-	-	1,000,000	-
GO Unissued Proceeds 2002 Ch 65	-	-	-	1,000,000
Local Land Acquisition Grants	30,637,012	3,947,010	3,600,000	3,600,000
GO Issued Proceeds 1986 Ch 419	4,286,140	132,296	-	-
GO Issued Proceeds 1987 Ch 425	22,268,795	104,410	-	-
GO Issued Proceeds 1989 Ch 552	4,082,077	110,304	-	-
GO Issued Proceeds 1998 Ch 31	-	2,000,000	-	-
GO Issued Proceeds 2000 Ch 55	-	1,600,000	-	-
GO Unissued Proceeds 2000 Ch 55	-	-	3,600,000	3,600,000
Local Recreation Development Grants	28,977,293	4,464,747	3,050,000	1,750,000
GO Issued Proceeds 1987 Ch 425	19,771,213	2,745,681	-	-
GO Issued Proceeds 1989 Ch 552	8,456,080	969,066	-	-
GO Unissued Proceeds 1987 Ch 425	-	-	200,000	-
GO Unissued Proceeds 1989 Ch 552	-	-	100,000	-
GO Unissued Proceeds 2000 Ch 55	-	-	2,000,000	1,000,000
Federal Fund	750,000	750,000	750,000	750,000
Local Recreational Development - Distressed Comm.	-	750,000	250,000	-
GO Issued Proceeds 2000 Ch 55	-	750,000	-	-
GO Unissued Proceeds 2000 Ch 55	-	-	250,000	-
Local Bikeways & Recreational Greenways	681,857	2,323,143	1,300,000	695,000
GO Issued Proceeds 1998 Ch 31	681,857	2,323,143	-	-
GO Unissued Proceeds 1998 Ch 31	-	-	1,300,000	695,000
<u>State Recreation Facilities</u>				
Facilities Improvement	4,341,817	2,261,415	2,500,000	3,050,000
GO Issued Proceeds 1986 Ch 419	3,553,770	83,786	-	-
GO Issued Proceeds 2000 Ch 55	-	1,000,000	-	-
GO Unissued Proceeds 1989 Ch. 552	-	-	100,000	-
GO Unissued Proceeds 2000 Ch 55	-	-	1,000,000	1,000,000
Rhode Island Capital Plan Fund	312,662	62,629	650,000	1,300,000
Federal Funds	475,385	1,115,000	750,000	750,000
Fort Adams Restoration	613,059	256,359	250,000	150,000
GO Issued Proceeds 1985 Ch 369	263,180	6,238	-	-
Rhode Island Capital Plan Fund	349,879	250,121	250,000	150,000
Bike Paths	925,627	1,774,373	1,000,000	1,000,000
GO Issued Proceeds 1998 Ch 31	925,627	1,774,373	-	-
GO Unissued Proceeds 1998 Ch 31	-	-	1,000,000	1,000,000
Federal Highway Administration*	[4,000,000]	[4,000,000]	[4,000,000]	[4,000,000]
<u>State Infrastructure Facilities</u>				
Galilee Piers	2,590,728	352,164	1,413,000	1,000,000
Rhode Island Capital Plan Fund	2,590,728	352,164	1,413,000	1,000,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Municipal Recreation Projects</u>					
Roger Williams Park	1,000,000	1,000,000	-	-	13,457,018
GO Issued Proceeds 1989 Ch 552	-	-	-	-	8,957,018
GO Issued Proceeds 2000 Ch 55	-	-	-	-	500,000
GO Unissued Proceeds 2000 Ch 55	-	-	-	-	1,000,000
GO Unissued Proceeds 2002 Ch 65	1,000,000	1,000,000	-	-	3,000,000
Local Land Acquisition Grants	2,600,000	-	-	-	44,384,022
GO Issued Proceeds 1986 Ch 419	-	-	-	-	4,418,436
GO Issued Proceeds 1987 Ch 425	-	-	-	-	22,373,205
GO Issued Proceeds 1989 Ch 552	-	-	-	-	4,192,381
GO Issued Proceeds 1998 Ch 31	-	-	-	-	2,000,000
GO Issued Proceeds 2000 Ch 55	-	-	-	-	1,600,000
GO Unissued Proceeds 2000 Ch 55	2,600,000	-	-	-	9,800,000
Local Recreation Development Grants	1,750,000	1,750,000	-	-	41,742,040
GO Issued Proceeds 1987 Ch 425	-	-	-	-	22,516,894
GO Issued Proceeds 1989 Ch 552	-	-	-	-	9,425,146
GO Unissued Proceeds 1987 Ch 425	-	-	-	-	200,000
GO Unissued Proceeds 1989 Ch 552	-	-	-	-	100,000
GO Unissued Proceeds 2000 Ch 55	1,000,000	1,000,000	-	-	5,000,000
Federal Fund	750,000	750,000	-	-	4,500,000
Local Recreational Development - Distressed Comm.	-	-	-	-	1,000,000
GO Issued Proceeds 2000 Ch 55	-	-	-	-	750,000
GO Unissued Proceeds 2000 Ch 55	-	-	-	-	250,000
Local Bikeways & Recreational Greenways	-	-	-	-	5,000,000
GO Issued Proceeds 1998 Ch 31	-	-	-	-	3,005,000
GO Unissued Proceeds 1998 Ch 31	-	-	-	-	1,995,000
<u>State Recreation Facilities</u>					
Facilities Improvement	2,175,000	1,900,000	1,600,000	-	17,828,232
GO Issued Proceeds 1986 Ch 419	-	-	-	-	3,637,556
GO Issued Proceeds 2000 Ch 55	-	-	-	-	1,000,000
GO Unissued Proceeds 1989 Ch. 552	-	-	-	-	100,000
GO Unissued Proceeds 2000 Ch 55	-	-	-	-	2,000,000
Rhode Island Capital Plan Fund	1,425,000	1,150,000	850,000	-	5,750,291
Federal Funds	750,000	750,000	750,000	-	5,340,385
Fort Adams Restoration	-	-	-	-	1,269,418
GO Issued Proceeds 1985 Ch 369	-	-	-	-	269,418
Rhode Island Capital Plan Fund	-	-	-	-	1,000,000
Bike Paths	300,000	-	-	-	5,000,000
GO Issued Proceeds 1998 Ch 31	-	-	-	-	2,700,000
GO Unissued Proceeds 1998 Ch 31	300,000	-	-	-	2,300,000
Federal Highway Administration*	-	-	-	-	[16,000,000]
<u>State Infrastructure Facilities</u>					
Galilee Piers	1,000,000	1,000,000	-	-	7,355,892
Rhode Island Capital Plan Fund	1,000,000	1,000,000	-	-	7,355,892

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Newport Piers	3,305,614	493,485	-	250,000
Rhode Island Capital Plan Fund	3,305,614	493,485	-	250,000
Wickford Marine Facilities	-	-	100,000	200,000
Restricted Receipt Funding	-	-	50,000	-
Rhode Island Capital Plan Fund	-	-	50,000	200,000
Westerly Boat Ramp	85,774	1,226	-	-
Rhode Island Capital Plan Fund	85,774	1,226	-	-
Fish & Wildlife Maintenance Facility	-	-	75,000	-
Federal Funds	-	-	75,000	-
Great Swamp Wildlife Management Area	-	-	-	250,000
Rhode Island Capital Plan Fund	-	-	-	-
Federal Funds	-	-	-	250,000
Boyd's Marsh Habitat Restoration	-	620,000	880,000	300,000
Federal Funds	-	550,000	550,000	200,000
Rhode Island Capital Plan Fund	-	70,000	330,000	100,000
State-Owned Dams Rehabilitation	1,516,056	335,407	1,264,000	1,650,000
Rhode Island Capital Plan Fund	1,516,056	335,407	1,264,000	1,650,000
Environmental Management Totals	155,280,944	31,141,170	24,122,000	22,292,178
* Highway Fund expenditures are bracketed to avoid double counting of these expenditures. The actual expenditures are shown under the Highway Improvement Program within the Department of Transportation's Capital Budget.				
<u>Coastal Resources Management Council</u>				
Allins Cove Habitat Restoration	-	760,000	-	-
Rhode Island Capital Plan Fund	-	172,000	-	-
Local Funds	-	18,000	-	-
Army Corps of Engineers - Federal	-	570,000	-	-
Dredging - Providence River Shipping Channel	-	17,500,000	17,500,000	-
Restricted Receipt Funding	-	4,500,000	4,500,000	-
Army Corps of Engineers - Federal	-	13,000,000	13,000,000	-
Mobile Dredge Unit	-	-	-	500,000
Restricted Receipt Funding	-	-	-	500,000
South Coast Restoration Project	706,309	1,876,530	2,699,797	2,663,797
General Revenue	263,095	-	-	-
Local Funds	107,264	-	-	-
Rhode Island Capital Plan Fund	-	145,000	968,267	932,267
Army Corps of Engineers - Federal	335,950	1,731,530	1,731,530	1,731,530
Coastal Resources Management Council Totals	706,309	20,136,530	20,199,797	3,163,797

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Newport Piers	-	-	-	-	4,049,099
Rhode Island Capital Plan Fund	-	-	-	-	4,049,099
Wickford Marine Facilities	200,000	-	-	-	500,000
Restricted Receipt Funding	-	-	-	-	50,000
Rhode Island Capital Plan Fund	200,000	-	-	-	450,000
Westerly Boat Ramp	-	-	-	-	87,000
Rhode Island Capital Plan Fund	-	-	-	-	87,000
Fish & Wildlife Maintenance Facility	-	-	-	-	75,000
Federal Funds	-	-	-	-	75,000
Great Swamp Wildlife Management Area	800,000	1,200,000	-	-	2,250,000
Rhode Island Capital Plan Fund	400,000	600,000	-	-	1,000,000
Federal Funds	400,000	600,000	-	-	1,250,000
Boyd's Marsh Habitat Restoration	200,000	-	-	-	2,000,000
Federal Funds	200,000	-	-	-	1,500,000
Rhode Island Capital Plan Fund	-	-	-	-	500,000
State-Owned Dams Rehabilitation	2,200,000	850,000	750,000	-	8,565,463
Rhode Island Capital Plan Fund	2,200,000	850,000	750,000	-	8,565,463
Environmental Management Totals	22,099,356	11,097,178	3,853,283	-	269,886,109
* Highway Fund expenditures are bracketed to avoid double counting of these expenditures. The actual expenditures are shown under the Highway Improvement Program within the Department of Transportation's Capital Budget.					
<u>Coastal Resources Management Council</u>					
Allins Cove Habitat Restoration	-	-	-	-	760,000
Rhode Island Capital Plan Fund	-	-	-	-	172,000
Local Funds	-	-	-	-	18,000
Army Corps of Engineers - Federal	-	-	-	-	570,000
Dredging - Providence River Shipping Channel	-	-	-	-	35,000,000
Restricted Receipt Funding	-	-	-	-	9,000,000
Army Corps of Engineers - Federal	-	-	-	-	26,000,000
Mobile Dredge Unit	-	-	-	-	500,000
Restricted Receipt Funding	-	-	-	-	500,000
South Coast Restoration Project	932,267	-	-	-	8,878,700
General Revenue	-	-	-	-	263,095
Local Funds	-	-	-	-	107,264
Rhode Island Capital Plan Fund	932,267	-	-	-	2,977,801
Army Corps of Engineers - Federal	-	-	-	-	5,530,540
Coastal Resources Management Council Totals	932,267	-	-	-	45,138,700

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Clean Water Finance Agency</u>				
Clean Water State Revolving Fund	327,441,313	126,966,458	105,902,500	108,218,000
GO Issued Proceeds 1990 Ch 434	19,158,020	2,841,980	-	-
GO Unissued Proceeds 1990 Ch 434	-	-	2,700,000	2,500,000
Environmental Protection Agency - Federal Revolved Capitalization Grants	117,030,255	13,920,478	9,192,000	9,192,000
Revenue Bonds	4,245,319	20,204,000	4,010,500	6,526,000
	187,007,719	90,000,000	90,000,000	90,000,000
Safe Drinking Water State Revolving Fund	15,362,138	15,955,323	52,754,928	42,822,500
Environmental Protection Agency - Federal GO Issued Proceeds 1987 Ch 417 *	12,947,238	14,434,203	14,160,000	12,822,500
GO Issued Proceeds 2000 Ch 55 *	2,414,900	1,521,120	-	-
Revenue Bonds	-	[1,003,880]	[1,330,000]	[666,120]
Revolved Capitalization Grants	-	-	1,244,928	255,072
Revenue Bonds	-	-	37,350,000	29,744,928
R.I. Water Pollution Control Revolving Fund	61,847,340	534,118	212,378	212,361
GO Issued Proceeds 2000 Ch 55	59,853,453	146,547	-	-
Interest Earnings	1,256,439	200,083	50,000	50,000
Revolved Capitalization Grants	737,448	187,488	162,378	162,361
Clean Water Finance Agency Totals	404,650,791	143,455,899	158,869,806	151,252,861

* The 1987 bond funds were granted to CWFA by the Water Resources Board from the 1987 Public Drinking Water Protection Authorization. The 2000 bond funds are from the 2000 Clean Water Authorization and are bracketed because these funds are passed through the Clean Water Finance Agency and are reflected as expenditures under the CWFA section of this document.

<u>Narragansett Bay Commission</u>				
Wastewater Treatment Facility Projects	80,000	32,000	-	-
Clean Water State Revolving Fund*	[6,978,000]	[17,648,000]	[20,339,000]	[24,100,000]
Other Funds	80,000	32,000	-	-
Sewer System Improvements & Maintenance	-	300,000	250,000	250,000
Clean Water State Revolving Fund*	[1,709,000]	[4,480,000]	[10,395,000]	[8,560,000]
Other Funds	-	300,000	250,000	250,000
Comprehensive CSO Program (Alternative 17)	21,953,673	3,229,327	-	-
GO Issued Proceeds 1990 Ch 434 (NBC)	14,975,528	24,472	-	-
GO Issued Proceeds 1990 Ch 434 (BVDC)	5,978,145	21,855	-	-
Federal Funds	1,000,000	3,183,000	-	-
Clean Water State Revolving Fund *	[5,087,000]	[57,899,000]	[71,355,000]	[75,185,000]
Narragansett Bay Commission Totals	22,033,673	3,561,327	250,000	250,000

* Funds loaned by the Clean Water Finance Agency to the Narragansett Bay Commission, which are then disbursed for projects by the N.B.C., are bracketed to prevent double counting of C.W.F.A. disbursements. Actual expenditures are reflected in the totals for the C.W.F.A.

<u>State Water Resources Board</u>				
Bristol County Water Treatment Facilities	7,208,472	1,200,000	1,925,000	1,393,572
General Revenue	-	-	-	1,100,000
GO Issued Proceeds 1986 Ch 419	7,208,472	1,200,000	1,925,000	293,572

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Clean Water Finance Agency</u>					
Clean Water State Revolving Fund	39,250,000	36,016,000	29,992,000	1,558,081	775,344,352
GO Issued Proceeds 1990 Ch 434	-	-	-	-	22,000,000
GO Unissued Proceeds 1990 Ch 434	2,400,000	2,200,000	2,200,000	-	12,000,000
Environmental Protection Agency - Federal Revolved Capitalization Grants	9,192,000	9,192,000	9,192,000	1,558,081	178,468,814
Revenue Bonds	4,658,000	3,624,000	3,100,000	-	46,367,819
	23,000,000	21,000,000	15,500,000	-	516,507,719
Safe Drinking Water State Revolving Fund	27,435,000	27,385,000	27,285,000	288,451	209,288,340
Environmental Protection Agency - Federal GO Issued Proceeds 1987 Ch 417 *	7,435,000	7,385,000	7,285,000	288,451	76,757,392
GO Issued Proceeds 2000 Ch 55 *	-	-	-	-	3,936,020
Revenue Bonds	-	-	-	-	[3,000,000]
Revolved Capitalization Grants	-	-	-	-	1,500,000
	20,000,000	20,000,000	20,000,000	-	127,094,928
R.I. Water Pollution Control Revolving Fund	212,344	212,326	212,307	411,232	63,854,406
GO Issued Proceeds 2000 Ch 55	-	-	-	-	60,000,000
Interest Earnings	50,000	50,000	50,000	-	1,706,522
Revolved Capitalization Grants	162,344	162,326	162,307	411,232	2,147,884
Clean Water Finance Agency Totals	66,897,344	63,613,326	57,489,307	2,257,764	1,048,487,098

* The 1987 bond funds were granted to CWFA by the Water Resources Board from the 1987 Public Drinking Water Protection Authorization. The 2000 bond funds are from the 2000 Clean Water Authorization and are bracketed because these funds are passed through the Clean Water Finance Agency and are reflected as expenditures under the CWFA section of this document.

<u>Narragansett Bay Commission</u>					
Wastewater Treatment Facility Projects	-	-	-	-	112,000
Clean Water State Revolving Fund*	[37,100,000]	[7,040,000]	-	-	[113,205,000]
Other Funds	-	-	-	-	112,000
Sewer System Improvements & Maintenance	250,000	250,000	-	-	1,300,000
Clean Water State Revolving Fund*	[7,394,000]	[3,550,000]	-	-	[36,088,000]
Other Funds	250,000	250,000	-	-	1,300,000
Comprehensive CSO Program (Alternative 17)	-	-	-	-	25,183,000
GO Issued Proceeds 1990 Ch 434 (NBC)	-	-	-	-	15,000,000
GO Issued Proceeds 1990 Ch 434 (BVDC)	-	-	-	-	6,000,000
Federal Funds	-	-	-	-	4,183,000
Clean Water State Revolving Fund *	[49,373,000]	[28,920,000]	-	-	[287,819,000]
Narragansett Bay Commission Totals	250,000	250,000	-	-	26,595,000

* Funds loaned by the Clean Water Finance Agency to the Narragansett Bay Commission, which are then disbursed for projects by the N.B.C., are bracketed to prevent double counting of C.W.F.A. disbursements. Actual expenditures are reflected in the totals for the C.W.F.A.

<u>State Water Resources Board</u>					
Bristol County Water Treatment Facilities	900,000	-	-	-	12,627,044
General Revenue	900,000	-	-	-	2,000,000
GO Issued Proceeds 1986 Ch 419	-	-	-	-	10,627,044

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Big River Management Area - Maintenance	452,030	73,970	80,000	80,000
Rhode Island Capital Plan Fund	452,030	73,970	80,000	80,000
Statewide Emergency Water Interconnect Study	347,529	2,000,000	3,716,451	-
GO Issued Proceeds 1987 Ch 417	347,529	2,000,000	301,451	-
GO Unissued Proceeds 1987 Ch 417	-	-	3,415,000	-
GO New Referenda	-	-	-	-
Water Allocation Plan	1,096,144	1,195,897	515,000	495,000
Federal Funds	83,146	1,028,709	515,000	-
Rhode Island Capital Plan Fund	1,012,998	167,188	-	495,000
Supplemental Water Supplies Development	248,354	401,646	300,000	400,000
Other Funds	143,867	156,133	-	-
Federal Funds	50,000	206,133	300,000	-
Rhode Island Capital Plan Fund	54,487	39,380	-	400,000
Groundwater Protection/Acquisition Program	14,441	267,000	280,000	305,000
Rhode Island Capital Plan Fund	14,441	-	-	-
GO Issued Proceeds 2000 Ch 55	-	267,000	280,000	-
GO Unissued Proceeds 2000 Ch 55	-	-	-	305,000
Water Resources Board Totals	9,366,970	5,138,513	6,816,451	2,673,572
Natural Resources Totals	592,038,687	203,433,439	210,258,054	179,632,408
<u>Transportation</u>				
Highway Improvement Program	350,867,751	204,843,130	235,968,578	235,308,200
GO Issued Proceeds 1996 Ch 100	74,882,927	117,073	-	-
GO Issued Proceeds 1998 Ch 31	59,938,788	53,012	-	-
GO Unissued Proceeds 1998 Ch 31	-	-	-	8,200
GO Issued Proceeds 2000 Ch 55	28,637,791	30,072,209	-	-
GO Unissued Proceeds 2000 Ch 55	-	-	1,290,000	-
GO Unissued Proceeds 2002 Ch 65	-	-	30,000,000	30,000,000
GO New Referenda	-	-	-	-
Federal Highway Administration	157,911,397	144,124,292	165,000,000	165,000,000
Gas Tax Funding	11,334,042	7,476,544	17,678,578	18,300,000
Third Party Financing	6,828,764	5,000,000	4,000,000	4,000,000
Other Funds	11,334,042	18,000,000	18,000,000	18,000,000
Fixed Guideway (Commuter Rail)	-	19,560,453	2,860,000	16,750,000
Gas Tax	-	182,000	80,000	-
Federal Transit Administration	-	15,633,317	2,720,000	13,400,000
Private Funding	-	-	60,000	3,100,000
Third Party Financing	-	3,745,136	-	250,000
Salt Storage Facilities	369,323	1,240,000	1,148,877	1,341,800
GO Issued Proceeds 1998 Ch 31	369,323	1,240,000	448,877	-
GO Unissued Proceeds 1998 Ch 31	-	-	700,000	1,341,800
East Providence Facility	-	-	900,000	900,000
GO Unissued Proceeds 2002 Ch.	-	-	900,000	900,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Big River Management Area - Maintenance	80,000	80,000	80,000	-	926,000
Rhode Island Capital Plan Fund	80,000	80,000	80,000	-	926,000
Statewide Emergency Water Interconnect Study	-	-	3,000,000	7,000,000	16,063,980
GO Issued Proceeds 1987 Ch 417	-	-	-	-	2,648,980
GO Unissued Proceeds 1987 Ch 417	-	-	-	-	3,415,000
GO New Referenda	-	-	3,000,000	7,000,000	10,000,000
Water Allocation Plan	400,000	210,000	-	-	3,912,041
Federal Funds	-	-	-	-	1,626,855
Rhode Island Capital Plan Fund	400,000	210,000	-	-	2,285,186
Supplemental Water Supplies Development	200,000	-	-	-	1,550,000
Other Funds	-	-	-	-	300,000
Federal Funds	-	-	-	-	556,133
Rhode Island Capital Plan Fund	200,000	-	-	-	693,867
Groundwater Protection/Acquisition Program	415,000	33,000	-	-	1,314,441
Rhode Island Capital Plan Fund	-	-	-	-	14,441
GO Issued Proceeds 2000 Ch 55	-	-	-	-	547,000
GO Unissued Proceeds 2000 Ch 55	415,000	33,000	-	-	753,000
Water Resources Board Totals	1,995,000	323,000	3,080,000	7,000,000	36,393,506
Natural Resources Totals	92,173,967	75,283,504	64,422,590	9,257,764	1,426,500,413
<u>Transportation</u>					
Highway Improvement Program	235,450,000	235,548,000	236,200,000	30,000,000	1,764,185,659
GO Issued Proceeds 1996 Ch 100	-	-	-	-	75,000,000
GO Issued Proceeds 1998 Ch 31	-	-	-	-	59,991,800
GO Unissued Proceeds 1998 Ch 31	-	-	-	-	8,200
GO Issued Proceeds 2000 Ch 55	-	-	-	-	58,710,000
GO Unissued Proceeds 2000 Ch 55	-	-	-	-	1,290,000
GO Unissued Proceeds 2002 Ch 65	-	-	-	-	60,000,000
GO New Referenda	30,000,000	30,000,000	30,000,000	30,000,000	120,000,000
Federal Highway Administration	165,000,000	165,000,000	165,000,000	-	1,127,035,689
Gas Tax Funding	18,450,000	18,548,000	19,200,000	-	110,987,164
Third Party Financing	4,000,000	4,000,000	4,000,000	-	31,828,764
Other Funds	18,000,000	18,000,000	18,000,000	-	119,334,042
Fixed Guideway (Commuter Rail)	14,800,000	10,770,000	2,890,000	-	67,630,453
Gas Tax	150,000	352,000	-	-	764,000
Federal Transit Administration	11,840,000	8,616,000	2,312,000	-	54,521,317
Private Funding	60,000	-	-	-	3,220,000
Third Party Financing	2,750,000	1,802,000	578,000	-	9,125,136
Salt Storage Facilities	-	-	-	-	4,100,000
GO Issued Proceeds 1998 Ch 31	-	-	-	-	2,058,200
GO Unissued Proceeds 1998 Ch 31	-	-	-	-	2,041,800
East Providence Facility	-	-	-	-	1,800,000
GO Unissued Proceeds 2002 Ch.	-	-	-	-	1,800,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Lincoln Avenue Facility	3,000,000	3,779,339	-	-
Gas Tax	-	3,280,475	-	-
Other Funds	3,000,000	498,864	-	-
Cherry Hill/Lincoln Facility	-	-	-	-
GO New Referenda	-	-	-	-
Portsmouth Facility	-	-	-	-
GO New Referenda	-	-	-	-
Capital Equipment Replacement	5,200,000	5,400,000	2,700,000	2,700,000
Gas Tax	5,200,000	-	-	-
Lease Financing	-	5,400,000	2,700,000	2,700,000
Freight Rail Improvement Project (Third Rail)	53,476,450	22,153,550	17,370,000	15,000,000
GO Issued Proceeds - 1996 Ch 100	23,738,225	11,076,775	-	-
GO Unissued Proceeds - 1996 Ch 100	-	-	8,685,000	6,500,000
General Revenue	3,000,000	-	-	-
Federal Railroad Administration	26,738,225	11,076,775	8,685,000	8,500,000
Highway Fund*	[14,800,000]	[8,200,000]	[9,000,000]	[8,000,000]
Transportation Totals	412,913,524	256,976,472	260,947,455	272,000,000
<u>Rhode Island Public Transit Authority</u>				
Bus Purchases	21,228,377	2,298,281	11,151,133	7,362,036
GO Issued Proceeds 2000 Ch 55	343,464	355,057	761,479	-
GO Unissued Proceeds 2000 Ch 55	-	-	1,050,000	-
GO Unissued Proceeds 2002 Ch 65	-	-	-	1,100,000
GO New Referenda	-	-	-	-
RIPTA Revolving Loan Fund	1,151,395	-	-	-
RIPTA Operating Funds	184,006	104,599	-	-
Federal Transit Administration	19,549,512	1,838,625	9,339,654	6,262,036
Paratransit Vehicles	3,297,500	1,536,142	1,209,712	2,752,094
Paratransit Revolving Fund	659,500	307,228	241,942	550,419
Federal Transit Administration	2,638,000	1,228,914	967,770	2,201,675
Land and Buildings	12,510,290	9,818,665	7,105,000	2,330,000
GO Issued Proceeds 1985 Ch 367	926,636	70,360	-	-
GO Issued Proceeds 1998 Ch 31	1,600,000	-	-	-
RI Capital Plan Fund	-	2,075,000	1,145,000	-
RIPTA Operating Funds	57,071	430,661	40,000	66,000
Federal Transit Administration	9,926,583	7,242,644	5,920,000	2,264,000
Capital Maintenance	16,291,623	10,142,379	6,280,000	6,550,000
RIPTA Operating Funds	3,258,324	2,028,476	1,256,000	1,310,000
Federal Transit Administration	13,033,299	8,113,903	5,024,000	5,240,000
Capital Equipment & Supplies	5,567,755	8,433,112	7,550,000	1,500,000
RIPTA Operating Funds	960,000	319,361	710,000	300,000
RIPTA Capital Fund	153,951	1,367,261	800,000	-
Federal Transit Administration	4,453,804	6,746,490	6,040,000	1,200,000

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Lincoln Avenue Facility	-	-	-	-	6,779,339
Gas Tax	-	-	-	-	3,280,475
Other Funds	-	-	-	-	3,498,864
Cherry Hill/Lincoln Facility	1,390,000	1,565,000	-	-	2,955,000
GO New Referenda	1,390,000	1,565,000	-	-	2,955,000
Portsmouth Facility	1,090,000	975,000	-	-	2,065,000
GO New Referenda	1,090,000	975,000	-	-	2,065,000
Capital Equipment Replacement	-	-	-	-	16,000,000
Gas Tax	-	-	-	-	5,200,000
Lease Financing	-	-	-	-	10,800,000
Freight Rail Improvement Project (Third Rail)	-	-	-	-	108,000,000
GO Issued Proceeds - 1996 Ch 100	-	-	-	-	34,815,000
GO Unissued Proceeds - 1996 Ch 100	-	-	-	-	15,185,000
General Revenue	-	-	-	-	3,000,000
Federal Railroad Administration	-	-	-	-	55,000,000
Highway Fund*	-	-	-	-	[40,000,000]
Transportation Totals	252,730,000	248,858,000	239,090,000	30,000,000	1,973,515,451
<u>Rhode Island Public Transit Authority</u>					
Bus Purchases	3,358,906	2,209,794	9,699,208	-	57,307,735
GO Issued Proceeds 2000 Ch 55	-	-	-	-	1,460,000
GO Unissued Proceeds 2000 Ch 55	-	-	-	-	1,050,000
GO Unissued Proceeds 2002 Ch 65	600,000	-	-	-	1,700,000
GO New Referenda	25,000	440,000	1,950,000	-	2,415,000
RIPTA Revolving Loan Fund	-	-	-	-	1,151,395
RIPTA Operating Funds	-	-	-	-	288,605
Federal Transit Administration	2,733,906	1,769,794	7,749,208	-	49,242,735
Paratransit Vehicles	1,259,612	2,489,586	1,960,549	-	14,505,195
Paratransit Revolving Fund	251,922	497,917	392,110	-	2,901,038
Federal Transit Administration	1,007,690	1,991,669	1,568,439	-	11,604,157
Land and Buildings	1,140,000	790,000	1,840,000	-	35,533,955
GO Issued Proceeds 1985 Ch 367	-	-	-	-	996,996
GO Issued Proceeds 1998 Ch 31	-	-	-	-	1,600,000
RI Capital Plan Fund	-	-	-	-	3,220,000
RIPTA Operating Funds	228,000	158,000	128,000	-	1,107,732
Federal Transit Administration	912,000	632,000	1,712,000	-	28,609,227
Capital Maintenance	6,700,000	6,700,000	6,700,000	-	59,364,002
RIPTA Operating Funds	1,340,000	1,340,000	1,340,000	-	11,872,800
Federal Transit Administration	5,360,000	5,360,000	5,360,000	-	47,491,202
Capital Equipment & Supplies	3,960,000	5,210,000	2,770,000	-	34,990,867
RIPTA Operating Funds	792,000	1,042,000	554,000	-	4,677,361
RIPTA Capital Fund	-	-	-	-	2,321,212
Federal Transit Administration	3,168,000	4,168,000	2,216,000	-	27,992,294

Schedule 4 - Projects By Funding Source

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
RI Public Transit Authority Totals	58,895,545	32,228,579	33,295,845	20,494,130
<u>Airport Corporation</u>				
Noise Mitigation	1,240,000	310,000	-	-
GO Issued Proceeds 1996 Ch 100	1,240,000	-	-	-
GO Unissued Proceeds 1996 Ch 100	-	310,000	-	-
Aiport Corporation Totals	1,240,000	310,000	-	-
Transportation Totals	473,049,069	289,515,051	294,243,300	292,494,130

Schedule 4 - Projects By Funding Source

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
RI Public Transit Authority Totals	16,418,518	17,399,380	22,969,757	-	201,701,754
<u>Airport Corporation</u>					
Noise Mitigation	-	-	-	-	1,550,000
GO Issued Proceeds 1996 Ch 100	-	-	-	-	1,240,000
GO Unissued Proceeds 1996 Ch 100	-	-	-	-	310,000
Aiport Corporation Totals	-	-	-	-	1,550,000
Transportation Totals	269,148,518	266,257,380	262,059,757	30,000,000	2,176,767,205

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Department of Administration				
State House Renovations (Phase I)	44,670	40,784	-	-
State House Skylights and Roof Repairs	3,038,864	347,136	-	-
State House Terrace Walls/South Stairs	7,151,441	1,018,596	200,000	-
State House Elevators	391,525	12,000	-	-
State House Security	-	540,500	-	-
State House Renovations - Phase II	30,343	8,848	600,000	300,000
State House Renovations - Phase III	-	-	230,000	500,000
Chapin Health Laboratory	1,609,964	143,661	250,000	336,375
Cannon Building	483,078	148,657	377,000	150,000
Cranston Street Armory	1,448,554	103,446	1,000,000	1,000,000
Old Colony House	83,031	214,969	-	-
State Office Building	377,833	247,167	250,000	250,000
Court Buildings - HVAC Systems	297,078	250,000	250,000	600,000
Veterans Auditorium	-	-	-	-
Veterans Auditorium Office Building	23,549	249,655	200,000	-
William Powers Administration Building	-	-	45,000	210,000
Washington County Government Center	77,506	124,494	368,000	315,000
Old State House	-	100,000	325,000	300,000
Board of Elections Building	2,445	47,555	150,000	350,000
Fox Point Hurricane Barrier	600,000	50,000	50,000	50,000
Environmental Compliance	314,876	1,185,124	750,000	750,000
Asset Inventory	133,481	166,519	150,000	150,000
Bio-Technology Training Laboratory	-	-	300,000	-
State Information Operations Center	67,982	-	200,000	-
Powers Building Technology Infrastructure	-	-	500,000	-
Masonic Temple Stabilization	-	-	500,000	-
Subtotal	16,176,220	4,999,111	6,695,000	5,261,375
Economic Development Corporation				
Ladd Center	1,926,284	873,716	-	-
Subtotal	1,926,284	873,716	-	-
Legislature				
Legislative Office Building	-	-	4,000,000	4,000,000
Subtotal	-	-	4,000,000	4,000,000
Department of Children, Youth and Families				
Training School - New Facility A&E	129,520	-	-	-
Training School Bathroom Renovations	1,092	98,908	-	-
Groden Center - Mt. Hope	3,031	-	79,660	-
Spurwink/RI	85,061	113,939	-	-
NAFI Center	-	-	100,000	200,000
Subtotal	218,704	212,847	179,660	200,000
Human Services				
Forand Building Projects	16,277	153,723	800,000	-
Veterans Home Roof	-	140,000	-	-
Forand Building Paving & Exterior Stairways	-	-	-	385,000
Blind Vending Facilities	-	-	-	140,000
Subtotal	16,277	293,723	800,000	525,000

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements				Total
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Department of Administration					
State House Renovations (Phase I)	-	-	-	-	85,454
State House Skylights and Roof Repairs	-	-	-	-	3,386,000
State House Terrace Walls/South Stairs	-	-	-	-	8,370,037
State House Elevators	-	-	-	-	403,525
State House Security	-	-	-	-	540,500
State House Renovations - Phase II	300,000	300,000	-	-	1,539,191
State House Renovations - Phase III	2,100,000	2,395,000	3,730,000	-	8,955,000
Chapin Health Laboratory	-	-	-	-	2,340,000
Cannon Building	750,000	1,000,000	-	-	2,908,735
Cranston Street Armory	1,500,000	1,500,000	1,500,000	-	8,052,000
Old Colony House	-	-	-	-	298,000
State Office Building	250,000	-	-	-	1,375,000
Court Buildings - HVAC Systems	525,000	-	-	-	1,922,078
Veterans Auditorium	-	2,000,000	2,000,000	2,500,000	6,500,000
Veterans Auditorium Office Building	-	1,000,000	1,000,000	500,000	2,973,204
William Powers Administration Building	210,000	182,000	-	-	647,000
Washington County Government Center	-	-	-	-	885,000
Old State House	625,000	625,000	-	-	1,975,000
Board of Elections Building	-	-	-	-	550,000
Fox Point Hurricane Barrier	50,000	50,000	50,000	-	900,000
Environmental Compliance	750,000	750,000	750,000	-	5,250,000
Asset Inventory	-	-	-	-	600,000
Bio-Technology Training Laboratory	-	-	-	-	300,000
State Information Operations Center	-	-	-	-	267,982
Powers Building Technology Infrastructure	-	-	-	-	500,000
Masonic Temple Stabilization	-	-	-	-	500,000
Subtotal	7,060,000	9,802,000	9,030,000	3,000,000	62,023,706
Economic Development Corporation					
Ladd Center	-	-	-	-	2,800,000
Subtotal	-	-	-	-	2,800,000
Legislature					
Legislative Office Building	4,000,000	3,000,000	-	-	15,000,000
Subtotal	4,000,000	3,000,000	-	-	15,000,000
Department of Children, Youth and Families					
Training School - New Facility A&E	-	-	-	-	129,520
Training School Bathroom Renovations	-	-	-	-	100,000
Groden Center - Mt. Hope	-	-	-	-	82,691
Spurwink/RI	-	-	-	-	199,000
NAFI Center	-	-	-	-	300,000
Subtotal	-	-	-	-	811,211
Human Services					
Forand Building Projects	-	-	-	-	970,000
Veterans Home Roof	-	-	-	-	140,000
Forand Building Paving & Exterior Stairways	-	-	-	-	385,000
Blind Vending Facilities	140,000	140,000	140,000	-	560,000
Subtotal	140,000	140,000	140,000	-	2,055,000

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Mental Health Retardation & Hospitals				
Developmentally Disabled Group Homes	-	-	-	-
R.I. Medical Center - Utilities Upgrade	1,115,658	269,862	500,000	500,000
R.I. Medical Center - Rehabilitation	1,091,150	699,259	400,000	400,000
MR/DD Residential Development	-	-	-	1,750,000
MR Community Facilities	50,000	-	720,000	1,600,000
Central Power Plant Rehabilitation	551,359	-	500,000	400,000
Mental Health Residences	-	-	-	-
Environmental Mandates	-	-	200,000	380,000
Pastore Center Sewer Improvement	-	-	500,000	-
Regional Center Repair/Rehabilitation	-	-	-	-
Utility Systems - Water Storage Tanks & Pipes	248,523	145,255	450,000	250,000
Hospital Warehouse	71,304	-	300,000	300,000
Zambarano Buildings & Utilities	107,054	97,300	300,000	300,000
Asset Protection - Substance Abuse Facilities	319,076	100,000	100,000	100,000
Subtotal	3,554,124	1,311,676	3,970,000	5,980,000
Governor's Commission on Disabilities				
Handicapped Accessibility - Facility Renovations	-	250,000	500,000	500,000
Subtotal	-	250,000	500,000	500,000
Elementary & Secondary Education				
Davies School - Roof Repair	-	225,000	225,000	-
School for the Deaf - Physical Education Facility	29,478	283,991	200,000	-
Chariho Well Water Remediation	-	-	60,000	-
East Prov. Career & Technical Center	56,495	54,800	300,580	-
Hanley - HVAC	265,761	64,239	-	-
State-Owned Facilities - Security Systems	-	-	50,000	60,000
State-Owned Facilities - Fire Alarm Systems	52,442	93,480	100,000	100,000
Subtotal	404,176	721,510	935,580	160,000
Office of Higher Education				
RIC - Renovations of DCYF Facilities	-	50,000	-	-
DCYF Facilities and Master Plan Improvements	122,634	2,617,220	1,795,046	-
DCYF Facilities Phase II	-	-	-	-
URI Athletic Complex & Convocation Center	16,133,259	1,866,741	-	-
URI Athletic Complex Ice Facility	4,028,659	971,341	-	-
URI - Chafee Hall PCB Abatement	3,260,047	539,953	-	-
Asset Protection - URI	6,026,906	4,786,668	5,000,000	5,000,000
Asset Protection - RIC	4,103,571	1,777,689	2,420,000	1,650,000
Asset Protection - CCRI	2,448,017	1,433,874	1,350,000	1,050,000
CCRI -Knight Megastructure	380,000	-	-	-
URI - Alger Hall	-	1,845,442	1,164,558	-
URI - Green Hall	-	2,200,000	-	-
URI - Ballentine Hall	500,000	853,078	-	-
URI - Pell Library	-	-	-	-
URI - 177 Plains Road	17,092	2,908	-	-
URI - Residence Halls Modernization/Renovation	-	-	-	6,000,000
URI - Environmental Biotechnology Center	-	200,000	300,000	-
Subtotal	37,020,185	19,144,914	12,029,604	13,700,000
Atomic Energy Commission				
R.I. Nuclear Science Center Interior Painting	-	-	55,000	-
Landscaping and Parking Lot	-	-	-	-

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements				Total
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Mental Health Retardation & Hospitals					
Developmentally Disabled Group Homes	-	-	-	-	-
R.I. Medical Center - Utilities Upgrade	500,000	500,000	500,000	-	3,885,520
R.I. Medical Center - Rehabilitation	400,000	400,000	400,000	-	3,790,409
MR/DD Residential Development	1,750,000	1,750,000	1,750,000	-	7,000,000
MR Community Facilities	1,600,000	1,600,000	1,600,000	-	7,170,000
Central Power Plant Rehabilitation	-	-	-	-	1,451,359
Mental Health Residences	-	510,000	1,100,000	-	1,610,000
Environmental Mandates	350,000	-	-	-	930,000
Pastore Center Sewer Improvement	-	-	-	-	500,000
Regional Center Repair/Rehabilitation	300,000	500,000	500,000	-	1,300,000
Utility Systems - Water Storage Tanks & Pipes	250,000	250,000	250,000	-	1,843,778
Hospital Warehouse	-	-	-	-	671,304
Zambarano Buildings & Utilities	300,000	300,000	-	-	1,404,354
Asset Protection - Substance Abuse Facilities	100,000	100,000	100,000	-	919,076
Subtotal	5,550,000	5,910,000	6,200,000	-	32,475,800
Governor's Commission on Disabilities					
Handicapped Accessibility - Facility Renovations	1,500,000	1,500,000	1,500,000	4,000,000	9,750,000
Subtotal	1,500,000	1,500,000	1,500,000	4,000,000	9,750,000
Elementary & Secondary Education					
Davies School - Roof Repair	-	-	-	-	450,000
School for the Deaf - Physical Education Facility	-	-	-	-	513,469
Chariho Well Water Remediation	-	-	-	-	60,000
East Prov. Career & Technical Center	-	-	-	-	411,875
Hanley - HVAC	-	-	-	-	330,000
State-Owned Facilities - Security Systems	-	-	-	-	110,000
State-Owned Facilities - Fire Alarm Systems	-	-	-	-	345,922
Subtotal	-	-	-	-	2,221,266
Office of Higher Education					
RIC - Renovations of DCYF Facilities	-	-	-	-	50,000
DCYF Facilities and Master Plan Improvements	-	-	-	-	4,534,900
DCYF Facilities Phase II	2,400,000	2,100,000	-	-	4,500,000
URI Athletic Complex & Convocation Center	-	-	-	-	18,000,000
URI Athletic Complex Ice Facility	-	-	-	-	5,000,000
URI - Chafee Hall PCB Abatement	-	-	-	-	3,800,000
Asset Protection - URI	4,000,000	3,800,000	3,800,000	-	32,413,574
Asset Protection - RIC	1,650,000	1,650,000	1,650,000	-	14,901,260
Asset Protection - CCRI	1,050,000	1,050,000	1,050,000	-	9,431,891
CCRI -Knight Megastructure	-	-	-	-	380,000
URI - Alger Hall	-	-	-	-	3,010,000
URI - Green Hall	-	-	-	-	2,200,000
URI - Ballentine Hall	-	-	-	-	1,353,078
URI - Pell Library	-	1,300,000	7,400,000	4,300,000	13,000,000
URI - 177 Plains Road	-	-	-	-	20,000
URI - Residence Halls Modernization/Renovation	8,000,000	6,000,000	-	-	20,000,000
URI - Environmental Biotechnology Center	-	-	-	-	500,000
Subtotal	17,100,000	15,900,000	13,900,000	4,300,000	133,094,703
Atomic Energy Commission					
R.I. Nuclear Science Center Interior Painting	-	-	-	-	55,000
Landscaping and Parking Lot	30,000	-	-	-	30,000

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements			FY 2005
	Pre-FY 2003	FY 2003	FY 2004	
Subtotal	-	-	55,000	-
Department of Corrections				
Administration Buildings - HVAC	-	-	-	37,000
Fire Code Safety Improvements	1,550,752	649,248	400,000	400,000
Food Plant - Roof & Structural Repairs	-	-	529,000	-
Dix Expansion - Phase I	2,922	41,738	-	-
Dix Expansion - Phase II	16,000	19,000	554,000	-
Gloria McDonald - Sallyport/Committing Area	-	-	-	300,000
Heating & Temperature Control Renovations	-	-	-	750,000
High Security - HVAC Renovations	545,322	30,678	169,000	-
High Security Infrastructure Improvements	-	-	-	-
Intake Service Center HVAC	-	-	-	-
Pastore Center Road & Parking Lot Repavement	-	-	-	500,000
Maximum - Fire Alarm/ Smoke Evacuation System	140,516	18,984	-	-
Maximum - General Renovations	129,029	625,971	244,000	-
Maximum - Perimeter & Interior Security	3,707,143	131,927	300,000	-
Medium - HVAC & Mechanical Renovations	-	-	-	31,000
Medium - Roof Replacement & Drainage	-	-	-	888,000
Medium - Secure Committing/Transport Area	-	-	-	342,000
Medium II - Windows and HVAC	-	-	-	-
Minimum Electrical Upgrades	-	-	-	-
Minimum Security Infrastructure Improvements	-	-	-	600,000
Minimum Water System	-	-	-	-
MIS Unit Relocation	-	-	-	-
Pinel Building Renovations	-	-	-	-
Reintegration Center	252,646	153,203	353,892	253,247
Security Camera Installation	860,393	112,607	834,000	926,565
Women's - Education/Recreation Annex	-	-	-	-
Women's - Plumbing/Bathroom Renovations	-	195,312	561,000	446,700
Women's - Roof, Masonry & General Renovations	102,340	327,660	800,000	800,000
Women's - Window Replacement	607,282	472,718	-	-
Work Release - Roof/Plumbing/HVAC Repairs	-	-	-	415,000
Subtotal	7,914,345	2,779,046	4,744,892	6,689,512
Judicial				
Garrahy Judicial Complex Renovation	3,156,302	3,893	-	-
Garrahy Ceiling and Lighting Replacement	-	-	15,000	750,000
Licht Roof Study	-	-	25,000	-
Licht Foundation Integrity	-	-	10,000	200,000
McGrath Judicial Complex	-	-	-	225,500
Murray Judicial Complex	-	165,000	198,000	-
Fogarty Annex	35,166	59,834	67,500	-
Judicial Complex Restrooms	-	-	-	50,000
Subtotal	3,191,468	228,727	315,500	1,225,500

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements				Total
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Subtotal	30,000	-	-	-	85,000
Department of Corrections					
Administration Buildings - HVAC	820,000	-	-	-	857,000
Fire Code Safety Improvements	400,000	362,000	331,663	-	4,093,663
Food Plant - Roof & Structural Repairs	-	-	-	-	529,000
Dix Expansion - Phase I	-	-	-	-	44,660
Dix Expansion - Phase II	-	-	-	-	589,000
Gloria McDonald - Sallyport/Committing Area	511,000	-	-	-	811,000
Heating & Temperature Control Renovations	620,000	-	-	-	1,370,000
High Security - HVAC Renovations	-	-	-	-	745,000
High Security Infrastructure Improvements	415,000	737,000	343,000	-	1,495,000
Intake Service Center HVAC	575,000	863,000	1,000,000	437,500	2,875,500
Pastore Center Road & Parking Lot Repavement	-	-	-	-	500,000
Maximum - Fire Alarm/ Smoke Evacuation System	-	-	-	-	159,500
Maximum - General Renovations	-	-	-	-	999,000
Maximum - Perimeter & Interior Security	-	-	-	-	4,139,070
Medium - HVAC & Mechanical Renovations	654,000	-	-	-	685,000
Medium - Roof Replacement & Drainage	592,000	-	-	-	1,480,000
Medium - Secure Committing/Transport Area	-	-	-	-	342,000
Medium II - Windows and HVAC	215,000	430,000	650,000	145,000	1,440,000
Minimum Electrical Upgrades	-	610,000	910,000	510,000	2,030,000
Minimum Security Infrastructure Improvements	800,000	800,000	289,000	-	2,489,000
Minimum Water System	-	250,000	975,000	555,000	1,780,000
MIS Unit Relocation	429,450	1,000,000	860,950	572,600	2,863,000
Pinel Building Renovations	417,000	729,000	937,000	-	2,083,000
Reintegration Center	-	-	-	-	1,012,988
Security Camera Installation	602,267	416,954	880,039	-	4,632,825
Women's - Education/Recreation Annex	500,000	3,044,250	3,220,750	-	6,765,000
Women's - Plumbing/Bathroom Renovations	-	-	-	-	1,203,012
Women's - Roof, Masonry & General Renovations	800,000	500,000	-	-	3,330,000
Women's - Window Replacement	-	-	-	-	1,080,000
Work Release - Roof/Plumbing/HVAC Repairs	623,000	347,000	-	-	1,385,000
Subtotal	8,973,717	10,089,204	10,397,402	2,220,100	53,808,218
Judicial					
Garrahy Judicial Complex Renovation	-	-	-	-	3,160,195
Garrahy Ceiling and Lighting Replacement	735,000	-	-	-	1,500,000
Licht Roof Study	-	-	-	-	25,000
Licht Foundation Integrity	-	-	-	-	210,000
McGrath Judicial Complex	-	-	-	-	225,500
Murray Judicial Complex	-	-	-	-	363,000
Fogarty Annex	-	-	-	-	162,500
Judicial Complex Restrooms	50,000	50,000	-	-	150,000
Subtotal	785,000	50,000	-	-	5,796,195

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Military Staff				
Armory of Mounted Commands - Slate Roof/HVAC	-	-	100,000	500,000
Bristol Armory	245,000	200,000	100,000	-
Benefit Street Arsenal	33,548	193,056	245,396	-
Camp Fogarty	-	-	-	50,000
North Smithfield Armory	-	5,000	41,250	41,250
Schofield Armory - Exterior	-	100,000	20,000	100,000
Schofield Armory - Kitchen	-	-	-	-
Warren Armory	130,482	169,518	175,000	-
Wawick Armory	-	-	50,000	-
Army Aviation Support Facility	-	-	50,000	25,000
Combined Support Maintenance Shop	-	-	6,250	93,750
Combined Support Maintenance Shop & Armory	-	-	376,250	376,250
Organizational Maintenance Shop	-	-	-	62,500
Quonset Point Hangar	-	-	-	490,000
USPFO HVAC	5,000	-	45,000	65,000
Vehicle Exhaust Ventilation System	48,816	1,184	-	-
Emergency Operations Center	-	-	-	45,000
Subtotal	462,846	668,758	1,209,146	1,848,750
State Police				
New State Police Headquarters & Training Facility	-	-	-	-
Headquarters Repairs/Renovations	716,415	125,938	140,000	-
Parking Area Improvements	26,090	-	158,410	50,000
Barracks Renovations	274,000	100,000	325,000	325,000
Subtotal	1,016,505	225,938	623,410	375,000
Environmental Management				
Galilee Piers	2,590,728	352,164	1,413,000	1,000,000
Newport Piers	3,305,614	493,485	-	250,000
Wickford Marine Facilities	-	-	50,000	200,000
Boyd's Marsh Habitat Restoration	-	70,000	330,000	100,000
State-owned Dams - Repairs	1,516,056	335,407	1,264,000	1,650,000
Great Swamp Wildlife Management Area	-	-	-	-
Recreational Facilities Improvements	312,662	62,629	650,000	1,300,000
Fort Adams Rehabilitation	349,879	250,121	250,000	150,000
Westerly Boat Ramp	85,774	1,226	-	-
Subtotal	8,160,713	1,565,032	3,957,000	4,650,000
Coastal Resources Management Council				
South Coast Restoration Project	-	145,000	968,267	932,267
Habitat Restoration - Allins Cove	-	172,000	-	-
Subtotal	-	317,000	968,267	932,267

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements				Total
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Military Staff					
Armory of Mounted Commands - Slate Roof/HVAC	600,000	600,000	900,000	-	2,700,000
Bristol Armory	-	-	-	-	545,000
Benefit Street Arsenal	-	-	-	-	472,000
Camp Fogarty	-	-	-	-	50,000
North Smithfield Armory	-	-	-	-	87,500
Schofield Armory - Exterior	120,000	-	-	-	340,000
Schofield Armory - Kitchen	-	90,000	-	-	90,000
Warren Armory	-	-	-	-	475,000
Wawick Armory	-	-	-	-	50,000
Army Aviation Support Facility	-	-	-	-	75,000
Combined Support Maintenance Shop	-	-	-	-	100,000
Combined Support Maintenance Shop & Armory	-	-	-	-	752,500
Organizational Maintenance Shop	-	-	-	-	62,500
Quonset Point Hangar	-	-	-	-	490,000
USPFO HVAC	-	-	-	-	115,000
Vehicle Exhaust Ventilation System	-	-	-	-	50,000
Emergency Operations Center	625,000	-	-	-	670,000
Subtotal	1,345,000	690,000	900,000	-	7,124,500
State Police					
New State Police Headquarters & Training Facility	-	2,000,000	2,000,000	3,200,000	7,200,000
Headquarters Repairs/Renovations	-	-	-	-	982,353
Parking Area Improvements	-	-	-	-	234,500
Barracks Renovations	350,000	1,000,000	2,000,000	2,000,000	6,374,000
Subtotal	350,000	3,000,000	4,000,000	5,200,000	14,790,853
Environmental Management					
Galilee Piers	1,000,000	1,000,000	-	-	7,355,892
Newport Piers	-	-	-	-	4,049,099
Wickford Marine Facilities	200,000	-	-	-	450,000
Boyd's Marsh Habitat Restoration	-	-	-	-	500,000
State-owned Dams - Repairs	2,200,000	850,000	750,000	-	8,565,463
Great Swamp Wildlife Management Area	400,000	600,000	-	-	1,000,000
Recreational Facilities Improvements	1,425,000	1,150,000	850,000	-	5,750,291
Fort Adams Restoration	-	-	-	-	1,000,000
Westerly Boat Ramp	-	-	-	-	87,000
Subtotal	5,225,000	3,600,000	1,600,000	-	28,757,745
Coastal Resources Management Council					
South Coast Restoration Project	932,267	-	-	-	2,977,801
Habitat Restoration - Allins Cove	-	-	-	-	172,000
Subtotal	932,267	-	-	-	3,149,801

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
Water Resources Board				
Water Allocation Plan	1,012,998	167,188	-	495,000
Groundwater Protection/Acquisition Program	14,441	-	-	-
Supplemental Water Supplies Development	54,487	39,380	-	400,000
Big River Management Area Maintenance	452,030	73,970	80,000	80,000
Subtotal	1,533,956	280,538	80,000	975,000
Rhode Island Public Transit Authority				
Land & Buildings	-	2,075,000	1,145,000	-
Subtotal	-	2,075,000	1,145,000	-
Total Rhode Island Capital Plan Fund Projects	81,595,803	35,947,536	42,208,059	47,022,404

Schedule 5 - Rhode Island Capital Plan Fund Projects

	Disbursements				Total
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
Water Resources Board					
Water Allocation Plan	400,000	210,000	-	-	2,285,186
Groundwater Protection/Acquisition Program	-	-	-	-	14,441
Supplemental Water Supplies Development	200,000	-	-	-	693,867
Big River Management Area Maintenance	80,000	80,000	80,000	-	926,000
Subtotal	680,000	290,000	80,000	-	3,919,494
Rhode Island Public Transit Authority					
Land & Buildings	-	-	-	-	3,220,000
Subtotal	-	-	-	-	3,220,000
Total Rhode Island Capital Plan Fund Projects	53,670,984	53,971,204	47,747,402	18,720,100	380,883,492

Schedule 6 - Quasi-Public Agency Capital Plans

	Projected Disbursements			
	Pre-FY 2003	FY 2003	FY 2004	FY 2005
<u>Rhode Island Resource Recovery Corporation</u>				
Landfill Design - Phase IV (Southwest)	12,440,939	3,118,000	-	-
RRC General Revenues	12,440,939	3,118,000	-	-
Superfund Remediation	-	1,421,825	5,256,390	5,554,963
RRC General Revenues	-	1,421,825	1,500,000	1,500,000
EPA Mandated Trust Fund	-	-	3,756,390	4,054,963
Landfill Equipment/Vehicles/Machinery	30,898,172	4,956,850	2,525,000	2,600,000
RRC General Revenues	30,898,172	4,956,850	2,525,000	2,600,000
Industrial Park Development	1,280,211	1,290,000	8,400,000	1,500,000
RRC General Revenues	1,280,211	1,290,000	5,100,000	-
Sale of Industrial Sites	-	-	3,300,000	1,500,000
Interchange on I-295	1,280,211	3,836,800	2,288,200	-
RRC General Revenues	1,280,211	3,836,800	2,288,200	-
Scituate Avenue/Shun Pike Upgrade	-	875,000	2,380,000	-
RRC General Revenues	-	875,000	2,380,000	-
Landfill Design - Phase V (Southeast)	1,630,788	760,500	7,495,000	-
RRC General Revenues	1,630,788	760,500	7,495,000	-
MRF - System Enhancement/Maintenance	13,074,749	999,500	648,000	1,520,000
RRC General Revenues	13,074,749	999,500	648,000	1,520,000
Closure of Landfill Phases II & III	-	1,687,050	2,803,450	2,024,506
EPA Mandated Trust Fund	-	1,687,050	2,803,450	2,024,506
Cedar Swamp Brook Relocation - Phase II	-	435,520	5,070,000	1,520,000
RRC General Revenues	-	435,520	5,070,000	1,520,000
Facility Improvements	11,211,142	145,000	170,000	275,000
RRC General Revenues	11,211,142	145,000	170,000	275,000
Tipping Facility	19,945,000	442,500	100,000	480,000
RRC General Revenues	19,945,000	442,500	100,000	480,000
MIS System Maintenance/Enhancement	861,610	143,775	137,375	75,000
RRC General Revenues	861,610	143,775	137,375	75,000
Landfill Gas Collection System	2,076,465	2,848,500	1,275,150	1,000,000
RRC General Revenues	2,076,465	2,848,500	1,275,150	1,000,000
Resource Recovery Corporation Totals	94,699,287	22,960,820	38,548,565	16,549,469

Schedule 6 - Quasi-Public Agency Capital Plans

	Projected Disbursements				Totals
	FY 2006	FY 2007	FY 2008	Post-FY 2008	
<u>Rhode Island Resource Recovery Corporation</u>					
Landfill Design - Phase IV (Southwest)	2,030,000	4,550,000	2,620,000	-	24,758,939
RRC General Revenues	2,030,000	4,550,000	2,620,000	-	24,758,939
Superfund Remediation	3,924,331	1,470,275	1,105,294	-	18,733,078
RRC General Revenues	1,500,000	1,249,126	-	-	7,170,951
EPA Mandated Trust Fund	2,424,331	221,149	1,105,294	-	11,562,127
Landfill Equipment/Vehicles/Machinery	1,578,000	1,581,000	2,134,000	-	46,273,022
RRC General Revenues	1,578,000	1,581,000	2,134,000	-	46,273,022
Industrial Park Development	1,500,000	1,500,000	1,500,000	-	16,970,211
RRC General Revenues	-	-	-	-	7,670,211
Sale of Industrial Sites	1,500,000	1,500,000	1,500,000	-	9,300,000
Interchange on I-295	-	-	-	-	7,405,211
RRC General Revenues	-	-	-	-	7,405,211
Scituate Avenue/Shun Pike Upgrade	-	-	-	-	3,255,000
RRC General Revenues	-	-	-	-	3,255,000
Landfill Design - Phase V (Southeast)	-	-	1,650,000	-	11,536,288
RRC General Revenues	-	-	1,650,000	-	11,536,288
MRF - System Enhancement/Maintenance	400,000	400,000	400,000	-	17,442,249
RRC General Revenues	400,000	400,000	400,000	-	17,442,249
Closure of Landfill Phases II & III	329,390	217,320	172,859	-	7,234,575
EPA Mandated Trust Fund	329,390	217,320	172,859	-	7,234,575
Cedar Swamp Brook Relocation - Phase II	-	-	-	-	7,025,520
RRC General Revenues	-	-	-	-	7,025,520
Facility Improvements	50,000	55,000	60,000	-	11,966,142
RRC General Revenues	50,000	55,000	60,000	-	11,966,142
Tipping Facility	10,000	410,000	410,000	-	21,797,500
RRC General Revenues	10,000	410,000	410,000	-	21,797,500
MIS System Maintenance/Enhancement	80,000	85,000	85,000	-	1,467,760
RRC General Revenues	80,000	85,000	85,000	-	1,467,760
Landfill Gas Collection System	1,000,000	1,000,000	1,250,000	8,300,000	18,750,115
RRC General Revenues	1,000,000	1,000,000	1,250,000	8,300,000	18,750,115
Resource Recovery Corporation Totals	10,901,721	11,268,595	11,387,153	8,300,000	214,615,610